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of the U.S. Intelligence Community.\*

As a visual aid to the analysis of the materials contained in the four compilations discussed above, a chart has been prepared which illustrates the flow of written information from the CIA to the Warren Commission and the U.S. Intelligence Community concerning President Kennedy's assassination. This chart sets forth the C IA's designation for each document listed and lists the subject matter of each document and the date of each document's dissemination. The chart also indicates whether the document was made available to the Warren Commission, the U.S. Intelligence community or both. A secondary purpose of this chart is to indicate for selected subjects the volume of information provided to the U.S. Intelligence Community as opposed to the Warren Commission.

During the course of this study, additional Agency files have been reviewed. These files have been examined in an effort to resolve certain issues created by the review of the Agency's compilations discussed herein. Where apparent gaps existed in the written record, files have been requested and reviewed in an effort to resolve these gaps. Where significant substantive issues have arisen related to the kind and quality of information provided the Warren Commission, files have also been requested and

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is relate

Mr. Rocca added that, to his knowledge, Mr. Helms' orders were followed to the letter by all CIA employees. (Ibid. p. 24.) Mr. Rocca concluded that on this basis: "the CIA was to turn over and to develop any information bearing on the assassination that could be of assistance to the Warren Commission." (Ibid., p. 26.)

A different view of the CIA's role regarding the supply of CIA's information to the Warren Commission was propounded by Richard Helms. Mr. Helms, who served as the CIA's Deputy Director for Plans during the Warren Commission investigation was directly responsible for the CIA's investigation of President Kennedy's assassination (Ibid. p. 23.) He testified to the Committee that the CIA made every effort to be as responsive as possible to Warren Commission requests. (Exec. Sess. Text. of Richard Helms, 8/9/78, p. 10.) Mr. Helms added further testimony regarding the manner in which the CIA provided its information to the Warren Commission. He stated:

> An inquiry would come over (from the Warren Commission). We would attempt to respond to it. But these inquiries came in individual items...Each individual item that came along we took care of as best we could. (Ibid., pp. 10-11.)

However, it was Mr. Helms' recollection that the CIA provided information to the Warren Commission primarily on the basis of the Commission's specific requests. Under

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effect. The following exchange between Committee Sounsel and Mr. Helms illustrates the acute of the Agency's compromise:

Mr. Goldsmith: Mr. Heems, I take it from your testimony that your position is that the anti-Castro plots, in fact, were relevant to the Warren Commission's work; and, in light of that, the Committee would like to be informed as to why the Warren Commission was not told by you of the anti-Castro assassination plots.

Mr. Helms: I have never been asked to testify before the Warren Commission about our operations.

Mr. Goldsmith: If the Warren Commission did not know of the operation, it certainly was not in a position to ask you about it.

Is that not true?

Mr. Helms:

Yes, but how do you know they did not know about it? How do you know Mr. Dulles had not told them? How was I to know that? And besides, I was not the Director of the Agency and in the CIA, you did not go traipsing around to the Warren Commission or to Congressional Committees to to anyplace else without the Director's permission.

Mr. Goldsmith: Did you ever discuss with the Director whether the Warren Commission should be informed of the anti-Castro assassination plots?

Mr. Helms:

I did not, as far as I recall.

Mr. McCone testified that he first became aware of the CIA's anti-Castro assassination plots involving CIA-Mafia ties during August 1963. He stated that upon learning of these plots, he directed that the Agency cease all such activities. (McCone deposition, p.13) the CIA desired to withhold information from the Warren Commission about the Agency anti-Castro assassination plots to avoid embarassing the Agency or causing an international cris**es** he responded:

> "I cannot answer that since they (CIA employees knowledgeable of the continuance of such plots) withheld the information from me. I cannot answer that question. I have never been satisfied as to why they withheld the information from me. (McCone deposition, p.16)

Thus, the evidence indicates that Helms to have approached McCone to discuss informing the of past and ongoing CIA Warren Commission for anti-Castro assassination plots might have cost Helms Todoso would have meant his job, him informing McCone that plots were still being considered by the Agency [But see Helms testimony \_ ]

Regarding the relevancy of such plots to the Warren Warren Commission Coursel's Sunsonand Commission's work,

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the formation were in agreement (Slawson dep., p.27) that such information should have been reported to the Warren Commission. (See also Spector, p.46) (But see Liebeler, depo. From the CIA's parspective p.171) Mr. Rocca testified that had he known of the anti-Castro assassination plots, his efforts to explore the possibility of a retaliatory assassination against President Kennedy by Castro would have been intensified. He stated:

"...in light of what has happened a completely different procedural approach probably would and should have been taken. I mean, there are any number of things that one can say in

the light of history.

What I can't accept is that leads were deliberately or otherwise ignored. (Rocca dep., p.45)

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John Scelso, the above-cited CIA desk officer who ran the CIA's initial investigation of President Kennedy's assassination until that responsibility was given to the CIA's counterintelligence staff, offered a highly critical appraisal of Helms' non-disclosure to the Warren Commission:

- Mr. Goldsmith: Do you think Mr. Helms was acting properly when he failed to tell the Warren Commission about the assassination plots?
- Mr. Scelso: No, I think that was a morally highly reprehensible act, which he cannot possibly justify under his oath of office, or any other standard of professional public service. (Scelso dep., p.153)

#### III. Introductory Section/SS+M

The length of time required by the CIA to respond to the Warren Commission's requests for information has been shown to have been dependent upon 1) the availability of information and 2) the complexity of the issues presented by the request. On this point, Mr. Helms testified that when CIA had been able to satisfy a Commission request, the CIA would then send a reply back:

"and some of these inquiries obviously took longer than others.

For example, some might involve checking a file which was in Washington. Other inquiries might involve trying to see if we could locate somebody in some overseas country.

Obviously, one takes longer to perform than the other. (Helms Exec. Session hearing, p.25)

ION TO - 17 -Mr. Rocca, as the day to day CIA working level contact with the Warren Commission stated that on the average it took less than one week for the CIA to transmit its information to the Warren Commission, after such information had been processed by the Agency. (Rocca dep., pp.66-67) (Add the opinion of WC staffers.) attimes However, protecting 1+5 the CIA's sensitive sources and methods, caused the Warren Commission to experience greater difficulty relevant ormation than when the protection of in getting J. Lee Rankin such sources and methods was not at issue. expressed the opinion that the Agency's to protect its sensitive sources and methods did effect the quality of the information to the Warren Commission and its staff were given access. **f**s a result (Rankin at p.23) In some instances unilateral decisions Agency • C/A the Commission. (Scelso dep. material**S** ± p.158) in which th nethods sion investigation involution and impeded the Warren Commission investigation to some degree: dith holding interrotuon from the warren commission Stat perfaming to the photosurveillance and of the CIA's Mexicos City Station effects of the 2) As a related consideration the controversy sur-

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rounding photograph now referred to as that of the "Mexico City Mystery Man"

Each of these concerns will be examined the examined the

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The CIA's concern for revealing the existence of sensitive technical operations, as outlined above, was evident from the ception of the Warren Commission. Mr. Scelso commented that "we were not authorized at first to reveal all our technical operations." (Scelso dep. p.158) Scelso further testified:

We were going to give them intelligence reports which derived from all our sources, including 'forming' sources, including the and the information gotten from the interrogation of Silvia Duran, for example, which corresponded almost exactly with the information from the (Ext to Scelso guote, all of p.5)

Mr. Scelso's characterization is supported by examination of the background to the first major CIA report furnished the Warren Commission regarding Lee Harvey Oswald's trip to Mexico City. (Cite.) Much of the information provided to the Warren Commission in this report was based upon sensitive sources and methods, identification of which had been deleted completely from the report.

The policy **The Policy** limiting Warren Commission knowledge of CIA sources and methods was articulated as early as December 20, 1963, at which time a cable was sent from CIA headquarters to the Mexico City Station which stated:

Our present plan in passing information to the Warren Commission is to eliminate mention of

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) in order to protect your continuing Op 3. Will rely instead on statements of Silvia Duran and on contents of Soviet Consular file which Soviets gave (CIA cable DIR 97829 FOIA 498-204, 29Jan1964)-

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The basic policy articulated in the December 20, 1963 cable is also set forth in a CIA memorandum of December 17, 1963. In that memorandum, Special Investiged ions Group of the CIA Counterintelligence/Staff wrote that he had been advised by Sam Papich, FBI liaison man to the CIA, that the FBI was anticipating a request from the Warren Commission for copies of the FBI's materials which supported or complimented the FBI's five volume report of Decmeber 9, 1963 submitted to the Warren Commission. Papich provided with this report which indicated that some United States Agency' in Mexico. Papich queried whether the FBI could A to supply the Warren Commission with source of (The FBI had knowledge of CIA n Mexico City, see CIA SOCI-3/779/510) that he discussed this matter memorandum m with Scelso who in turn, after a discussion with Helms, was directed by Helms to prepare CIA material to be passed

> He (Scelso) was quite sure it was not the Agency's desire to make available to the Commission at least in this manner--via the FBIsensitive information which could relate to Memo for File, 20 Dec 63, Subj: Lee Harvey Oswald)

wrote:

to the Warren Commission.

memorandum and its characterization

The opinion expressed by Scelso as of December 17, 1963 was set forth on January 14, 1964 in a formalized fashion.

all information simed significant as received by CIA (or found in CIA fires, irrespective of the nature, sensitivity or reliability of sources, thereif, was properly relayed to your Bureau. (A Jan, 1964 CIA dissem to FBI, CSC1-3/779/510) When Helms, the Helms, expressed his concern regarding exposure of Agency sources by the FBI to the Warren Commission. Helms wrote that the CIA had become aware that the FBI had already:

> called to the attriction of the Commission, through its attorney, that we have information (as determined from Agency sources) coinciding with the date when Oswald was in Mexico City and which may have some bearing on his activities while in that area. (CSCI-3/779/510)

Mr. Helms further indicated that the CIA  $m_{p}$  be called upon to provide additional information acquired from checks of CIA records and agency sources. He suggest that certain policies be employed to enable CIA to work with the Commission and with the Commission's cooperation protect CIA information, sources and methods. Among the policies articulated from which for the formation enable the Agency to control the flow of information  $m_{policies}$  and methods information coriginated by it. In this way check the possibility of revealing its sources and methods inadvertantly. The policies articulated were:

- Your Bureau not dissemination information received from this Agency without prior concurrence
- 2) In instances in which this Agency has provided information to your Bureau and you consider that information is pertinent to the Commission's interest, and/or compliments or otherwise is pertinent to information developed or received by your Bureau through other sources and is being provided by you to the Commission, you refer the Commission to this Agency. In such cases it will be appreciated if you will advise us of such referral in order that we may anticipate the possible further interest of the Commission and initiate certain preparatory to meeting its needs. (CSCI -3/559/710)

The policy eliminating reference to Agency sensitive sources and methods is further revealed by examination of an Agency cable, dated January 29, 1964, sent from CIA Headquarters to the CIA Mexico City Station. That cable indicated that knowledge of Agency sources and techniques was on that date still being withheld from the Warren Commission. Therein, it is stated that on Saturday, February 1, 1964, CIA is to present a report on Oswald's Mexico City activities to the Warren Commission. However,

the form of this presentation **sources** and techniques. (CIA Cable Dir. 90466, FOIA 420-757, 20 Dec 63)

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Mr. Helms offered testimony regarding the CIA's reticence to inform the Warren Commission, at least during the initial stage of the CIA and photo surveillance operations in Mexico City distribution the Commission of the sensitivity of these

cause it was sensitive from the Agency's standpoint, but

Nevertheless, the CIA had provided information to the FBI regarding the Mexico City surveillance operations prior to the assassination and during the post-assassination period control of the assassination (CSCI 3775/STISTICT) for the formation period control of the assassination (CSCI 3775/STISTICT) for the formation made available by DCI McCone to National Security Council Director McGeorge Bundy, aware that the CIA in against the Cuban and Soviet Embassy/Consulates and that through these of Swald's presence in Mexico City prior to the assassination had been corroborated. Scite McCone reas to McGeorge Bundy. :sreducte unwillingness The CIA's to inform the Warren Commission of the above-described surveillance operations, <u> Aptiqu</u> the early stages vestigation the is-a-source of concern to this Committee. It is indicative of an 2011100 designed skew in its favor the for substance 🐋 information the Warren Commission. (See Scelso deb.) This process might well have hampered the Commission's ability to proceed in its investigation with all the facts before it, even those which might have meant exposing certain sensitive operations to the Commission.

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As noted previously, on January 31, 1964, the CIA provided the Warren Commission with a memorandum that chronicled Lee Harvey Oswald's Mexico City visit during September 26, 1963 - October 3, 1963. That memorandum oid notmention +rot Oswald's various conversations with the Cuban and Soveti Embassy/ConsulateShad nd subsequently transcribed. Furthermore, that memorandum 🖷 that the CIA and transcribed conversations between Cuban Embassy employee Sylvia Duran and Soviet officials at the Soviet Embassy/Consulate nor was mention made of the conversations between Cuban President Dorticos and Cuban Armes Ambassador to Mexico which and transcribed.

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On February 1, 1964 Helms appeared before the Commission (see above) and likely discussed the memorandum of January 3/, 1964. On Feburary 10, 1964, J. Lee Rankin wrote Helms in regard to the CIA memorandum of January 31. A review of Rankin's letter indicates that the least as of the letter indicates that

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by Rankin would not have been made.

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Raymond Rocca's testimony tends to support this conclusion. It was Rocca's recollection that between the time period of January 1964 - April 1964, Warren Commission's representatives had visited the CIA's headquarters in Langley, Virginia and had been shown various transcripts resulting from the CIA

In Mexico City. (Rocca dep. p.89) However, Mr. Rocca did not personally make this material available to Commission representatives and was not able to state under oath precisely the point in time at which the Warren Commission learned of these operations.

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On February 19, 1964 the CIA responded to Rankin's inquiry of February 10. The Agency response did indicate that Oswald had phoned the Soviet Consulate and was also interviewed at the Consulate. However, the Agency did not reveal the source of this information in its response to the Commission or indicate that it would be revealed by other means (e.g. by oral briefing).

v. During the period of March - April 1964, David Slawson drafted a series of memoranda which among other issues concerned Warren Commission knowledge of and access to the production material derived from the CIA i) Mexico City. A review of these memoranda tends to support the Committee's belief that the Warren Commission, through Mssrs. Slawson, Coleman, and Willen's did not obtain access to CI materials until April 9, 1964. At that time, Coleman, Salwson and Willens met with Win Scott, the CIA's Chief of Station in Mexico City, we provided them with various transcripts and translations derived from of the Cuban and Soviet Embassy/Consulates. (Slawson Γ, memorandum of April 22, 1964, subject: However, Prior to Hprilg it appears doubtful that the Commission had been given even partial access to the referenced material. Nevertheless, by March 12,

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1964, the record indicates that the Warren Commission

had at least become aware that the CIA did maintain

of the Cuban Embassy/Consulate. (Slawson memorandum, March 12, 1964, Subj: meeting with CIA representatives). Slawson's memorandum reveals when the Warren Commission had learned that CIA was in possess **cod** transcripts of conversations between the Cuban Ambassador to Mexico, Armas, and the Cuban President Dorticos. Dorticos-Armas The conversations, requested by the Warren Commission representatives at a meeting with CIA officials, including Richard Helms, concerned Silvia Durna's arrest and interrogation by the Mexican Federal Police (cite?). Helms responded to the Comstaling mission's request for access, that he would attempt to arrange for the Warren Commission representatives' to review 📣 this material. (Slawson memo, March 12, 1964)

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It should be noted that the records reviewed do not reveal the manner in which the Commission learned of the Dorticos-Armas .) As detailed above, both the FBI and White House (through McGeorge Bundy) were aware of the CIA' in Mexico City. (Cite) One or the other could well have provided the Warren Commission with this information. Nevertheless, Raymond Rogas' testimony as cited herein (Rocca dep. lends some support to the position that the Commission had been informed of the Dorticos-Armas conversations through the CIA's initiative.

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Another Slawson memorandum, dated March 25, 1964 concerned Oswald's trip to Mexico. Slawson therein stated that the tentative conclusions and the derived from CIA Mexico trip, that he had reached were derived from CIA memorandat of January 31, 1964 and February 19, 1964, and, in addition, a Mexican federal police summary and of interrogations conducted shortly after the assassination with certain Cuban Embassy employees. Slawson wrote:

> A large part of it (the summary report) is simply a summation of what the Mexican police learned when they interrogated Mrs. Silvia Duran, (sic) an employee of the Cuban Consulate in Mexico City, and is therefore only as accurate as Mrs. Duran's testimony to the police.

These comments indicate that Salwson placed limited reliance upon the Mexican police summary. Moreover, there is no indication that Salwson had been provided the Duran transcripts. In fact, by virtue of Slawson's comments conerning the Meircan police report, it would appear that the Warren Commission as of March 25 had been provided little substantive information pertaining to Sylvia Duran.

The Committee's belief that Slawson had not been given access to the Duran transcripts is further supported by reference to his memorandum of March 27, 1964 (Cite) wherein he states his conclusion that Oswald had visited the Cuban Embassy on three occasions. This conclusion  $\omega$  conclusion he with the States have upon an analysis of Sylvia Duran's testimony before the Mexican police.

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indication that he had reviewed any of the Duran transcripts. Furthermore, Slawson had been given access to these transcripts, certainly their substance would have been incorporated into his analysis and accordingly noted for this purpose. His analysis bould have reflected the fact of this review either by its corroboration or aboveciled criticism of the Mexican police summary report. WITASTP29 As March 25, 1964, the record deminstates that the Warren Commi estigaite exico City had not been given Oswal activities As Slawson revents, The Commission had been access to the Duran transcripts. forced to rely upon the two memoranda that did not make suspect of a reference to the surveillance operat and a summary police report. Thus, the Agency had been successful for over three months in not exposing the surveillance operations to the review of the concerned Warren Commission As was stated in the CIA cable of Decemstaff members. ber 20, 1964 to its Mexico City Station:

> Our present plan in passing information to the Warren Commission is to eliminate mention of in order to protect your continuing operations. Will rely instead on statements of Silvia Duran and on contents of Soviet consular file which Soviets gave here. (CIA cable, DIR 90466, FOIA 420-757, Dec. 20, 1964 CIA p.2144)

On Margin 27, 1964 Slawson wrote that the nad deterhad visited the Cuban Embassy three mined that oswald

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He stated that this conclusion was based upon his times. review of Silvia Duran's test mony to the Mexican (Slawson merorandum, Marc) 27 1964 CIA p. 1972) However. Slawson does not state that his conclusions were also drawn from review of any f the production from the Mexico City R station surveillance operations awson does Indicate, however, that his feasoning regarding Oswald's Writin visit to the Cuban Embassy. Logically, access to the production would have clarified some

ambiguities. For example, on September 27, at 4:05 p.m. Silvia Duran telephoned the Soviet Embassy and stated that an American was presently at the Cuban Embassy, requesting an in-transit visit to Cuba. This American was determined by CIA analysts to be Oswald. Again on September 28, at 11:51 a.m. Duran telephoned the Soviet Consulate stating that an American, identified by CIA analysts as had twice 😰 at the Cuban Embassy. Thus, Oswald definitively established that Oswald had visited the Cuban Embassy on at least two occasions. Moreover, the specific dates and exact times of his presence were in the Cuban Embassy is established as the result of the Had this information been made available to Slawson, his calcuations of Oswald's activities in Mexico City would have been more firmly established than they were as of March 27, 1964. These transcripts

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could have been made available to the Warren Commission at its manception but as the record indicates they were not then made available.

The record supports the Committee's finding that as of April 2, 1964 the Warren Commission had still not been given access to the above-referenced series of . In a memorandum of that date by Coleman and Slawson, they articulated one question to the CIA and two requests for information from the Agency. (Ambassador Mann file memo April 2, 1964, CIA p. 1975) (my notes?) Colemanand Slawson - Colemanand 1) What is the information source referred to in the November 28 telegram that Oswald intended to settle down in Odessa;

2) We would like to see copies of the transcripts

all cases where the assassination or related subjects;
3) We would especially like to see in which the allegation that money was passed at the Cuban Embassy is discussed

(ItenI) The question initially posed in the above-referenced memorandum of April 2 concerns the CI of September 27, 1963 at 10:37 a.m. (Slawson memo, April

22, 1964, CIA p. 3223). Necessarily, if Slawson - Found of ngc < ssary for equest method source of the information, he had not been

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2 redac og the CIA provided that source m Neumber Two The first Coleman-Slawson request **Service** Hende to ishow 16 Commission had given access, concerning the assassingtion (as IS atis H hu. Crists ey had not been interpreted to mean that t given access to any of the subject erials.

The second request, item number three of the above listing? reveals that the Dorticos-Arman conversation of November 22, 1964, in which the passing of monies 22 discussed had not as of April 2 been provided to the Commission. The Commission had specifically requested the Dorticos-Armas transcripts. At the March 12, 1964 meeting between Commission representatives and Agency re-

On April 3, 1964, Coleman and Slawson articulated their concern for receiving\_complete access to all material relevant to Oswald's Mexico City trip. They wrote:

> The most probable final result of the entire investigation of Oswald's activities in Mexico is a conclusion that he went there for the purpose of trying to reach Cuba and that no bribes, conspiracies, etc. took place.

...In order to make such a judgment (that all reasonable lines of investigation that might have uncovered other motivations or possible conspiracies have been followed through with negative results), we must become familiar with the details of what both the American and Mexican investigatory agencies there have done. This means reading their reports, after translation, if necessary, and in some cases talking with the investigators themselves.

The thoroughness of investigation which Coleman and Slawson articulated as a vital concern to the Commission's work had been **Constantly** thwarted by the CIA's **Concern** the less investigation sources and methods, relevant be experience of persons to the Commission's investigation, the limited number of persons engaged in an investigation of a gravity and historical significance unprecedented in this nation's history, this material from the Commission States was in excusable. On April 9, David Slawson, Howard Willens, and

William Coleman flew to Mexico City, Mexico to meet with the representatives of the State Department, FBI, CIA, and the Government of Mexico. Prior to their departure, they met with Thomas Mann, U.S. Ambassador to Mexico during Oswald's visit to Mexico City and at the time of President Kennedy's assassination. Ambassador Mann increases the Warren Commission representatives that the CIA's Mexico City Station was actively engaged in photosurveillance operations against the Soviet and Cuban Embassy/Consulates (Slawson memo, April 22, 1964, p. )

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Upon the group's arrival in Mexico City, they were met by U.S. Ambassador Freeman, Claire Boonstra of the State Department, Clarke Anderson of the FBI, and Winston Scott of the CIA.

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That same day during a meeting between the Commission representatives and Win Scott, Scott made available to the group actual transcripts of the operations and English translations of the same. In addition, he provided the group with reels of photographs for the time period covered by Oswald's visit that had resulted from photosurveillance of the Cuban and Soviet Embassy entrances David Slawson wrote:

> "...Mr. Scott stated at the beginning of his narrative that he intended to make a complete disclosure of all facts, including the sources of his information, and that he understood that all three of us had been cleared for TOP SECRET and that we would not disclose beyond the confines of the Commission and its immediate staff the information we obtained through him without first clearing it with his superiors in Washington. We agreed to this." (Slawson memo, April 22, 1964, p. 22)

Mr. Scott described to the Commission representatives the CIA's course of action immediately following the assassination. Scott indicated that his staff are throughout to compile dossiers on Oswald, Duran, and everyone else throughout Mexico whom the CIA knew had had some contact with Oswald (p.22). Scott revealed all known Cuban and Russian intelligence agents had immediately been put under surveillance following the assassination. particularly Luis Echevarria, Acting Minister of the Mexican Governacion (pp. 23-24). Slawson then concluded immediated "Scott's narrative plus the material we were shown disclosed immediately how incorrect our previous information had been in Oswald's contacts with the Soviet and Mexican Embassies. Apparently the distortions and omissions to which our information had been subjected had entered some place in Washington, because the CIA information that we were shown by Scott was unambiguous on almost all the crucial points. We had previously planned to show Scott, Slawson's reconstruction of Oswald's probable activities at the embassies to get Scott's opinion, but once we saw how badly distorted our information was we realized that this would be useless. Therefore, instead, we decided to take as close note as possible from the original source materials at some later time

Slawson's memorandum of April 21, 1 of the notetaking from original source mat , full with the following Scott's disclosures. These note with the telephonic intercepts pertaining to, respectively, the Duran and Oswald conversations **Sorth period Sept a7-Oct / 1963**.

It is evident from Slawson's record that the Agency's denial of original source materials, in this case the telephonic surveillance intercepts, seriously impaired acurately reasoned ability to draw conclusions regarding Oswal

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of April 10, 1964, nearing the halfway point Commission investigation, the Commission was the factual path by which it had structured Oswald's activities in Mexico City. It further revealed that the Agency had provided ambiguous information to the Commission when, in fact "on almost all the crucial points" significantly more precise could have been made materials were available for analysis by the Commission.

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Thus, the Agency's early policy of not providing the Commission with the vitally relevant information derived from certain sensitive sources and methods had seriously undermined the investigation and possibly foreclosed lines of investigation that might have been more seriously considered had this material been expeditiously provided; (e.g., Cuban involvement.)

## VI. Mexico City Mystery Man

On November 23, 1963, Marguerite Oswald was shown by FBI Special Agent Odum a photograph of a man bearing no physical resemblance to her son. This photograph had been supplied to the FBI on November 22 by the CIA's Mexico City Station after Agency representatives had searched their files in an effort to locate information on Oswald. This photograph was one in a series **the series of the serie** (control of the circle of the operations against the Soviet and Cuban Embassy/Consulates **J** it had been linked by the Mexico City Station Prior to the assassination, DoLee Harvey Oswald. Richard Helms, in a sworn affidavit before the Warren Commission, stated that the photograph shown to Marguerite Oswald had been taken on October 4, 1963 in Mexico City and mistakenly linked at that time to

Oswald. ( cirte Helms attike it in wer)

On February 10, 1964, Marguerite Oswald testified before the Warren Commission and recounted the circumstances under which she was shown the photograph. Mrs. Oswald testified that she believed this photograph to have been of Jack Ruby. (p. 153)

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As MMC Thereafter, on February 12, 1964, J. Lee Rankin wrote Thomas Karramesniss Assistant PDP to the Karramesniss Assistant PDP the Karramesniss Assistant PDP to the Karramesniss Assista

On that same day, in a separate letter to DCI McCone, Rankin wrote that the Commission had been informed by the Secret *since November 22,1963* Services that the CIA had disseminated several reports or communications concerning the assassination to the Secret Service since New Markin requested copies of these These materials cables the secret enternal included reports and other materials. Three individual included concerned with the photograph of the individual included by the Marking City Station of New Marking City Station of Service Station of Station of the individual included by the Marking City Station of the individual included Service Station of Station o

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disseminated to the Secret Service was a November 26 dissemination (DIR85177), a copy of which was transmitted to the Secret Service. That cable concerned the Dor Armas conversations and disclosed the existence of CIA

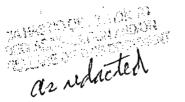
assassination and Oswald's earlier visit.

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John Scelso testified regarding the circumstances surrounding the eventual explanation given to the Commission of the origin of the photograph in question. Scelso stated:





"We did not initially disclose to the Warren Commission all of our technical operations. In other words, we did not initially disclose to them that we had photosurveillance because the November photo we had (of MMM) was not of Oswald. Therefore it did not mean anything, you see?"

Mr. Goldsmith: ...So the Agency was making a unilateral decision that this was not relevant to the Warren Commission.

(Scelso deposition, p. 150)

Scelso: Right, we were not authorized, at first, to reveal all our technical operations.

Commission working in the concern to the CIA. production (as discussed in the Similar disclosure) (as discussed in the Similar disclosure) of the photosurveillance operations, the the Walker Commission has begin a the walker Commission has begin a

the Agency.

On March 5, 1967, Raymond Rocca wrote in an internal memorandum to Richard Helms that "we have a problem here for your determination." Rocca outlined Angleton's desire not to respond directly to Rankin's request of February 12 regarding CIA material forwarded to the Secret Service since November 23, 1964. Rocca then stated:

> "Unless you feel otherwise, Jim would prefer to wait out the Commission on the matter covered by paragraph 2 (of the above-referenced February 12 letter). If they come back on this point he feels that you, or someone from here, should be prepared to go over to show the Commission the material rather than pass them to them in copy. Incidentally, none of these items are of new substantive interest. We have either passed the material in substance to the Commission in response to earlier levies on the items on the items refer to aborted leads, for example, the famous sex photographs which are not of Oswald..." (Rocca memo 5 March 64, FOIA 579-250)

On March 12, 1964, representatives of the Warren Commission and the CIA confered regarding the February 12 request for the materials forwarded to the Secret Service by the Agency. (See Rankin letter of March 16, 1964 and Slawson memo, March 12, 1964)

The record indicates that the Commission at the March 12 meeting pressed for access to the Secret Service materials. Rankin wrote to Helms on March 16 that it was his understanding that the CIA would supply the Commission with a paraphrase of each report or communication pertaining to the Secret Service materials "with all indications of your confidential communications techniques and confidential sources deleted. You will also afford members of our staff working in this area an opportunity to review the actual file so that they may give assurance that the paraphrase are complete." (Rankin letter of March 16, 1964, #2)

Rankin further indicated that the same procedure it to be followed regarding any material in the possession of the CIA prior to November 22, 1963 which had not yet been furnished because it in sensitive sources and methods. (Rankin letter of March 16, #3)

Helms responded to Rankin's March 16 letter on March 24 (DDP4-1554, CD631 and DDP4-1555, CD 674) by two separate communications. CD631 provided the Commission with a copy of the October 10, 1963 CIA dissemination to FBI, State Dept., INS and Navy Dept. (SS on 22 Nov.) regarding Lee Harvey Oswald and his presence at the Soviet Consulate in Mexico City. The response further revealed that on October 23, 1964, CIA had

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requested two copies of the most recent photograph of Oswald in order to check the identity of the person believed to be Oswald in Mexico City. Furthermore, the CIA stated that it had determined that the photograph shown to Marguerite Oswald on November 22, 1963 did not refer to Lee Harvey Oswald This by checking the photograph against the press photographs of Oswald generally available on November 23, 1963.

> CD 674 reveals that on November 22, 1963, immediately following the assassination, and on November 23, 1963, three cabled reports were received at CIA headquarters from the CIA Mexico City Station regarding photographs of an unidentified man who had visited the Cuban and Soviet Embassies during October and November 1963. Paraphrases of these cables, not revealing sensitive sources and methods, were attached to CD 674. The Agency further states that the subject of the photo referenced in these cables was not Oswald. It is further stated that:

> > "In response to our meeting of 12 March and your memo of 16 March, Stern and Willens will review at Langley the regional copies of these 3 disseminations to the Secret Service and the cables on which they were based, as well as the photos of the unidentified man." (CIA, p. 116444 of notes)

On March 26, William Coleman wrote in a memorandum for the record:

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"The CIA directed a memorandum to J. Lee Rankin on March 24,196 (Commission Document No. 631) in which it set forth the dissemination of the information on Lee Harvey Oswald. I realize that this memorandum is only a partial answer to our inquiry to the CIA dated March 16, 1964 and I hope that the complete answers will give us the additional information we requested."

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## Coleman went on to state:

"As you know, we are still trying to get an explanation of the photograph which the FBI showed Marguerite Oswald soon after the assassination. I hope that paragraph 4 of the memorandum of March 24, 1964 (CD 631) sent Mr. Rankin by the CIA is not the answer which the CIA intends to give us as to this inquiry."

The following day, as agreed by Warren Commission and Agency representatives, Samuel Stern of the Commission visited CIA headquarters in Langley, Virginia.

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Sterns' memorandum reveals that he reviewed Oswald's file with Raymond Rocca. Stern indicated that Oswald's file contained those materials furnished previously to the Warren Commission by the CIA. The file also contained:

Cable reports of November 22 and November 23 from

the CIA's Mexico City Station relating to the photograph of the unidentified individual mistakenly believed to be Lee Harvey Oswald and the reports on those cables furnished on November 23, 1963 to the Secret Service by the CIA."

Stern noted that these messages were accurately paraphrased in the attachments to CD 674 provided the Warren Commission on March 24, 1964.

> Stern also reviewed the October 10, 1963 cable from CIA's Mexico City Station to the CIA headquarters reporting Oswald's contact with the Soviet Embassy in Mexico City. He also reviewed the October 10, 1963 cable from CIA headquarters to the Mexico City Station reporting background information on Oswald.

Stern noted that these messages were also paraphrased set for the accurately as memory in the CIA's January 31 memo to the Warren Commission reporting Oswald's Mexico City trip.

Lastly, Stern noted that Rocca provided him for his review a computer printout of the references to Oswald and a documents located in the Agency's electronic data storage system. He stated "there is no item listed on the printout which the Warren Commission has not been given either in full text or paraphrased."

Thus, by the 27th of March, a Warren Commission representative had been apprised of the circumstances surrounding the mysterious photograph.

# VII. <u>Allan Dulles' Role vis-a-vis the CIA-Warren Commission</u> Relationship

It has been alleged that Allan Dulles, former Director of Central Intelligence and one of the **\$**even members of the Warren Commission, concealed crucial information from the Warren Commission. Specifically, the Senate Select Committee concluded:

"With the exception of Allan Dulles, it is unlikely that anyone on the Warren Commission knew of CIA assassination efforts...Allan Dulles, who had been Director of Central Intelligence until November 1961, was a member of the Warren Commission and knew of the CIA plots with underworld figures which had taken place during his tenure at the Agency." (SSC, Book V, pp. 67-68) However, the SSC did not explore further the relationship and

allegiance**9**of Dulles as a Warren Commission member and Dulles as a former DCI of the CIA. The Committee has consequently reviewed files maintained by the CIA related to Mr. Dulles'

service on the Warren Commission. In the course of this review, a memorandum was uncovered which indicates Dulles providing information to the CIA regarding Warren Commission This memorandium tends to show activities and investigative policies. atleastone that Dulles acted as an informant on occasion for the CIA. rnemora rater concerned in a second the controversial case of the Russian defector Nosenko. The memorandum was written by David Murphy, Chief of the Soviet Russia Division Farticularly concerned with who was 🗴 parereurarly boomme case, Nosenko's ene de farmer's interrogation.

David Murphy's memorandum of July 8, 1964 concerned his discussions with Allan Dulles memorandum was prepared for DDP Helms. Murphy wrote:

> "Mr. Dulles, with whom I spoke today recalled his earlier conversation with you on this subject and said that there were still some members of the Commission who were concerned lest they suppress the Nosenko information now only to have it surface at a future date. They expressed concern that this could possibly prejudice the entire Warren Commission Report."

Murphy responded to Dulles' statement by stating that the Commission's concern was understandable but that the Agency felt the Commission's final report should make no mention of Nosenko's information. Murphy indicated that a possible alternative would be to use language "which would allude to the existence of other, unverified information on the Oswald case." This language, Murphy contended, would permit the Warren Commission to state, if challenged on this point at a future time, that it had given consideration to the Nosenko information.

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# Murphy continued:

"It was agreed an effort would be made to find such language if Mr. Dulles is again unsuccessful in persuading his colleagues to eliminate any reference to the Nosenko information from the report. To attempt this, however, we would have to know precisely in what context the Warren Commission intended to make use of the Nosenko information. This, Mr. Dulles will have to determine from Mr. Rankin. He will do this as soon as possible. He knows that I am leaving this week and therefore, will contact you as soon as he has the information he needs from Mr. Rankin."

Whether by design or as an unintended result, the quoted language indicates that Mr. Dulles, as a member of the Warren Commission, was prepared to compromise his position with the Commission in order to supply the CIA, specifically Murphy and Richard Helms, with sensitive information **about** the Commission's attitudes towards the Nosenko case. It also appears that the AGency had communicated to the res the information it desired regarding the commission position on Nosenko, and that Dulles was prepared to act in this regard as a high level and very well placed informant for the CIA.

Murphy prepared a second memorandum arted 28 July 1964. The subject of this memorandum concerned use of Nosenko's information in the Warren Confission's report. Participants in the discussion upon which the memorandum was based included Allan Dulles, Thee Rankin, David Slawson of the Commission, and Richard Helms, David Murphy and Tennant Bagley of the CIA.

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Luisa Calderon VIII.

Approximately five hours after President Kennedy's assassination, a Cuban government employee in Mexico City named "Luisa" received a telephone call from an unidentified man speaking Spanish. (MEXI 7105, 27 Nov. 63, FOIA 173-615, attachment) This call had been by the CIA's Mexico City Station as the result of its operation. (op cit) The Mexico City Station identified the Luisa of the conversation as Luisa Calderon', who was then employed in the Commercial Attache's office at the Cuban Consulate.

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During the course of the conversation, the unidentified caller asked Luisa if she had heard the latest news. Luisa replied in a joking tone:

"Yes, of course, I knew almost before Kennedy."

The caller went on to tell Luisa that the person apprehended for Kennedy's slaying was the "President of one of the Committees of the Fair Play for Cuba." Luisa replied that she knew this also. Luisa inquired whether the person being held for the killing was a gringo. The unidentified caller replied, "yes." Luisa told her caller that she had learned nothing else about the assassination; that she had learned about the assassination only a little while ago. The unidentified caller commented:

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We think that if it had been or had seemed...public or had been one of the segregationists or against intergration who had killed Kennedy, then there was, let's say, the possibility that a sort of civil war would arise in the United States; that contradictions would be sharpened...who knows

Luisa responded:

Imagine, one, two, three and now, that makes three. (She laughs.)

Raymond Rocca, for a second descent of the second descent descent descent of the second descent de

Kennedy wrote regarding Calderon's comments:

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In connection with the assassination, Luisa Calderon's name first surfaced on November 27, 1964 in a cable sent by MAN Ambassador Mann to the State Department. (DIR 85573 WH, FBI and CIA).

Strength Strength Information was reported to the CIA during May 1964, from a Cuban defector, tying Luisa Calderon to the Cuban Intelligence apparatus. The defector, AMMUG-1, was himself a Cuban Intelligence Officer who supplied valuable and highly reliable information to the CIA regarding Cuban Intelligence operations. Calderon's ties to Cuban Intelligence were reported to the Warren Commission on June 18,1944 (Did the State Department supply the cable to the Warren Commission? Have we reviewed their Mann file?) However, from its file review the Committee has determined that the CIA did not provide Calderon's conversation to the Warren Commission. As a result although even though the Warren Commission was aware that Calderon had connections to intelligence work, as did other Cuban Embassy officers, the vital link between her background and her comments was never established for the Warren Commission by the CIA. The Agency's oversight in this regard may have forechased the Commission from actively pursing a lead of great significance.

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In that cable Mann stated:

...Washington should urgently consider feasibility of requesting Mexican authorities to arrest for interrogation: Eusebio Azcue, Luisa Calderon and Alfredo Mirabal. The two men are Cuban national and Cuban consular officers. Luisa Calderon is a secretary in Cuban Consulate here."

This cable does not state the basis for arresting Calderon. However, the CIA's copy of this cable bears a handwritten notation on its routing page. That notation states: "Info from Amb Mann for See Rusk re: ...persons involved with Oswald in Cuban Embassy.

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Mann went on to state in urgent terms:

"They may quickly be returned to Havana in order to eliminate any possibility that Mexican government could use them as witnesses."

According to CIA files, Calderon returned to Havana on December 16, 1963, less than four weeks after the assassination.

Calderon, Azcue and Mirabal were not arrested nor detained for questioning by the Mexican federal police. However, Silvia Duran, a friend and associate of Calderon's and the one person believed to have had repeated contact with Oswald while he was in Mexico City, was arrested and questioned by the Mexican police on two separate occasions (Cites). During her reinterrogation, Duran was questioned basis regarding her association with Calderon. NO GHE temetion is given in this report for the questions concerning Calderon (Cites). The information regarding Duran's interrogation was passed to the Warren Commission on February 21, 1964 (DDP4-0940), more than two months after Calderon had returned to Cuba.

" redacted Calderon's 201 file reveals that she arrived in Mexico City from Havana on January 16, 1964, carrying Cuban Passport E/63/7. Her date of birth was believed 21612) Calderon's presence in to be 1940 (Dispatch, Mexico City was first reported by the CIA on July 15, 1963 in a dispatch from the CIA's Miami field office to the CIA's Mexico City Section and to the Chief of the CIA's That dis-Special Affairs Staff (for Cuban operations). patch had attached to it a report containg biographic data on personnel then assigned to the Cuban Embassy in Mexico At page three of the attached report Luisa Calderon City. was listed as Secretary of the Cuban Embassy's Gommercial The notation indicated that a report was pending Office. The Agency has attempted, without success, on Calderon. to locate the report.

> On September 1, 1963, a dispatch was sent from the Chief of the Special Affairs Staff to the Chief of the Station in Mexico City (Dispatch / 1/1935).

> Luisa Calderon's association with the Cuban DGI recorded by was first reported in the CIA on May 5, 1964. At that time Second Langosch Chief of Counterintelligence for the Special Affairs Staff, reported the results of his debriefing of the Cuban defector, AMMUG-1. The memorandum stated that AMMUG (had no direct knowledge of Lee Harvey Oswald or his activities but was able to provide items of interest based upon the comments of certain Cuban In-

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A2 reducted Nas telligence Service officers. Specifically, AMMUG-1 hash asked if Oswald was known to the Cuban intelligence services before November 23, 1963. AMMUG-1 told as recorded in the May 5 memorandum that "Prior to October 1963, Oswald visited the Cuban Embassy in Mexico City on two or three occasions. Before, during and after these visits, Oswald was in contact with the Direccion General De Intelligencia (DGI), specifically with Luisa Calderon, Manuel Vega Perez, and Rogelio Rodriguez Lopez." ( Cite Mays man.)

Langosch thereafter wrote that Calderon's precise relationship to the DGI was not clear. As a comment to this statement he set forth the CIA cable and dispatch traffic which recorded her arrival in Mexico and departure for Cuba. shortly after the assassination (cite May & mans) On May 7, 1964, recorded additional information he had elicited from AMMUG-1 regarding Oswald's possible contact with the DGI. Paragraph 3 of this memorandum stated in part:

- "a. Luisa Calderon, since she returned to Cuba, has been paid a regular salary by the DGI even though she has not performed any services. Her home is in the Vedado section where the rents are high.
- b. Source (AMMUG) has known Calderon for several years. Before going to Mexico, she worked in the Ministry of Exterior Commerce in the department which was known as the "Empress Transimport." Her title was Secretary General of the Communist Youth in the department named in the previous sentence.

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On May 8 further disclosed AMMUG's knowledge of the Oswald case. araphrased AMMUG's knowledge of Calderon as follows:

> I thought that Luisa Calderon might have had contact with Oswald because I learned about 17 March 1964, shortly before I made a trip to Mexico, that she had been involved with an American in Mexico. The information to which I refer was told to me by a DGI case officer... I had commented to (him) that it seemed strange that Luisa Calderon was receiving a salary from the DGI althought she apparently did not do any work for the Service. (The case officer) told me that hers was a peculiar case and that he himself believed that she had been recruited in Mexico by the Central Intelligence Agency although Manuel Pineiro, the Head of the DGI, did not agree. As I recall, (the case officer) had investigated Luisa Calderon. This was because, during the time she was in Mexico, the DGI had intercepted a letter to her by an American who signed his name OWER (phonetic) or something similar. As you know, the pronunciation of Anglo-Saxon names is difficult in Spanish so I am not sure of how the name mentioned by Hernandez should be spelled. It could have been "Howard" or something different. As I understand the matter, the letter from the American was a love letter but indicated that there was a clandestineprofessional relationship between the writer and Luisa Calderon. I also understand from (the case officer) that after the interception of the letter she had been followed and seen in the company of an American. I do not know if this could have been Oswald ...

On May 11, Raymond Rocca wrote a memorandum to Director Richard Helms regarding the information had elicited from AMMUG. Rocca proposed that "the DDP in person or via a designee, preferably the former, discuss the AMMUG/I sitantion on a very restricted basis with Mr. Rankin at his earliest convenience either at the Agency or at the Commission headquarters. Until this ing." (11 May 64, Rocca memo, FOIA687-295 with/4 attachments).

On May 15, 1964, Helms wrote Rankin regarding AMMUG's information about the DGI, indicating its sensitivity and operational significance. Attached to Helms' communication was a paraphrased accounting of May 5 memorandum. (Helm's memo, May 15, 1964, FOIA 697-294). In that attachment the intelligence associations of Manuel Vega Perez and Rogelio Rodriguez Lopez are set forth. However, that attachment makes no reference whatsoever to Luisa Calderon.

Howard Willens of the Warren Commission, requested A CORSS as a follow-up to the May 15 memorandum, transformer the ; interrogation of AMMUG. (Dooley questions used in memo to Rocca, 19 June 1964 FOIA 739-310). On June 18, 1964 Arthur Dooley of Rocca's Counterintelligence besearch and Analysis group took the guestions and AMMUG's responses to the Warren Commission's officers for Willen's review. May 5 memorandum. The only mention Willens saw of Aclderon was as follows: "The precise relationship of Luisa Calderon to the DGI is not clear. She spent about six months in Mexico from which she returned to Cuba early in 1964. However, Willens was not shown the nemorandum of May 7 and May 8, 1964 which contained much more detailed information on Luisa Calderon, including her possible

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association with Lee Harvey Oswald and/or American intel-

(cite Doolen manna) A ligence.

Committee state

It should be noted that these memoranda of May 5, 7, 8, 11 and June 19 with attachments, are not referenced in the Calderon 201 file. Their existence was determined by the Committee's independent review of other agency files.

mission had been apprised of Calderon's background and miber possible contact with Oswald it still denied the one significant piece of information that might have an Circle Posting interestin Culderon. to a more serious level. its invosti The Warren Commission never was told about Calderon's converthis conversation is not referenced sation of November 22, 1964. This converse was determined of the calacing and file of the Agenus file of the Give details of how we know not reported cite existence was determined by The Com Commenter testimony of Frankin, Slawson; give section possible motive for not telling of detailed information on Luisa (mentions CIA association; site the dispatch close out with Rankin guotes.)

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Commission and be responsive to their reques, but wewere not initiation any investigations of our own on to my recollection were we ever asked to . S. Exec Session Testimony of Richard Helms, 8/9/78, [81-rigg

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Mr. Porca's weeken getter testified that a point g contact with the Commission, information generated by CIA components was brought to This style in the normal flow of day to day work (Rocia pp 10-17) This information was then reviewed by Roca a pisassolants who Included The Thomas Hall, (Soviet Elper, Paul Hartman general research and plance man for the Drittlegene Community and its resources), and arthur Dooley ( How to the agent who had transfered to CIA from the FBI a nunti p'years own to the assassination (Rocca pir). After appointants USTATATA Hall, Hartman and xlooley were the persons offers date Jugose concerned during the course of Use Warren commission inostigation with the varius CIAdwins that produced publicative improved relative to the assassination ( I bid ] Howard Mr. Rocen testified What even though CIPRTA was Me aging point of reference with regard to the warren Commosen the staff non the ciplef inglored in the distance relation Mr. Helms with The Warren Ummissem. (Rocce pile) in did

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by way of grangel the Molenho. force was not cleared for the Mselo Cree. The dud not attend any of the have discussions that pertained To ske case (Rocca p 18) Pathe, In this inclance David Murphy chief g SR Dwooins, attas reporceble along wille Kichand Helm In the warren Commission's Enwlede in the mette, Rocca abo cited the Cistif mail inlercept program, HTLINGUAL second as geneather granter about when he had no knowlede na input. (Rocca pis-20) agening suggest we to delive put. (Rocca pis-20) Rocke angleton + Mad courses worked handled the particula malmal ( Lote Angleton V degos)

Holdow the adverting I show the mater that seeing the second phase of CIA information collection the association of President Kenney the concentra ition, agency resince shifted in emphasis from exploration of Operald's dery in possible association with Soviet intelle igene apparation for the commented that his primery interest in support of The Warren Commission was to follow-up in Sovietlead: on the assumption that a person who spends four years to in The Soviet Unin, under his curcum stance, had to be p specific interest to Soveet State secon its and then collateral authorites. [ Rocca, pp 32-33) Therefore, Rocca concluded that The areas The CIA. tender to concentrate on and concerned the Soviet because the people Lewis in truck within Mexico Ladtrace, prin tracs, as KEBpeople. They we unde consular cover and olvindy condu have been doing and were undone tedy doing a consular job in the earlie contacts (Tbid, p 33)

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Angleton was in agree vert with Rocca's anagois that during the second thase of the agening's support role to the Warren Commission the CIA concentrales its resources on the proseleles with influence on Operaldi (p86 Al states In the record with regard to the AFF Common onosigation (with CIA's support) of the prosible about involvement in the association: I personally believe that The Uniter States intelligence services did not have the capabilitie to evecome to an adjudication ( note Cultan aspect ). Idm't Thirk the capabilities were the (Angleton, P 93)

-te-sh I Immediate persons and compose. A Requirements 1. at Mx.C 2. at H & '5' B. Results Advent. S WC + CIAOG Visavis ( . . - Heimsderignation Role of Scelso + background Dela A-A to his investigation --B. chargeour to Q1 - Lois or ci 1. persons in (1 2. Rocca - Hartman Noventer D. 1. Sr Division, Cusan Ops 1. Lach be Reporting to WC E