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DATE: 11-14-2017

JFK Assassination System  
Identification Form

Date: 5/4/2015

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## Document Information

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FD-263 (Rev. 12-19-67)

SECRET

# FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>NEW YORK</b>	OFFICE OF ORIGIN <b>NEW YORK</b>	DATE <b>JAN 21 1972</b>	INVESTIGATIVE PERIOD <b>10/30/70 - 12/23/71</b>
TITLE OF CASE <b>SUSAN HEILICMAN FRANK, aka</b>		REPORT MADE BY <b>EDWIN YEE</b>	CLASSIFIED BY <b>CIA HAS NO OBJECTION TO DECLASSIFICATION AND RELEASE OF THIS INFORMATION IN THIS DOCUMENT.</b>
		CHARACTER OF CASE <b>IS - CH</b>	<b>JM 10-6-97 SLD/KSR 11-1-97 (JFK) 12/9/99 Classified by SP8/MLK Declassify on: OADR (JFK)</b>

REFERENCE:

NY report of SA EDWIN YEE, 11/17/70.

P

ADMINISTRATIVE:

Two copies of FD-376 are attached.

Subject and husband's account at the Chemical Bank, NY, NY, have been reviewed on a periodic basis; however, they have not reflected any pertinent information re subject.

Information concerning toll calls charged to telephone number CH 2-0021, 110 Christopher Street, NYC, were

ACCOMPLISHMENTS CLAIMED					<input type="checkbox"/> NONE	ACQUIT-TALS	CASE HAS BEEN:
CONVIC.	AUTO.	FUG.	FINES	SAVINGS	RECOVERIES		
							PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED <i>JM/AMC</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW	
COPIES MADE		100-339235-39	EX-23
5 - Bureau (100-339235) (RM) 1 - Secret Service, NYC (RM) (S) 1 - Chicago (100-41353) (ALCHEMY) (INFO) (RM) 2 - New York (100-42479) (1 - 100-107383) (RICHARD C. FRANK)		JAN 25 1972	

Dissemination Record of Attached Report		Notations
Agency	STATE - CIA - Rom - secret Service (FD-376 # 3)	NAT. INT. SEC. CLASSIFIED BY 5668 SLD/GCL DECLASSIFY ON: 25X (U/W) (JFK) 7/2/98
Request Recd.		
Date Fwd.	2/2/72	
How Fwd.	9/5	
By		

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previously set forth in NY report dated 12/22/71 and captioned, "RICHARD CYRIL FRANK; IS - CH," as it is not known whether the calls were made by subject or her husband.

The following information classified "SECRET" and not to be disseminated without the approval of RCMP was furnished on the dates indicated: (S)

On 10/30/70, RCMP advised PU Chao-min, New China News Agency (NCNA) representative, on 9/2/70 was contacted by a Mr. LIE (ph) who advised he knew SUSAN WARREN (ph) of New York. LIE further advised he (date not specified) and STERLING NEWBERRY and his wife had contacted WARREN to talk about the political situation in the US. NEWBERRY was described as a political activist in the US. The NEWBERRYS, about four years ago, had lost their teaching positions because of their political activities; allegedly, they now believe in MAO Tse-tung thought. LIE then presented PU with WARREN's telephone number, which is that listed to subject and her husband, and described her as being an ardent MAOist. (S) *NY Canada*

Information dated 5/20/71 indicated subject, as SUSAN WARREN, arrived in Ottawa from New York via Eastern Airlines on 4/17/71, and met with PU. (S)

Subject mentioned she was in China in 1961, and had visited for a year and a half. The trip was made possible through TANG Ming-chao. In reply to PU's query, subject stated she had met ANNA LOUISE STRONG, with whom she was previously acquainted, while in China. Arrangements were made by PU for subject to meet with representatives of the People's Republic of China (PRC) Mission in Ottawa. (S)

RCMP, on 7/28/71, furnished information indicating PU on 6/17/71 had been contacted by subject, who informed him she would travel to Ottawa 6/19/71, as she had "some interesting things" to talk over with him. (S)

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ADMINISTRATIVE (Continued)

Subject was met at the airport by PU on 6/19/71, and upon conclusion of the meeting, he drove her to the airport. (S)

Information from RCMP on 9/9/71 disclosed a source was advised by MA Kue-hsin, wife of LO Yi-ching, NCNA, that on 8/12/71, subject arrived in Ottawa and went directly to the PRC Embassy, where she met YAO Jen-liu. PU was also at the Embassy to meet subject. (S)

The agents who observed subject and her husband at the "China Daily News" on 7/11/71 were MICHAEL E. DENNEHY and EDWIN YEE.

Subject had been included in Priority I of the former SI. Review of her file does not reflect any change in her status is warranted and she will continue in Category I of the ADEX.

Investigative period of report overlaps that of the prior report as information from [RCMP] was not received in time for inclusion in prior report. (S)

INFORMANTS:

<u>Identity of Source</u>	<u>File Where Located</u>
NY T-1 A knowledgeable source in a position to have information of this type	Instant file
NY T-2 NY 694-S	Characterization of RICHARD C. FRANK
NY T-3 [MI-5, Hong Kong] (S)	100-107383

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INFORMANTS (Continued)

<u>Identity of Source</u>	<u>File Where Located</u>
NY T-4 (S) *CG [6547]-S* (S)	Instant file
NY T-5 SF 3169-S	Characterization of LEIBEL BERGMAN
NY T-6 (S) *CG [7262]-S* (S)	Characterization of LEIBEL BERGMAN and VICTORIA GARVIN
NY T-7 CIA (S) (u)	Characterization of VICTORIA GARVIN
NY T-8 *NY [5181]-S* (S)	*134 [10872] (S)
NY T-9 DST, France (S)	Instant file
NY T-10 *NY [5147]-S* (S)	*134 [1004] (S)
NY T-11 *NY [10392]-S* (S)	[105-115840] (S)

LEADS:

Information copy is being furnished Chicago for their file captioned "ALCHEMY (S) IS - CH (S)" because of their interest in subject in connection with this matter.

NEW YORK

AT NEW YORK, NEW YORK. Will continue to monitor subject's account at the Chemical Bank, will cover subject's activities through established sources, and will remain alert for anyone who could be developed as a source against subject.

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1.  Subject's name is included in the ~~Security Index~~ <sup>ADEX</sup>  Reserve Index.
2.  The data appearing on the ~~Security Index Card~~ <sup>ADEX</sup> are current.
3.  The data appearing on the Reserve Index Card  A  B  are current  have been changed.
4.  Changes on the Security Index Card are necessary and Form FD-122 submitted to the Bureau.
5.  A suitable photograph  is  is not available.  
Date photograph was taken \_\_\_\_\_
6.  Subject is employed in a key facility and \_\_\_\_\_ is charged with security responsibility. Interested agencies are \_\_\_\_\_
7.  This report is classified "~~SECRET-NO FOREIGN DISSEMINATION~~" because (state reason) **it contains information from NY 10392-S [S] It would otherwise be classified "CONFIDENTIAL" as it contains information from other sources of continuing value, supra, the unauthorized disclosure of which would be prejudicial to the national defense interests.**
8.  Subject previously interviewed (dates) 4/5/59, 2/13/62, 3/28/62, 6/28/62  
 Subject was not reinterviewed because (state reason) and 12/12/62.  
**She has been uncooperative on several occasions and there is no reason to believe she would be cooperative at this time.**
9.  This case no longer meets the Security Index criteria and a letter has been directed to the Bureau recommending cancellation.
10.  This case has been reevaluated in the light of the ~~Security Index~~ <sup>ADEX</sup> criteria and it continues to fall within such criteria because (state reason) **former members of the PLP have identified subject as being closely associated with the PLP and a regular writer for "Challenge." On 5/3/69, she advised CG 5851-S she had written articles for PLP, spoke in their behalf, and continues to be sympathetic to them. Subject travelled to China in August 1971 and is known to TANG Ming-chao, a member of the PRCMUN and suspected Chicom agent, and has been in contact with him since his arrival.**
11.  Subject's ~~SI~~ <sup>ADEX</sup> card is tabbed  Priority I  Priority II  Priority III.  
 Subject's activities warrant such tabbing because (state reasons) **NY 4112-S advised on 8/20/63, subject was known to be in contact with TANG; she has been in contact with him since his arrival in the US.**
12.  Security Flash Notice (FD-165) to Identification Division:  
 Submitted \_\_\_\_\_ (date) Placed  Yes  No
13.  Subject is Extremist in Priority I of SI and Stop Notice has been placed with NCIC.

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NY T-2, on August 15, 1966, advised RICHARD C. FRANK is a staunch pro-Chinese Communist.

NY T-3, a confidential source abroad, advised RICHARD C. FRANK entered China from Hong Kong on September 29, 1971. (S)

### Employment

NY T-1, on December 23, 1971, stated to her knowledge that subject, whom she previously advised was a freelance writer, continues to hold the same occupation and apparently writes the articles from her place of residence.

### Contacts

#### LEIBEL BERGMAN

NY T-4, on March 15, 1971, stated BERGMAN had advised in the recent past he had visited subject at her place of residence.

During the period January 9, 1971 to April 23, 1971, telephone number 242-0021, which is listed to subject and her husband, was called from the residence of BERGMAN.

On July 24, 1968, NY T-5 advised LEIBEL BERGMAN is one of the co-founders of the Revolutionary Union.

The Revolutionary Union (RU) was founded in early 1968 and is a militant, semi-clandestine organization. Its objectives, as set out in its publication, are the development of a united front against imperialism, the fostering of revolutionary working-class unity and leadership in struggle, and the formation of a communist party

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NY T-9, a confidential source abroad, on September 7, 1971 advised subject departed Orly Airport, Paris, France, on August 16, 1971, en route to Peking, China. Subject was described as being a journalist. (S)

NY T-8 advised subject was observed at John F. Kennedy International Airport, NY, on November 11, 1971, to welcome the arrival of the delegation of the PRCMUN. (S)(u)

Subject advised source she had returned to the US on November 9, 1971. (S)

NY T-6 advised LEIBEL BERGMAN, supra, had stated subject entered China separately from the Revolutionary Union and her husband and departed in the same manner.

The November 4-10, 1971 edition of the Chinese Communist English language publication, "Ta Kung Pao," published in Hong Kong, contained an article about subject's trip to China. A copy of the article is included in the Appendix.

#### Activities

*members of*  
On July 22, 1971, NY T-8 advised one of the initial meetings of the US-CPFA was held at the headquarters of the I Wor Kuen (IWK), 24 Market Street, NY, NY, on July 18, 1971. Among those present were subject, SAMMY LEONG, an employee of the CDN, and CHAN Yu-man, CHARLIE LEE, ROBERT LEE, LORRAINE LEONG, JEAN YONEMURA and YANG Shao-chien, all members of the IWK. (S)(u)

The IWK was founded in 1969 by ethnic Chinese youth. It has its headquarters at 24 Market Street, NY, NY, where it distributes pro-MAOist literature. The I Wor Kuen publication, "Getting Together," proclaims support for the People's Republic of China as well as for revolutionary armed war.

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NY T-11 advised during December, 1971, that subject had been contacted by [the] PRCMUN and requested to visit [the] Mission, and subject in turn had attempted to contact TANG Ming-chao, supra, on several occasions. (S)

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