

MEMORANDUM

To: Phil Golrick  
Jeremy Gunn  
From: Kevin Tiernan

Notes on Giancana file # 92-3171  
4.0.2  
Giancana, Sam

Section 19

Serial #

1163 8/5/63

A report covering the investigative period May 7, 1963 to July 23, 1963 by Special Agent Marshall Rutland. Subjects include Giancana's background, his criminal activities, legal proceedings involving Giancana and the FBI, his political ties and his associates. There are administrative pages which identify the "T" symbol informants by name or informant number and provide characterizations of each of the informants used in the report. Physical and technical surveillance techniques are used.

1164 8/6/63

An editorial from the Pittsburgh Post-Gazette regarding Giancana. FBI commentary on the editorial and the Post-Gazette is enclosed.

1165 8/6/63

A report recounting Giancana's trip to Nevada and visit to Frank Sinatra's Cal-Neva Lodge in Lake Tahoe. Giancana was barred from visiting Nevada casinos by the Nevada Gaming Control Board (GCB), and they planned to hold a hearing to consider revoking Sinatra's gaming license in Nevada.

1166 8/8/63

Giancana is in Palm Springs at Sinatra's house. There is publicity in the Chicago papers about the state of disarray of the Giancana organization. Giancana is staying out of Chicago to avoid the surveillance of the FBI and there is a lack of leadership while he is away.

1167 8/5/63

A copy of a notice and application for stay of order of the Court of Appeals for the 7th Circuit filed 8/3/63.

1168 8/7/63

- Giancana whereabouts. Los Angeles informant. Live informant.
- 1169 8/8/63  
Chicago informant with information regarding the disarray of the Chicago criminal organization and the movement to oust Giancana as its head. Live informant.
- 1170 8/9/63  
Report on the proceedings of Sinatra's hearing before the Nevada Gaming Control Board.
- 1171 8/9/63  
Report regarding Sinatra and the Nevada Gaming Control Board and interviews of employees of the Cal-Neva Lodge.
- 1172 8/6/63  
Photocopy of the Application for a Stay of an Order of the Court of Appeals in the case, "Sam Giancana vs. Marlin Johnson, Agent in Charge, Chicago office of the FBI, respondent."
- 1173 8/9/63  
Justice Clark denied the application for a stay.
- 1174 8/12/63  
Discussion of Giancana at Cal-Neva Lodge and allegations of a fight between Giancana and Victor Collins, manager of the McGuire Sisters.
- 1175 8/10/63  
Report that a private investigator hired by Giancana's lawyer to do a background on a reporter for the Chicago American newspaper who wrote many critical articles on Giancana. Giancana organization was preparing to sue the reporter.
- 1176 7/31/63  
Chicago airtel and newspaper clipping.
- 1177 8/13/63  
Giancana whereabouts. He is in Florida with Phyllis McGuire.
- 1178 8/14/63  
Giancana whereabouts. Live sources. Negative contact.  
Report regarding general apprehensiveness within the Giancana organization. Technical and live sources.

- 1179 8/16/63  
Whereabouts of Giancana. Negative contacts. Live sources.
- 1180 8/16/63  
Whereabouts of Giancana. Visiting Phyllis McGuire in Florida. Playing golf. Live sources.
- 1181 8/19/63  
Seven page document outlining the general state of the Giancana investigation. His whereabouts, the state of the Chicago organization, rumors of Giancana leaving the U.S. to avoid surveillance. Discussion among Chicago underworld of the effects of federal pressure on financial interests and reputations.
- 1182 7/16/63  
Letterhead memo from Evans to Belmont regarding the actions of Cook County sheriff following the court order limiting federal surveillance. Information also sent to "Hundley" of the DOJ's Organized Crime section.
- 1183 8/20/63  
Discussion of organizing gambling operations in Mexico. Source unknown. Airtel to notify Legat, Mexico City.
- 1184 8/22/63  
Giancana whereabouts. Not in Chicago. Maybe in Florida. Technical source.
- 1185 8/26/63  
Giancana whereabouts. He left Ft. Lauderdale on 8/22/63. Information came from a PCI who spent time with Giancana playing golf.
- 1186 8/28/63  
Giancana whereabouts. He is back in Florida. Live source.
- 1187 8/27/63  
Giancana whereabouts. Physical surveillance in Florida.
- 1188 8/28/63  
Giancana case to be heard before U.S. Court of Appeals. Time for filing motions ends 9/17/63.

- 1189 8/28/63  
Giancana whereabouts. Discussion of IRS raid on bookies in Chicago.  
Giancana and associates in possession of radio receivers and recorders. Technical.
- 1190 8/30/63  
Interview of three men considered by the Bureau to be Giancana associates. Negative contacts.
- 1191 8/31/63  
Giancana whereabouts. Technical.
- 1192 8/22/63  
LHM from Sullivan to Brennan concerning gambling interests in the Dominican Republic.  
Press clipping on Giancana enclosed.
- 1193 8/26/63  
LHM from McAndrews to Evans concerning gambling in the Dominican Republic and Giancana's alleged interest there.
- 1194 8/27/63  
Transcript of a television editorial by John Madigan of *Standpoint*, on WBBM-TV in Chicago.  
LHM attached from SAC Chicago to the Director.
- 1195 9/6/63  
Report on Giancana associate. Technical.
- 1196 9/4/63  
Report on a meeting between a Chicago policeman and a Chicago politician who is a Giancana associate. Politician/associate was making pay-offs to Chicago police.  
Technical.
- 1197 7/15/63  
LHM from Evans to Belmont concerning Giancana's suit against the government for harassment. Evans recommends that SAC Johnson invoke Justice Department Order # 260-62 if he is called to testify. This order covers the confidential nature of FBI files. The U.S. Attorney would then advise the judge that these instructions conform to the wishes of the Attorney General.

- 1198 9/10/63  
Report concerning Frank Sinatra's financial interest in the Cal-Neva Lodge.
- 1199 9/10/63  
Report concerning Frank Sinatra's Essex Productions and organized crime.
- 1200 8/16/63  
LHM from Evans to Belmont concerning an article in the *Chicago Sun-Times* by Sandy Smith, about Giancana gathering intelligence on Castro's Cuba for the CIA. The connection goes through a Florida detective agency with which they are very familiar. The Bureau is very upset because the information comes from "Justice Department officials".
- 1201 9/12/63  
Giancana whereabouts. He may be traveling to Europe. Technical.
- 1202 9/10/63  
Report alleging that Sinatra's Essex Productions is being controlled by organized crime elements. They name an apparently disgruntled employee who should be interviewed.
- 1203 9/12/63  
Interview of the allegedly disgruntled employee of Sinatra's Essex Productions. He is, in fact, not disgruntled. And he does not know Giancana.
- 1204 9/13/63  
Giancana whereabouts. Contacts in Ft. Lauderdale. Live sources.
- 1205 9/11/63  
Report that one Chicago newspaper writes that there is a new head of the Chicago organized crime syndicate. This is completely unsubstantiated.
- 1206 9/13/63  
From Director to SAC Chicago regarding certain forms of surveillance.  
  
Original sent to JFK Collection at Archives II.
- 1207 9/16/63  
Phyllis McGuire whereabouts. Negative on Giancana. Live source.
- 1208 9/16/63

- Giancana whereabouts. He may be in Chicago, but he is keeping a low profile. Live and technical.
- 1209 9/12/63  
Report on dissension among the McGuire Sisters Trio. Dorothy and Christine want Phyllis to stop seeing Giancana.
- 1210 9/17/63  
Giancana whereabouts. He may be in Chicago, keeping a low profile. Technical.
- 1211 9/11/63  
Report on Giancana and gambling activities in the Bahamas.
- 1212 9/11/63  
Report on the Giancana organization's interest in gambling activities in Mexico.
- 1213 9/18/63  
Report that Giancana attended a wedding reception in Chicago. Technical and live sources. Copy of government's brief to the Court of Appeals for the Giancana vs. Marlin Johnson case enclosed.
- 1214 9/18/63  
Report on relations among the McGuire Sisters Trio. Christine and Dorothy want Phyllis to quit seeing Giancana. Live source.
- 1215 9/18/63  
Summary of the argument presented by the government in the case of Giancana vs. Marlin Johnson.
- 1216 9/29/63  
Summary of government argument in the case of Giancana vs. Marlin Johnson.
- 1217 9/6/63  
Memo from Chicago to HQ to justify continuation of surveillance on Giancana. Original sent to Archives under the ARCA in March 1995.
- 1218 9/26/63  
Giancana whereabouts. Chicago. He is considering leaving Chicago for an extended period of time, perhaps for as long as a year, until the "heat is off". He is upset about a raid

- on the Arcades (pornographic movie houses). Technical.
- 1219 9/28/63  
More discussion about the raid on the Arcades. Technical.
- 1220 9/27/63  
Discussion of local police pay-offs, local politicians and sheriffs. Technical.
- 1221 9/24/63  
Giancana rumored to be in France. By liaison, the FBI determines that he is not.
- 1222 9/21/63  
Report relating a discussion by Giancana with two others about the Central States Pension Fund controlled by Jimmy Hoffa. Giancana is complaining that because of all the attention given to him by the FBI and the press, he does not have access to that money like he once did. Technical. (Original sent to Archives II under the ARCA).
- 1223 9/30/63  
Report concerning a discussion of local politicians in Chicago and the recent Arcade arrests. Rumors of Giancana leaving the country. Technical.
- 1224 9/27/63  
Report concerning the McGuire Sisters and Giancana. Dorothy will break up the group is Phyllis does not quit seeing Giancana. It is rumored that Giancana will leave the country. Live source.
- 1225 10/1/63  
LHM concerning Giancana's gambling interests in the British West Indies. Negotiations stopped because the deal in Jamaica was merely a real estate deal being pushed by the Jamaican government.
- 1226 10/2/63  
Report on a discussion of local politicians, police, judges, and the Arcade arrests. Technical.
- 1227 10/5/63  
Report on a discussion of the Arcade arrests. Technical.
- 1228 10/4/63  
Report that a private investigator was retained in Hawaii by the Nevada Games Board (NGB)

to verify Giancana's visits to Honolulu in May and June of 1963 with Sinatra and Phyllis McGuire. The private investigator is a former SAC Honolulu and was interested in being furnished guides and leads to verify information sought by the NGB.

"On the same date, SA J. Sterling Adams furnished Moore (the private investigator) a verbal summary of Giancana's two visits to Hawaii, the first 5/12-16/63 and second 6/12-23/63; the fact that he had occupied the Lihikai Suite at the Surfrider Hotel as J.J. Brackett with Frank Sinatra, Sinatra's valet and Ruby Rubinstein."

1229 10/9/63

Giancana whereabouts. Physical surveillance of Giancana while they followed him around Chicago.

1230 10/11/63

Report on discussion of Senate Committee testimony of Joseph Valachi. The biggest fear held by English (Giancana lieutenant) was that the Government would be able to capitalize on this type of situation in the future in obtaining other informants, being able to show that they went along with Valachi and did not hold him accountable for his crimes. Technical.

1231 10/16/63

A long report covering the Giancana investigation from 8/1/63-10/11/63. Page C identifies the "T" informants by name or symbol number. Informants are then characterized on an administrative page at the end of the report. It is a summary report covering Giancana's criminal activities, legal proceedings, political ties, and associates.

1232 10/14/63

Report on a discussion of the legal proceedings and political activities of the Giancana organization. Technical.

1233 10/16/63

Report on a discussion of Chicago politics. Technical.

1234 10/17/63

Report on a discussion where Giancana curses the Federal government. Technical.

1235 10/18/63

Report on a discussion of Giancana's legal proceedings and the Senate Rackets Committee. Technical.

## Section 20

- 1236 10/24/63  
Report on a discussion of Chicago politics and the Giancana organization. Technical.
- 1237 10/23/63  
A report on the legal proceedings involving the Giancana organization and Chicago politics. Live source. Unrecorded copy filed in 58-5438.
- 1238 10/23/63  
Report on legal proceedings. November 18, 1963 is the deadline to file a brief in the case of Sam Giancana vs. Marlin Johnson.
- 1239 10/30/63  
Report on a discussion of local Chicago affairs. A building is being erected in the First Ward in which Giancana has a strong interest. Technical.
- 1240 11/2/63  
Report regarding a conversation about a narcotics arrest in Chicago. News reports implicated Giancana. His associates say the FBI knows exactly what Giancana was involved in, and they know he is definitely not involved in narcotics dealing.
- 1241 10/31/63  
Report on Chicago political activities. Live source.
- 1242 11/5/63  
Report on discussion of Chicago real estate deals and Giancana's interest in them. Technical.
- 1243 11/5/63  
Passport agency reports receiving a strange letter regarding Giancana.
- 1244 11/6/63  
Report regarding continued viability of technical source. Technical.
- 1245 11/7/63  
Report regarding continued viability of technical source. Giancana whereabouts. Technical

and physical surveillance.

- 1246 11/13/63  
Report regarding continued viability of technical source. Local political and criminal activities.
- 1247 11/7/63  
Chicago has investigated letters to passport agency and believes it is the work of a mentally ill person, and that the letters are meaningless beyond that.
- 1248 11/7/63  
Report on the relationship between Phyllis McGuire and Sam Giancana. They are not seeing each other at this time, but they do talk on the phone. Live source.
- 1249 11/7/63  
Report on discussion of Giancana's strategies for getting relief from the government.
- 1250 11/15/63  
Report on discussion of Federal law enforcement entry into areas previously handled by local authorities and disrupting criminal activities everywhere. Discussion of FBI physical surveillance and legal proceedings. Giancana says, "I just want to be left alone."  
Technical.
- 1251 11/19/63  
Report on Giancana associates. Technical.
- 1252 11/16/63  
Report regarding the continued viability of technical source. Giancana planning a trip to Florida. He and Phyllis McGuire are not seeing each other. The bartender at the Armory Lounge has been instructed not to play McGuire Sisters records when Giancana is present.  
Technical.
- 1253 11/20/63  
Giancana whereabouts. Chicago. Live source.
- 1254 11/20/63  
Report on Chicago politics. Technical.
- 1255 11/19/63

McGuire Sisters whereabouts. Boston. Giancana not present.

1256 11/20/63

Interview with PCI who has information on the McGuire Sisters and Giancana.

1257 12/3/63

The Bureau thinks that Giancana is giving out false information regarding his travels so as to confuse any authorities who get the information. "In connection with trip to Palm Springs, possibility arises that Giancana visiting Sinatra to obtain funds obtained by Sinatra in recent sale of Las Vegas holdings, noted that Chicago has long felt that Cal-Neva Lodge actually owned by Giancana and fronted by Sinatra...Giancana always picks up his own funds and does not trust couriers to handle this chore."

1258 12/6/63

Giancana whereabouts. Technical.

1259 11/29/63

Report on gambling activities in Mexico by Giancana associates.

1260 11/26/63

Report to Director from Legat, Bonn concerning counterfeiting allegation related to Giancana in Germany and previously mentioned anonymous letters to Chicago passport office.

1261 12/3/63

Further information on counterfeiting allegation.

Between 1261 and 1262, there is a nine page transcript of electronic surveillance from the Armory Lounge dated 11/26/63 in the very early morning hours. Giancana and his associates briefly discuss the assassination.

1262 12/4/63

Giancana whereabouts. Chicago. Plans to meet singer Keeley Smith in either San Francisco or Las Vegas.

1263 12/5/63

Giancana whereabouts. Chicago. Plans to visit Keeley Smith for about a week.

1264 12/6/63

"Anonymous communication, keep envelope attached"

1265 12/7/63

Report on local Chicago politics and Giancana's influence on them. There is a movement to make Alderman Massey (36th ward) a judge. Informant says Massey would do almost anything for Giancana. Confirmed by technical source.

1st NR 1265 original filed in 7-10603-186

12/10/63

LHM relating a discussion between someone named Elson and a Las Vegas attorney. Elson asks the attorney whether there is any connection between Frank Sinatra Jr.'s kidnapping and any other angle. The attorney asserts that following the West Virginia presidential primary in 1960, Frank Sinatra "got a bundle for JFK" from Sam Giancana with the understanding that Sinatra would be able to keep the pressure off of Giancana from the federal government. Sinatra was apparently not able to deliver, and the conjecture could be that Frank, Jr's kidnapping could be a way of getting back the money Giancana had given Sinatra. This is pure speculation on the lawyer's part, he has no evidence supporting this allegation.

1266 12/9/63

LHM on background of Sam Giancana, Chuck English, and Jeanette Braga for Legat, Bonn.

1267 12/9/63

Memo from Chicago to Director asking permission to interview Phyllis McGuire. Her relationship with Giancana is quite strained, and Chicago would like to use her to get more information on Giancana. According to a highly confidential source, Phyllis has recently "told Sam off" several times. Bureau retel recommends speaking with McGuire associates who have been compliant because Phyllis has been so far reluctant to speak to any agents.

1268 12/11/63

Report which relates a conversation between Giancana associate Chuck English and an unknown male. English says that following the assassination the FBI will go back to being like it was five years before. "They're gonna investigate them Fair Play for Cuba guys. They call that more detrimental than us guys." Giancana listened to all telecasts concerning the kidnapping of Frank Sinatra, Jr. Technical.

1269 12/6/63

Report on local Chicago politics. Technical.

1270 12/6/63

LHM from Chicago to Director. Justification for continuation of surveillance. Subjects are

Sam Giancana and associates.

- 1271 12/14/63  
Giancana whereabouts. In Chicago. Discussions of local politics. Technical.
- 1272  
Report on Giancana's influence over local Chicago politics.
- 1273 12/18/63  
Report on a theft of furs from Dorothy and Christine McGuire. Phyllis declined to be interviewed in connection with the theft of furs because she was not affected. McGuire Sisters whereabouts; Dorothy is in Calgary, Christine in Ft. Ladsunderdale and Phyllis is in New York.
- 1274 12/19/63  
Report on Phyllis McGuire whereabouts. She visited the Armory Lounge on 12/17/63 while a Christmas party was being held for airline employees in Chicago.
- 1275 12/18/634  
Report that Giancana hosted a party at the Armory Lounge on 12/15/63 for Vic Damone. Request from Chicago to interview Damone. Approved.
- 1276 12/23/63  
Report that Giancana and Phyllis McGuire are seeing each other again. Sources believe that it is inappropriate to interview her at this time.
- 1277 12/27/63  
Report on corruption in the Chicago Police Department. Special Investigations Division wants to use an uncorrupted Chicago detective to disrupt organized crime activities. Hoover approves.
- 1278 12/24/63  
Proceedings of Giancana vs. Marlin Johnson in the Court of Appeals.
- 1279 12/24/63  
Report that the Bureau advised Chicago police that the known uncorrupted detective's (see serial 1277 above) life has been threatened. Physical surveillance.
- 1280 12/26/63  
Headquarters wants Chicago to corroborate allegations of cash payments to Chicago Police

officials, so that the information can be fully utilized and shared.

1281 12/24/64

One copy of a brief filed by Giancana attorney George Leighton in Sam Giancana vs. Marlin Johnson.

1282 1/2/64

Giancana whereabouts. Chicago. Vic Damone left Chicago before he could be interviewed. Technical.

1283 1/5/64

Giancana whereabouts. He is observed in Hawaii playing golf with Joe DiMaggio. Honolulu requests to interview DiMaggio.

1284 1/6/64

Giancana whereabouts. Physical surveillance of Giancana and companions in Hawaii.

1285 1/7/64

Giancana whereabouts. Physical surveillance in Hawaii. Phyllis McGuire may be along on the trip.

1286 1/6/64

Giancana whereabouts. Physical surveillance in Hawaii. Honolulu is trying to figure out who else is in Giancana's group.

Serial 1286 is the last serial not yet processed by the FBI JFK Taskforce. From 1286 on of Section 20 was already processed under the ARCA.