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SECRET

**SUBJECT** :

**FORMERLY** : Svetlana Mikhailovna GOLITSYNA (nee CHEREPOVALOVA)

**(ALIAS** : Svetlana Mikhailovna KLIMOVA)

**DOB & POB** : 1 March 1929 iz Selak, Rostov Oblast, RSFSR, USSR

**HUSBAND** : Anatoliy Mikhailovich GOLITSYN (born 25 Aug. 1926 in Piryatin, Poltava Oblast, Ukrainian SSR), married Moscow, 23 Aug. 1953.

**DAUGHTER** :

**FORMERLY** : Tatyana GOLITSYNA (born 22 June 1955 in Vienna, Austria)

**EDUCATION** : 1949-50 Moscow Flakhanov Institute of Economics. Course not completed.  
 1950-53 *and*  
 1955-57 Moscow Lomonosov State University, majoring in history. Graduated.

**OCCUPATION** : Nov. 1959-July 1960 Editor at Moscow MOSFILM film studio

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I. Physical Description:

Age	- 6
Apparent Age	- 6
Height and Weight	- 42" - 50 pounds
Color of Eyes	- Hazel
Hair	- Blonde
Teeth	- Poor
Posture	- Erect
Face	- Oval
Complexion	- Fair
Scars	- None
Build	- Medium
General Appearance	-
Prominent Features	- None
General Physical Condition	- Good

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29 March 1962

MEMORANDUM FOR : Director of Central Intelligence

VIA : Deputy Director (Plans)

SUBJECT : Admission of John [redacted] Stone (formerly  
Anatoliy Mikhailovich Golitsyn); His Wife,  
07 [redacted] (formerly Svetlana  
Mikhailovna Golitsyna, nee Chernovolova);  
and His Daughter, [redacted]  
(formerly Tatiana Golitsyna), into the  
United States for Permanent Residence  
under the Provisions of Section 7, Public  
Law 110, As Amended.

1. This memorandum contains a recommendation submitted for the Director's approval. Such recommendation is contained in paragraph 3.

2. Subject is a former senior operational case officer of the Soviet Committee for State Security (KGB) with the rank of major, who defected to the west on 15 December 1961 and requested political asylum in the United States. Subject was accompanied by his wife and daughter. Subject has already made an outstanding contribution to the intelligence and counterintelligence mission of the United States Government by furnishing valuable information of first priority concern to the National Security. This Agency and the Federal Bureau of Investigation continue to exploit his knowledgeability on Soviet intelligence operations in many parts of the world. Attachment "A" contains a detailed justification for use of the provisions of Section 7, Public Law 110, as amended, to grant permanent residence in the United States to Subject, his wife, and daughter.

3. I therefore recommend that Subject, his wife, and daughter be granted permanent residence in the United States under the provisions of Section 7, Public Law 110, as amended.

JOHN M. MAURY  
Chief, SR Division

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Orig: DD/P/SR Division/JMM-SR/CI:mr

**Attachments:**

- "A" - Justification for admission of John [redacted] Stone, his wife and daughter into the United States for permanent residence under the provisions of section 7, Public Law 110, as amended.
- "B" - Biographic data on subjects.

**Distribution:**

O & 1 - Addressee w/attachs.

2 - DD/P " "  
1 - C/CI " "  
1 - CDC " "  
2 - C/SR " "

1 - OS-Alien Affairs w/attachs.

**CONCUR:**

The recommendation contained in paragraph 3 is approved.

Deputy Director (Plans)

Director of Central Intelligence

Chief, CI Staff

Date: \_\_\_\_\_

CIA Defector Coordinator

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ATTACHMENT "A"

Justification for Admission of John [redacted] (formerly  
Anatoliy Mikhailovich GOLITSYIN), [redacted] (formerly Svetlana  
Mikhailovna GOLITSYINA nee CHERNOVALOVA) and [redacted]  
(formerly Tatyana GOLITSYINA) into the United States for permanent  
residence under the provisions of Section 7, P.L. 110, as amended.

1. Subject is a former senior operational case officer, a major  
in the Soviet Committee for State Security (KGB), who defected to the  
West on 15 December 1961 with his wife Svetlana and his daughter Tatyana.  
Prior to his defection, Subject was stationed in the Soviet Embassy in  
Helsinki under the cover of Third Secretary and under the name of  
Anatoliy Mikhailovich KLIMOV. He had arrived at this post in July 1960,  
accompanied by his wife and daughter, and had been engaged since that  
time in counterintelligence operations against American, British, French,  
Italian and Japanese targets. On 15 December 1961, Subject contacted the  
CIA representative in Helsinki at the latter's home and requested asylum  
in the United States. On 16 December 1961, Subject and his family flew

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Orig: DD/P/ER Division/JMM-ER/CL:mr

**Attachments:**

- "A" - Justification for admission of John Stone, his wife and daughter into the United States for permanent residence under the provisions of Section 7, Public Law 110, as amended.
- "B" - Biographic data on subjects.

**Distribution:**

- O & 1 - Addressee w/attachs.
- 2 - DD/P " "
- 1 - C/CI " "
- 1 - CDC " "
- 2 - C/ER " "
- 1 - OS-Allen Affairs w/attachs.

**CONCUR:**

The recommendation contained in paragraph 3 is approved.

Deputy Director (Plans)

Director of Central Intelligence

Chief, CI Staff

Date: \_\_\_\_\_

CIA Defector Coordinator

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ATTACHMENT "A"

Justification for Admission of John Stone (formerly  
Anatoliy Mikhailovich GOLITSYN); Ann (formerly Svetlana  
Mikhailovna GOLITSYNA nee CHERNOVALOVA) and

(formerly Tatyana GOLITSYNA) into the United States for permanent  
residence under the provisions of Section 7, P.L. 110, as amended.

1. Subject is a former senior operational case officer, a major  
in the Soviet Committee for State Security (KGB), who defected to the  
West on 15 December 1961 with his wife Svetlana and his daughter Tatyana.  
Prior to his defection, Subject was stationed in the Soviet Embassy in  
Helsinki under the cover of Third Secretary and under the name of  
Anatoliy Mikhailovich KLDYOV. He had arrived at this post in July 1960,  
accompanied by his wife and daughter, and had been engaged since that  
time in counterintelligence operations against American, British, French,  
Italian and Japanese targets. On 15 December 1961, Subject contacted the  
CIA representative in Helsinki at the latter's home and requested asylum  
in the United States. On 16 December 1961, Subject and his family flew

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with a CIA escort from Finland to Sweden and thence to the United States via Frankfurt am Main, Germany, arriving on 18 December 1961.

2. Subject first entered Soviet State Security work in August 1945, at which time he was enrolled in the First Moscow School, GUMR/MKD/SKRSKH, a school for counterintelligence officers in the Soviet armed forces. Upon graduation from this school in June 1946, he was assigned to the Eastern Section of the SK Department (security of Soviet citizens abroad) of the First Chief Directorate (foreign directorate) of the MIB as an Assistant Operational Case Officer. When the Committee of Information (KI) was established in 1947, incorporating the intelligence functions of State Security, Soviet military intelligence (GRU) and the Ministry of Foreign Affairs, Subject continued in his former activity in the newly formed Fifth Department of the Third Directorate of the KI. Between September 1948 and <sup>July</sup> August 1950, Subject attended the two-year Higher Intelligence School of the KI (formerly and presently the State Security Higher Intelligence School), completing the Counterintelligence curriculum. Upon the conclusion of his schooling, Subject returned as an operational

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Case Officer to his former function, which by that time was performed by the SK Section of the Eastern Department of the First Directorate of the MGB. In September, 1951, Subject transferred to the Anglo-American Section of the Counterintelligence Department of the First Directorate, MGB. He became a Senior Operational Case Officer in November 1961. Subject continued in this capacity through an MGB structural reorganization in December 1951, and was appointed Chief of the American Desk of the Counterintelligence Department of the Chief Intelligence Directorate (foreign directorate) of the MGB following another reorganization in December 1952. In April 1953, he was assigned as Deputy Chief of the Emigre Desk of the Counterintelligence Department of the Second Chief Directorate (Foreign Directorate) of the MVD, following still another reorganization in about April 1953 after the death of Joseph Stalin. In October 1953, Subject was assigned to the State Security Residency in Vienna, becoming an Operational Case Officer in the Emigre Group, under cover of Senior Referent of the Information Department of the High Commission in Austria. He was accompanied by his wife. In August 1954,

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he was transferred in Vienna to the British Sub-Group of the Anglo-<sup>6</sup>  
American-French Group of the KGB Residency where he worked on counter-  
intelligence matters. Subject returned to the USSR in August 1955 and  
entered the Juridical Institute of the four-year Higher School of the  
KGB, where he studied counterintelligence work. Upon graduation in  
September 1959, Subject was assigned to the "Western Treaty Organi-  
zations" Direction of the Information Department of the First Chief  
Directorate (Foreign Directorate) of the KGB. In April 1960, he was  
transferred to the American Section of the Counterintelligence Depart-  
ment of the First Chief Directorate, and from there, sent to Helsinki  
in July 1960. Subject was a Komsomol member from May 1942 until April  
1945, a candidate member of the Communist Party of the Soviet Union from  
April 1945 until April 1947, and a member of the Communist Party of the  
Soviet Union from April 1947 until his defection. Subject has provided  
a considerable amount of information on Soviet State Security operations,  
methods and personalities which has been accurate and consistent with  
the estimated knowledgeability of an individual with his position and

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experience. This information has been of major significance, exposing Soviet intelligence operations to a greater extent than information from any defector in recent years.

3. The bona fides of Subject was established as of *28 March* 1962.

Subject has been interrogated on his biographic data and has been debriefed upon substantive matters within the areas of his knowledge and experience.

Subject's wife has been debriefed upon her biographic data. Subject was given a technical examination on *28 March* 1962 with *favorable* results.

No evidence has been uncovered that indicates Subject was instructed by the KGB to defect for short or long term operational purposes or that his story of himself or his defection is not true. Actually, the value of the information to the U.S. Subject has already given and the corresponding damage to the KGB is evidence tending to support the bona fides of Subject.

4. Subjects have been examined by CIA medical and psychiatric officers and have been found to be qualified for Agency-sponsored immigration to the United States under Section 7, Public Law 110, as amended.

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5. Utilization. Subject has acquired considerable knowledge and experience from his service since 1946 as a Staff Officer of the KGB and has already given CIA certain counterintelligence information which has been of considerable value and upon the basis of which immediate action has been taken by CIA and other elements of the U.S. Government.

~~Subject~~ <sup>Subject</sup> professes to other areas of knowledgeability concerning counterintelligence and, to a far lesser extent, military, economic and political matters, and claims to be able to plan and execute a political action program to negate Soviet operations abroad and discredit the Soviet regime generally. Subject will continue to be debriefed by the SR Division for the purpose of obtaining additional information on the aforementioned subjects and will then be made available at the appropriate time to other components of CIA and the WSIB community in conjunction with the CDC for further purposeful debriefing. In addition, it may be possible to exploit Subject directly in CA or CE activities. Subsequent to this exploitation of Subject, he will be resettled in the U.S. This resettlement will impose no further financial burden on CIA inasmuch as

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Subject has been given tax free \$200,000.00<sup>OO/C</sup> will be requested to participate in these resettlement plans after certain sensitive aspects of his debriefing have been completed.

6. The SR Division recommends that Subject, his wife, and his daughter be granted permanent residence in the United States under Section 7, Public Law 110, as amended. Subject's membership in the Homecoming during the period May 1942 to April 1945, his candidate membership in the Communist Party from April 1945 until April 1947, and his membership in the Communist party from April 1947 until his defection on 15 December 1961 precludes the legalization of his admission into the United States for permanent residence under other existing immigration laws. Subjects are presently in the United States under parole procedures. The SR Division has agreed to assume primary responsibility for Subjects during the exploitation phase and OO/C will be requested to assist in the resettlement of Subject thereafter. Subjects' motivation, background, and progress to date in adjusting to life in the American economy leads us to believe that their further assimilation into American society will be successfully accomplished.

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PAST POLITICAL AFFILIATIONS:

May 1942 - Apr. 1945 - Komsomol member

Apr. 1945 - Apr. 1947 - Candidate member of the Communist  
Party of the Soviet Union

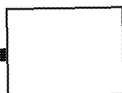
Apr. 1947 - Dec. 1961 - Member of the Communist Party of  
the Soviet Union

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Attachment "Y"

John



Stone

(Formerly Anatoliy Mikhailovich Galitsyn)

A. Personal Data

Subject was born 25 August 1906 in Piryatia, Poltava Oblast, Ukrainian SSR. His father, who came from a peasant background, moved to Moscow in 1933, where he was employed as a fireman. His mother came from the Ukrainian peasantry. Both of Subject's parents are now retired and were living in Moscow at the time of his defection. Subject has an older sister, who is married to a pilot of the Soviet Civil Aviation fleet, and who was also living in Moscow at the time of Subject's defection. Subject was christened in the Russian Orthodox Church.

B. Education

Subject began his education in Moscow and completed seven years of schooling at a normal seven year school. He then transferred to a cadet school, which specialized in artillery training. This school was evacuated from Moscow to Leninsk-Kuznetsk in 1941, because of the war. Subject

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Attachment "B"

SUBJECT : John  Stone

FORMERLY : Anatoliy Mikhailovich Golitsyna

(ALIAS : Anatoliy Mikhailovich Klimov)

DOB & POB : 25 August 1926 in Piryatin<sup>N</sup>, Poltava Oblast, Ukrainian SSR

WIFE :

FORMERLY : Svetlana Mikhailovich Golitsyna (nee Chernovalova)

DOB & POB : 1 March 1929 in Salak, Rostov Oblast

DAUGHTER :

FORMERLY : Tatyana Golitsyna

DOB & POB : 22 June 1955 in Vienna, Austria

EDUCATION : Sep. 1944 - Aug. 1945 - Frunze Artillery School in Odessa.  
Uncompleted.

Aug. 1945 - Jun. 1946 - First Moscow School, GUKR/NKO/SMERSH

Sep. 1948 - Jul. 1950 - Higher Intelligence School, KI, Moscow

Aug. 1955 - Sep. 1959 - Higher School, KGB, Moscow

MILITARY : Sep. 1944<sup>44</sup> - Aug. 1945 - Mobilized and attended Frunze Artillery School in Odessa.

OCCUPATION: Aug. 1945 - Dec. 1961 - Officer of the Soviet State Security Service (successively the MIB, KI, MVD and KGB) engaged in counterintelligence work; attained rank of Major.

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Subject completed his ten year schooling in this cadet school, receiving his "attest at Evilosti" (graduation certificate) in 1944. After graduation from this school Subject entered the Frunze Artillery School in Odessa. Subject transferred to intelligence work at his own request in August 1945 and did not finish the course of instruction at the Odessa Artillery School.

August 1945 - June 1946 Subject attended the one-year First Moscow School of the GUR/UKH/NSSTKH (Chief Counterintelligence Directorate of the Peoples' Commissariat of Defense/ "Death to Spies"). This school trained State Security personnel to become counterintelligence officers in the Soviet armed forces. Upon graduation, however, Subject arranged through a State Security Officer, whom he had met, to be transferred to the directorate of State Security responsible for intelligence and counter-intelligence operations abroad. Subject's rank upon graduation was Assistant Operational Case Officer.

September 1948 - July 1950 At his own request, Subject was enrolled in the Higher Intelligence School of the Committee of Information (KI).

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Prior to the establishment of the KI in 1947, and after its dissolution in 1951, the Higher Intelligence School was a State Security institution. This school provided intelligence and counterintelligence training to intelligence officers of the foreign directorate who had had some previous operational experience, at least at headquarters. After one year of general operational and background training, the class was divided into two faculties, intelligence and counterintelligence. Subject, with about one fifth of the class, attended the counterintelligence faculty. During this period, Subject voluntarily attended the Moscow University of Marxism-Leninism in the evenings. The curriculum consisted of political subjects only.

January 1950 - May 1953 Subject was enrolled as a correspondence student at the Higher Diplomatic School of the Ministry of Foreign Affairs during this period. Subject requested this schooling in order that he would be better prepared to carry out his cover functions if he should be assigned abroad by State Security under cover of the Ministry of Foreign Affairs. Due to his transfer to a new position in April 1953 preparatory to his assignment to Austria, Subject did not finish this schooling.

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August 1955 - September 1959 While on duty in Vienna, Subject requested permission to attend the Higher School of the KGB, Moscow. This request was granted, and Subject was transferred back to Moscow, enrolling in the Juridical Institute of the Higher School in August 1955. The first year was devoted to general subjects, such as history, while the last three years of the four-year course were devoted to all facets of law plus general counterintelligence and interrogation methods. During the last year of the course, Subject presented and successfully defended a thesis on *SK ACTIVITY CONNECTED WITH SOVIET TOURISTS AND DELEGATIONS TRAVELING ABROAD.*

Subject graduated from the school in September 1959, receiving a diploma attesting to the completion of Juridical Institute work, and a secret certificate listing counterintelligence subjects covered. This latter certificate was not given to Subject, but was filed in his personal folder in the KGB.

3. Employment

Subject's employment has been exclusively with the Soviet State Security Service.

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4. State Security History

August 1945 Enrolled as a student in the First Moscow School, GUKR/*NKO*/SMERSH, remaining until the course was completed in June 1946.

June 1946 Assigned as Assistant Operational Case Officer of the Eastern section of the SK Department (IG) of the First Chief Directorate, MGB, doing SK work /security of Soviet nationals abroad/ in Moscow until November 1947.

November 1947 Upon the establishment of the KI (Committee <sup>of</sup> for Information) in November 1947, Source was assigned to the KI as Referent in the Fifth Department of the Third Directorate, which was the new designation of his previous office in the MGB.

September 1948 Sent as a student to the KI Higher Intelligence School (Counterintelligence Faculty), where he completed the course in July 1950.

August 1950 Assigned as Operational Case Officer with the SK section of the Eastern Directorate of the First Directorate /foreign directorate/ of the MGB.

September 1951 Assigned as Operational Case Officer in the Anglo-American Section of the Counterintelligence Department of the First Directorate, MGB. Promoted to Senior Operational Case Officer in November 1951.

December 1951 The KI was dissolved in December 1951 and its functions incorporated into the First Chief Directorate of the MGB. Source became a Senior Operational Case Officer in the CI section of the American Department of the Anglo-American (First) Directorate of the First Chief Directorate, MGB, and remained in this position until December 1952.

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- December 1952 Appointed Chief of the American Desk (Sektor) of the Counterintelligence (Kint) Department of the Chief Intelligence Directorate /foreign directorate/. MGB, following a reorganization of the First Chief Directorate in December 1952.
- April 1953 Assigned as Deputy Chief of the Emigre Desk (Sektor) of the Counterintelligence Department of the Second Chief Directorate /of the MVD/, following the death of Stalin and the reorganization of state security by Beriya in about April 1953.
- October 1953 Assigned as Operational Case Officer to the Emigre Group of the MVD Residency in Vienna.
- August 1954 Transferred in Vienna to the British Sub- Group (pod-gruppa) of the Anglo-American-French Group of the KGB /established March 1954/ Residency. Under cover of Senior Referent of the Information Department of the High Commissioner in Austria.
- August 1955 Returned to the USSR and entered the KGB Higher School (Juridical Institute), studying internal counterintelligence. Graduated in August 1955.
- Jan-March 1959 Assigned for a two-month period to the Soviet Tourists and Delegations Department of the Second Chief Directorate /internal counterintelligence/ to gather material for his thesis. During the first part of this period, he was assigned for ten days to the Scandinavian Section, Fourth (European) Department of the Second Chief Directorate for practical experience.
- September 1959 WISCONSIN TREATY ORGANIZATIONS  
Assigned to the WATO Direction, Information Department, First Chief Directorate /foreign directorate/, KGB. Remaining assigned to this Direction until April 1960.
- April 1960 Transferred to the American Section, Counterintelligence Department, First Chief Directorate, KGB.
- July 1960 Sent to Finland to work in counterintelligence against the Americans, British, French, Japanese and West Germans under the cover of Attache.

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5. Relatives

- a. **Father** Mikhail Vasilyevich Golitsyn, born 1887 in Piryatin. Pensioner. Moscow, Za gorodnyye Shosse, House 7A, Apt. 11.
- b. **Mother:** Irina Vasil'evna Golitsyna, nee Korotkova, born 1893 in Piryatin. Pensioner. Moscow, Zagorodnyye Shosse, House 7A, Apt. 11.
- c. **Sister** Aleksandra Mikhailovna Yasnova, nee Golitsyna, born 1922 in Piryatin. Housewife. Moscow, Leninskiy Prospekt, House 62/1, Apt. 304.

6. Physical Description

Age	35
Apparent Age	35
Height and Weight	5'6" - 165 lbs.
Color of Eyes	Hazel
Hair	Brown
Teeth	Good
Posture	Erect
Face	Round
Complexion	Fair
Scars	
Build	Heavy
General Appearance	Best
Prominent Features	None
General Physical Condition	Good

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(Formerly Svetlana Mikhailovna Golitsyna, nee CHERNOVALOVA)

1. Personal Data

Subject was born 1 March 1929 in Balak, Rostov Oblast, R.S.F.S.R. of parents who are professional workers of peasant origin. From that time until 1960, Subject resided successively in Essentuki, Kislovodsk; Leningrad; Smolensk; Kharkov; Alma-Ata; Stalinabad; Sochi; and Moscow. Subject married Anatoliy Mikhailovich Golitsyn on 23 August 1953. Her only travels outside of the USSR were to Austria (1953-55) and Finland (1960-61), both times accompanying her husband who was on assignments abroad for the Soviet State Security service. Subject was never a member of the Communist Party or the Komsomol. She was christened in the Russian Orthodox Church. She was a student from 1949 to 1950 at the Moscow <sup>(1949-50)</sup> Plekhanov Institute of Economics, and from 1950 to 1957 at the Moscow Lomonosov State University, majoring in history. Her only employment was from November 1959 until July 1960 as editor at the MOSFILM film studio in Moscow. Subject defected to the West in December 1961, accompanying her husband and taking with her her six year old daughter, Tatyana.

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2. Education

Subject completed middle school in Moscow in 1949. From 1949 to 1950 Subject studied at the Moscow Plekhanov Institute of Economics. She did not complete her studies at this institute because of her entry into the Moscow Lomonosov State University. From 1950 to 1953 and again from 1955 to 1957 Subject majored in history at the Moscow Lomonosov State University, completing her course in 1957. She studied English and German in middle school and the university, but her capability in these languages is weak.

3. Employment

Subject was employed from November until July 1960 at the Studio "MOSFILM." Her salary was 900 old rubles per month and her position consisted of screening and editing scripts for the youth film department.

4. Relatives

- a. Father: Mikhail Yakovlevich Chernovalov, born 1899 in Stantsiya Temirgoyevskaya, Krasnodarskiy Kray, Ukraine. He is a retired Army colonel working in an epidemiological station as a doctor. His residence at the time of Subject's defection was Moscow, Sadovo-Kudrinskaya Street 26/40, Apt. 7.

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b. Mother: Natalya Fedorovna Chernovalova, nee Afanasyeva, born 1907 in Stantsiya Temirgoyevskaya, Krasnodarskiy Kray, Ukraine. She is a retired doctor on pension and resides with her husband in Moscow, Sadovo-Kudrinskaya Street, 26/40, Apartment 7.

5. Physical description

Age	33
<i>APPARENT</i> Apparent age	33
Height and weight	5'5" 155 lbs.
Color of eyes	Green
Hair	Blond
Teeth	Extensive bridgework; basically poor
Posture	Erect
Face	Oval
Complexion	Fair
Scars	Smallpox scar on upper left arm
Build	Large
General appearance	<i>Well groomed</i>
Prominent features	None
General physical condition	<i>Good</i>

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[REDACTED]  
(Formerly Tatyana Golitsyna)

**1. Personal Data:**

Subject was born 22 June 1935 in Vienna, Austria, of parents who were professional workers. From that time until December 1961, subject resided successively in Moscow (1955-1960) and Helsinki (1961). Subject journeyed to Helsinki in 1961 to be with her parents, as her father was on assignment abroad for the Soviet State Security Service. In December 1961, subject accompanied her parents when they defected to the West.

**2. Education:**

As children do not enter the Soviet school system until they reach seven years of age, subject has not commenced formal schooling.

**3. Employment:**

Not applicable.

**4. Relatives:**

a. Father: John [REDACTED] <sup>07</sup> Stone (formerly Anatoly Mikhailovich Golitsyn), born 25 August 1926 in Piryatin, Poltava Oblast, Ukraine. He was an operations officer, a Major in the KGB, at the time of his defection to the West in December 1961.

b. Mother: [REDACTED] formerly Svetlana Mikhailovna Golitsyna, nee Chernovalova, born 1 March 1929 in Salak, Rostov Oblast, R. S. F. S. R. She is a former movie script editor, now housewife, residing with her husband.

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