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MEMORANDUM FOR: Director of Personnel

SUBJECT : Compromise of Cover

- 1. As a result of information obtained from reliable sources who served in Vietnam, we have established that the former Staff personnel listed on the attachment should be presumed to have been compromised as CIA employees to the North Vietnam Intelligence Service as of April 1975. We presume also that the identifications of these individuals as CIA employees were passed by the North Vietnamese to the Soviets.
- 2. Although the personnel listed in the attachment are no longer employed by CIA, nevertheless, I suggest that their official personnel records should be documented concerning the possible past compromise of their cover.
- 3. Please coordinate any action connected with this memorandum with Chief, CCS; Chief, CMG; and Chief, CI Staff.

George T. Kalaris

Chief Counterintelligence Staff

Attachment: a/s

SEGRET

Lalingener of sold all for

25 January 1974

Drug Enforcement Agency
Office of Personnel
Technical Support Section

Technical Support Section 1405 I Street, N.W. Washington, D. C. 20537

Attention: Ms. Mary Elliott

Dear Ms. Elliott:

14-00000

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In response to the request from your office of 16 January, the following is a transcript of the employment of LUCIEN E. CONEIN:

| Date | Action | Salary |
|------------|--|--------------------------|
| 12 Nov 61 | Contract Employee with Civil Service Retirement, Legislative Pay Adjustments and Periodic Step Increases | \$11,415 (GS-13/4 eq.) |
| 14 Oct 62 | Logislative Pay Adjustment | \$12, 245 (GS-13/4 eq.) |
| 28 Apr 63 | Pay lacrease | \$13, 270 (GS-14/2 eq.) |
| 5 Jan 64 | Legislative Pay Adjustment | \$14,065 (GS-14/2 eq.) |
| 26 Apr 64 | Periodic Stop Increase | \$14,515 (GS-14/3 og.) |
| 5 July 64 | Legislative Pay Adhistment | \$15,150 (GS-U)/3 eq.) |
| 25 Apr 64 | Portodic Step Increase | \$15,640 (GS-14/4 ca.) |
| 19 Oct 65 | Logislative Pay Adjustment | \$16, 204 (Cis-14/4 aq.) |
| 3 July 66 | Lagislativa Pay Adjustment | \$15,675 (GS.14/4 eq.) |
| 8 Oct 67 | Legislative Pay Adjustment | \$17, 425 (GS-14/4 eq.) |
| 14 July 68 | Legislative Pay Adjustraeat | \$18, 641 (738-14/4 on.) |
| 15 July 68 | Contract Terminated | \$18,641 (GS-14/4 eq.) |

Attached is Standard Forms 175 and 1150. There is no record of Standard Forms 2809 and 2810. Perhaps his military retirement obliviated the need for health insurance.

Charlest france.

Sircerely.

30 0 4 hall

Roser Fowler
Personnel Officer

Attachments



UNITED STATES DEPARTMENT OF JUSTICE DRUG ENFORCEMENT ADMINISTRATION Washington, D.C. 20537

Jan. 16, 1974

Mr. John F. Blake Director of Personnel Central Intelligence Assurer Washington, D.C. 20575

Re: Lucien E. Conein, SSN: 513-05-0926 DOB: 11-29-19

Dear Mr. Blake:

An official transcript of service is requested for Mr. Conein, an employee with this seency. Mr. Conein was employed with your agency from November 11, 1301 to July 15, 1968. Request Standard Form 176, 1150, 2809 and 2810 be forwarded to Drug Enforcement Administration, Office of Personnel, Technical Support Section, Att: Mary Elliott, 1405-1 Street, N.W., Washington, D.C. 20537.

Enclosed is a Standart Form 50 showing Mr. Conein's employment with this Agency.

Thank you for your recognition in this matter.

Sincerely yours

James Kt Ballard Personnel Director

Enclosure

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NOTIFICATION OF PERSONNEL ACTION



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9 OCT 1973

Mr. James Ballard Acting Director of Personnel Drug Enforcement Agency 1405 I Street, N. W. Washington, D. C. 20537

Dear Mr. Ballard:

14-00000

This is to certify that Mr. Lucion E. Conein was employed by this Agency in a civilian capacity from 12 November 1961 to 15 July 1968, at which time he retired on disability under the Civil Service Retirement Act. He left under honorable circumstances.

Sincerely,

Tot pour de tendo

John F. Blake Director of Parsonnel

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4 October 1973

Ron -

Conein was in U.S. military from September 1941 through September 1961, and on detail to OSS, SSU, CIG, and CIA to time of military retirement.

He was picked up as a civilian and as a career agent on 12 November 1961 and retired on disability on 15 July 1968.

Archenhold advised that subject claims military service as indicated above and that the only period to be certified is the period as a civilian, November '61 to July '88. This all we know about what Concin told DEA.

Frank G. Jarema

Distribution:

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OP/RAD/EEAB/FGIaremaipig (4 October 1973)

Mr Blake

Because of the publicity this man has recieved I suggest you touch base on the phone with Howard Osborn.

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Luke Conein has applied for employment with the Drug Enforcement Agency. The Acting D/Pers asked for the attached info from CIA.

Sent to D/Pers for signature. Conein was very controversial, much in the news about the overthrow of Ngo Dinh Diem and has been mentioned by Howard Hunt in Hunt's recent hearing before "Watergate" committee Dick Biladeau, Central Cover, affirms that Conein is "opened up".

FOLD HERE TO RETURN TO SENDER FROM HAME ACCUESS AND MILINE NO C/RAD, 212 Magazine Bldg. 9/28/73

Use previous editions 708H NO. 237

28 September 1973

Ben -

Stan Archenhold, x 7264, one of the Agency's drug coordinators with DEA, called me yesterday afternoon. DEA had requested a memo verifying employment of Mr. Lucien E. Conein, whose name has appeared frequently during the Watergate hearings. The attached memorandum is what Archenhold wants.

Conein's service was verified with ROB; his "honorable service" was cleared with SAS. Since he was under cover as contract, I discussed the case with Dick Biladeau of Central Cover. CCS had opened up Conein last year and Biladeau approved release of the information to DEA. We had verified this service to a Justice Department investigator approximately one year ago when Conein was being considered as a consultant for BNDD.

Archenhold offered to carry the memorandum to DEA.

Frank G. Jarema

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25 January 1972

MEMORANDUM FOR FILE

SUBJECT: Arthur R. SCHWICKRATH (P)

REFS:

A. UWCT-701, 18 November 1971 B. UWCS-705, 29 November 1971

- l. This memorandum will record a series of conversations with Chief of Base, Washington concerning the proposed use of Subject as a re-hired annuitant or alternatively the proposed use of Subject's wife as a contract agent as a spotter and access agent for the Washington Base.
- 2. Based on several conversations with representatives of the Office of Security and the Office of Medical Services we have been advised that a request for approval to employ Subject or his wife would not receive either OMS or Office of Security concurrence.
- 3. Based on the above, Chief of Base, Washington has agreed to withdraw his request in Reference A and this memorandum will serve in lieu of a dispatch reply.

Maurice A. Sovern C/DOI/B-1

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SICRET TELEPOUCH

DISP NO - UWCS-705

FILE NO - NOME

DATE - 29 NOVEMBER 1971

INDEX - NONE

FILM - NOME

TO - CHIEF OF BASE, WASHINGTON

. 70 - KONE

FROM - CHIZF, DO DIVISION-

GLUCCT - ARTHUR R. SCHWICKRATH

.EFS - UNCT-701, 16-NOV 71

1. PLEASE CONFIRM THAT BASE PLANS TO USE SUBJECT REF AS A

SUBTRACT AGENT ON A MEMORANDUM OF GRAL COMMITMENT. IF SO ADVISE

TE DE MED METHOD OF PAYMENT /E.G. 850.00 PER ASSESSMENT ETC./

ANNUAL COMPENSATIONS

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-e-coa, WASHINGTON

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DO 71 - 353

MEMORANDUM FOR: Director of Personnel

VIA

: Deputy Director for Plans

SUBJECT

: Lt. Col. Lucien E. Conein

1. A recommendation for the approval of the Director of Personnel is contained in paragraph three (3).

2. Lt. Col. Lucien Conein served as a military detailed to the Agency and predecessor organizations until his retirement from the U.S. Army Reserves on 30 September 1961. He became a Career Agent in November 1961 and served primarily in Saigon as the senior advisor to the Chief of Station on major counter insurgency programs until his retirement for disability in July 1968. He is an expert on Vietnamese affairs and was awarded the Intelligence Star for his performance during the November 1963 coup in Saigon. Because of his extensive overseas

| | 3 | Appro | oval i | a requ | ested | to er | nolov | Lt. | Co | L. Co | onein | for |
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| He · | will b | e paid | \$50.0 | 00 for | each | speci | fic to | isk : | assi | gned | to hi | m, |

His total compensation will not exceed \$2,400.00 per annum. This annual payment, coupled with his annuity of approximately \$4,700 per annum will not exceed ninety per cent of his salary as a GS-14/4 at the time of his retirement. A Non-Staff Personnel Data Sheet is attached for your information.

SEYMOUR RUSSELL Chief, DO Division

SECRET

GROUP ! Excluded from endometic downs ading and dorlessification

1

CONCURRENCE SHEET

CONCUR:

Dèputy Director for Plans

Date

APPROVAL

Director of Personnel

Date

SECRET

GROUP 1 And ded from execution demographics and declarations in NBC Claims
Diem Death
Inside Story

Sprentoen interals and colonels of the South Vietnamese army voted unenforments to kill president Ngo Dinh Diem in 1963, and were not particularly discouraged by ag high! ranking U.S. CIA difficial an NBC report scheduled to be telecast to night discloses:

The report is Part 2 of "An NBC News White Paper: Viet nam Hindsight," and deals with the origins of America's involvement in that Southeast Asis country.

The report presents the first supposed inside account of Diem's assassination, disguised as a political roup, and includes asterments by Alvin Davis, associate, producer of the program, and Lt. Coi. Lucler Cifein, the key CIA man in South Victnam during the time of the coup.

It is "quite inconceivable" to Concin that Gen. Maxwell laylor and others were not aware of the timing of the coup, Concin says on the program, which depicts Diem's death as a Diem maneuver that backfired.

The decision to kill Dicm is reported to have developed over a series of eight meetings and arguments, and, finally, a vote. Three who would have write to be found to be a taken. Four others including the present Prime Minister, Khiem, were deliberately eccluded from the vote

Main among the 17, however, wanted him uilled from the start, and the oil, over-teart thin in Victorian Prench rather than in Victorian was by 101 Minh, might nave been greatential contender in the Celulus 1071, election, each soil, "The oil must be Milled."

After that, the vote went like this: Big Minh: hitl; Gen Don: hill: Gen Nuan, kill; Col. Nghia, kill. At the east there was total unanimity, and a vow of allence was taken. The slence is to be broken traight, Davis rays.

Diem had asked for full honors, and a "craceful" exit from Vicnam to exit in another country, but refused to aske Big Talinh, who is sure in mas furious at the slight.

Between 6 and 9 pm. Nov. 2.

Between 6 and 9 pm. Nov. 2 the day of Diem's death, he refused again to speak to Minh, then finally spoke to him on the telephone, but Minh, outraged, hung up. On the third try, Diem gave in.

asking only for safe conduct. At this point Col Concin said he was told by Antlassador Henry Cabot Lodge not to instigate, encourage or discourage a coup, which was in the planning stages through out October, 1963. But Diem, Conein said, had his own plans, for a phony coup, after which he and his family would be brought in honors, by popular, acclaim, back to Saigon from their place of extle, Pleika:

What happened, apparently, is that both the phony coup and the real one came off at the same time, fooling Diem and his brother, Ngo binh Nhg.

Concin, in an attempt to get Diem out of the country says he asked his embases icr a plane, but was told that he, would have to wait 24 hours for it

for it
"I spoke for the US government and I was authorized and I informed the juntal (Diem's organization) that it had an aircraft, but it would take me 24 hours to have that aircraft on the ground."
"Instead" Davis asked.

"Instead," Davis asked,
"Instead, he was shot by a
major in the Victuariese
army," Conein says on the

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NOTIFICATION OF ESTA SHMENT OR CANCELLATION OF OFFICIAL COVER BACKSTOP 8 February 1968 FILE NUMBER CONTROL MENTIONS PROFES EMPLOYEE NUMBER CHIEF, CONTRACT PERSONNEL DIVISION THE CARD NUMBER CHIEF, OPERATING COMPONENT (For action) ATTN: BACKSTOP ESTABLISHED COVER COVER Child Support Steff REF: DISCONTINUES SUBJECT Resignation Debriefing EEP ON TOP OF FILE WHILE COVER IN EFFEC ESTABLISHMENT OF OFFICIAL COVER BLOCK RECORDS CARCELLATION OF OFFICIAL COVER UNBLOCK RECORDS DATE (+0 + 2 2000 A. TEMPORARILY FOR EFFECTIVE DATE COB 12 Nov 61 B. CONTINUING AS OF COR SURMIT FORM 642 TO CHANGE LIMITATION CATEGORY. (ABB 20-7) SUBMIT FORM 642 TO CHANGE LIMITATION CATEGORY. #-2 BEING ISSUED. RETURN ALL OFFICIAL DOCUMENTATION TO CCS. DO NOT SPITE IN THIS BLOCK . FOR CCS INTERNAL USE ONLY SUBSIT FORM 1323 FOR TRANSFERRING COVER RESPONSIBILITY (HF-240-2*) AGE HOSPITALIZATION CARD CONCUR IN ISSUANCE HACS HOSPITALIZATION CARP SEMARES AND/OR COVER MISTORY Boy 61 - Jan 62 Washington, D.C./DEC Biblect is to indicate CIA as place of Jan 62 - Nov 64 Salgon/DAC employment for entire period, and not to Jan 65 - Aug 65 Washington, D.C./DAC revasi specific places or locations of Aug 65 - Aug 67 Salgon/DAC cover essignments. Aug 67 - Feb 68 Washington , D.C. / Overt Forwarding Address: Unknown Employment Address: Unknown

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17 AUG 1965

MEMORANDUM FOR: Deputy Assistant Secretary for Far Eastern Allairs Department of State

| SUBJECT | . 1 | | | | |
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2. Arrangements are now being made between Mr. Wendt of the Department and representatives of our Far East Division for In the absence of unforeseen difficulties, all of the persons named will proceed to Salgon as soon as possible. One of those named, perving in Europe, but this should not delay his assignment to Vietnam.

7s/ Richard Helms

Richard Helme'. Deputy Director

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2 - Contract Personnel

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1 - Manpower Office

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MEMORANDUM FOR: Deputy Assistant Secretary for For Eastern Affairs Department of State Washington, D.C.

SUBJECT

REFERENCE

: Your itr to DCI ded August 9, 1965

2. Arrangements are now being made between hir. Wendt of the

Department and representatives of our Var Last Ministrator

In the almores of artises, con unflocition you may assume

that all of the persons ramed will proceed without detay. One of those ramed, is now conving is Europe, but I do not acticipate this:

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EO-DO/C:VRTimag (16 Aug of)

Richard linims

Deputy Ulrector

CONCUR:

v/Joseph Smith for

10 Aug 65

Chief, Far East Division

Liste

a/Alun M. Warfield

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s/Emmett D. Echois Director of Paragode.

ADeputy Director for Support

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to Aug 65

DEPARTMENT OF STATE WASHINGTON x po

Sincerely yours,

Levrain Engen

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1111 Ingleside Avenue McLean, Virginia 22101 Home Telephone: 356-9086

EMPLOYMENT OBJECTIVE:

Management position of responsibility where I can materially contribute to the growth and effectiveness of the organization, by utilizing my background and experience in:

 Excellent and extensive personal and political relations with high foreign government officials in Southeast Asia.

- Management, administration, supervision, organization and operations of internal and physical security of plants and installations.
- 3. Public Relations dealing with foreign government, commercial and industrial representatives to further the interest of the organization.

Available: After 1 May 1968

Salary Requirements: \$12,000 - \$15,000 per annum - could be negotiated depending on the position.

EXPERTENCE:

U. S. Central Intelligence Agency

December 1961 - Present

Intelligence/Operations Officer

Since joining CIA in 1961 have spent the major portion of this period overseas in Southeast Asia. Duties have included: Complete responsibility for the operation of a field intelligence station. Functions included collection, analysis, evaluation, and reporting of intelligence data; the management of the base (Personnel, Security, Finance, and Logistica); liaison with other U. S. officials (up to the Ambassadorial level) and officials of other governments up to the Prime Minister; also directed such operations as civic and political action, police and intelligence office training in counter-insurgency and the general field of intelligence.

OS/EAB

Page 2

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. 2. U. S. Army

September 1941 - September 1961

Starting as a recruit in September of 1941 was advanced to Non-Commissioned Officer in 1942 and later chosen for Officers Candidate School, Ft. Benning, Georgia, February 1943. Graduated and commissioned 2nd Lieutenant (0.S.S.), 26 July 1943. Served European Theatre of Operations October 1943 to December 1944. Parachuted behind enemy lines in civilian clothes, France, August 1944. Transferred China/Burma/India Theatre, February 1945. Parachuted into French-Indo-China, June 1945. Assigned German occupation February 1947 to August 1953, as an intelligence officer. 1953 - 1956 served as U. S. Military advisory group, Vietnam as intelligence and operations officer. 1957 - 1959 assigned as battalion commander U. S. Special Forces. Commanded an airborne battalion, Ft. Bragg, North Carolina. August 1959 -September 1961 Chief Foreign Intelligence, Assistant Chief of Staff for Intelligence, Department of Army Mission in Teheran, Iran.

EDUCATION:

University of Maryland, 77 credit hours on Bachelor of Military Science Degree

SPECIALIZIED TRAINING:

U. S. Army Infantry School, Ft. Benning, Georgia, 1943 Eritish Special Intelligence School - 1943-44 U. S. Psychological Warfare Training, 1959.

PORZIGN LANGUAGE:

French - fluent
Spanish - Trained but have never used.

HONORS (CITATIONS):

Silver Star Bronze Star European Theatre (3 Bronze Stars) Pacific Theatre (2 Bronze Stars) Legion of Honor (Chevalier) Croix de Cuerre (Palm and 2 Bronze Stars) Mention in Despathches (Palm) National Order of Vietnam (Officer) Cross of Valor (Palm)

PERSONAL DATA:

Date of Birth: 29 November 1919, Paris, France
Height: 5'11"
Weight: 175 pounds
Eyes: Blue
Hair: Grey brown
Merital Status: Married, two sons, 1 daughter (9, 7, 4, respectively)
Health: Excellent

REFERENCES:

Ambassador Henry Cahot-Lodge Department of State Washington, D. C.

Major General Edward G. Lansdale Senior Liaison Officer U. S. Embassy, APO San Francisco 96243

Mr. Michael Deutch Transportation Building 815 17th Street, N. W. Washington, D. C. 20006

Mr. Rufus Phillips Airways Engineering Corporation 1250 Connecticut Avenue, N. W. Washington, D. C. 20006

NOTICE OF TERMINATION FOR RETIREMENT ARTHUR R. SCHWICKRATH

You are hereby notified that your resignation as a Contract Employee of the United States Government is accepted pursuant to your Retirement for Medical Disability effective 15 July 1968, and that the effective date of your voluntary termination is 15 July 1968.

You are reminded of the contents of paragraph thirteen (13) of said contract which reads as follows:

"(13) You will be required to keep forever secret this contract and all information which you may obtain by reason hereof (unless released in writing by the Government from such obligation), with full knowledge that violation of such secrecy may subject you to criminal prosecution under the aspionage laws, dated 25 June 1948, as amended, and other applicable laws and regulations."

Your signature in the space provided below indicates acknowledgement and understanding of the contents hereof.

UNITED STATES GOVERNMENT
BY /G/ Dow H. Luctscher
CONTRACTING OFFICER

ACKNOWLEDGED:

arthur Kitchercherch

WITNESS:

APPROVED:

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| R. L. Austin (lyzed name of outhorized opency official) | Insurance Officer, Alternate |
| Central Intelligence Agency | Washington, D. C. 20505 (Washing address including 19 code of agency) |

SEE OTHER SIDE
FOR
INSTRUCTIONS TO EMPLOYING AGENCY

PART 3 - PLE COPY

20 MAY 1368

MEMORANDUM FOR: Chief, Componsation and Tax Division

VIA

14-00000

Contract Personnel Division

SUBJECT

Contract Termination - Arthur R. SCHVICKRATH

- 1. Arthur R. SCHVICKRATH has been notified by the Civil Service Commission that his Disability Retirement has been approved.
- 2. In view of the above information, it is requested that Subject's contract be terminated effective 1500 hours on 15 July 1988. Termination of Subject's contract on this date is requested to allow him to use all of him accrued sick leave as well as his excess annual leave.

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Filliam S. Helmon Chief, Far East Division

Distribution

Orig & 1 - Addresses

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DATE: 7 February 1968

MEMORANDUM FOR: Chief, Insurance Branch/BSD/OP Benefits and Services Division

This is to advise you that Arthur R. Schwickrath has been employed under an Agency personal services contract effective 10 January 1968. The Contract authorizes participation in Civil Service Retirement, FEGLI and Federal Health Insurance.

Subject's contract is the administrative responsibility of DDP/FE.

Dow H. Luetscher
Chief
Contract Personnel Division

SECRET

Group 1 - Excluded from automatic downgrading and declassification

Lucia Conerca

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| Mr. | Arthur R. Schwicken | th |
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| | | |
| Dear | Mr. Echwickrath | |

The United States Government, as represented by the Contracting Officer of this organization, hereby contracts with you, as a contract career experience under the terms and conditions set forth below:

- 1. New Benefits. By virtue of your employment relationship under this agreement you are:
 - (a) Covered under the Civil Service Retirement Act in conformance with rules and regulations applicable to appointed employees of this organization. From the basic compensation paid you hereunder there shall be deducted the appropriate rate percentage (presently $6 \pm 1/2\%$) for deposit and eventual crediting to the Civil Service Retirement Fund. Social Security deductions required by virtue of your cover activities will not be reimbursed you by this organization.
 - (b) Covered under the Federal Employees Group Life Insurance Act in conformance with rules and regulations applicable to appointed employees of this organization unless you execute a written waiver of such coverage. The Government is presently authorized to bear a portion of the premium cost, you will bear the remainder.
 - (c) Eligible for coverage under the Federal Employees Health Benefits Act in conformance with rules and regulations applicable to appointed employees of this organization. The Gevernment is presently authorized to bear a portion of the premium cost, you will bear the remainder. Because of your eligibility under this Act (whether or not you choose to enroll), your coverage under the contract employees health program shall cease thirty-one (31) days after the effective date of this agreement.
- 2. Your previous contract with the United States Government, effective 12 November 1964, is herein terminated by mutual consent of the parties thereto.
- 3. All provisions of said previous contract not in conflict with this agreement are incorporated by reference into and made a part of this agreement.

| and shall continue thereafter for unless sooner terminated as set this agreement becomes effective nothing contained herein shall be ment beyond its originally content entitlement to return travel expend their assignment. | forth in during construinglated ises (if | your process an over sed as ex duration | evious seas tendir or in le) up | contra assignm ng that a validati on com | ent ssign- ng your pletion |
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Mr. Arthur R. Schwickrath

Dear Mr. Schwickrath

Reference is made to your current contract with the United States Government, as represented by the Contracting Officer.

Effective 1 August 1965, said contract is amended by adding the following paragraph thereto: Effective 1 August 1965

"Your eligibility and participation in this organization's Rest and Recuperation Program is herein authorized in accordance with rules and regulations applicable to Government appointed employees.

All other terms and conditions of said contract remain in full force and effect.

UNITED STATES COVERNMENT

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17 EDVENOES 1965

MINISTRATION PER Contract Personnel Division,

Office of personnel

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1 A S A for Contract Employees

Please aread the contracts of the following SCD Contract Personnel to provide for participation in the Saignn Station Rest and Recognistion Program. This consultant chould be made effective as of 1 August 1963.

> Millian B. Colly, Galos, For Rest Division

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MENCRANDIM FOR: Chief, Contract Personnel Division/99

SUBJECT

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SCHUICKRATH, Arthur R. Transfer to FE Division

Arthur B. SCHICKPATH, Career Agent, transferred from WH Division to FH Division effective 20 August 1965. Please change subject's allotzent member to 6137-1487, Salgon Station.

> Joseph V. Saith AC/FE Division

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4 March 1965

MEMORABOUM FUR: Chief, Finance Division

SUBJECT: Arthur B. SCHETCERATE, Reasolgname

In conjunction with the reassignment of Arthur R.
SCH-ICERATH. Coresr Agent, from Pt Division to SH
Division, all salary and allowances are to be charged
to Allowant Si35-liki effective 17 Jamuary 1965.

Discort Pierrorell Chisë Navesm besispher Division

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25 November 1964

MEMORANDUM FOR: Chief, Personnel Operations Division

FROM : Executive Secretary, Honor and Merit Awards

Board

: Custody of the Honor Award presented to

arthur R. Columbial rath

Due to security restrictions, the Honor and Merit Awards

Board is acting as custodian of the Honor Award and related

papers listed below: Intelligence Star

Intelligence Star Certificate

When security restrictions no longer prevail, the awardee

may obtain his award by calling the Secretariat.

Distributions

SUBJECT

Orig. - Subject's OFP

1 - Subject's Division Chief

1 - HAB Case File

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SECTION C NARRATIVE COMMENTS

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Indicate significant strengths or weeknesses demonstrated in current position keeping in proper perspective their relationship to everall performance. State suggestions made for improvement of work performance. Give recommendations for training. Comment on foreign language competence, if required for current position. Amplify or explain ratings given in particular to provide best basis for determining future personnel action. Manner of performance of managerial or supervisely during must be described, if applicable.

This officer is personally acquainted with almost every senior official or officer in the Vietnesses Covernment and armed forces, and with a significant number of these this acquaintenceship amounts to close personal friendships extending back over a number of years. These associations have given this officer truly unique access to senior echelons of Vietnamese leadership, and he has exploited this access effectively and to great value to the Station. He has had long experience in this area, and uses his understanding of it to great effect. He works very well independently and has shown considerable initiative. He balks at no assignment, and carries out all assignments without regard for his personal convenience, safety, or well being. In the field of written expression this officer's performance falls somewhat about, but he has made atrenuous efforts to improve in this sector with some success. All in all, he is an imaginative and dedicated officer who can be counted on to discharge his duties with high effectiveness and total personal commitment. It has been a pleasure to have had him at the Station. Late or borne by a

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STORY STORY Eligiber of the law street しんき かたくく しんしんか されしばな CERTIFICATION AND COMMENTS BY EMPLOYEE I CERTIFY THAT I HAVE SEEN SECTIONS A, B, AND C OF THIS REPORT SIGNATURE OF EMPLOYEE Lucien E. Conein BY SUPERVISOR IF THIS PEPORT HAS NOT BEEN SHOWN TO EMPLOYEE, GIVE EFPLANATION ing kanakanak ITYPED ON PRINTED WAVE SHO STONATORE OFFICIAL TITLE OF SUPERVISOR 10 September 🕬 BY REVIEWING OFFICIAL COMMENTS OF REVIEWING OFFICIAL OFFICIAL FITLE OF REVIEWING OFFICIAL TENERI WATER STAR WARE SHO SHINATURE

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| CTION C | HARRATIVE | COMMENT |
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Indicate significant strengths or weaknesses demonstrated in current position keeping in proper perspective their relationship to overall performance. State suggestions made for Improvement of work performance, Give suffrying non-internal conformance on foreign language competence, if required for rurent position. Amplify or explain ratings given in Section 8 to provide best basis for determining luture personnel action. Manner of performance of managerial or supervisory duling profits described. If applicable,

Subject is a virtual walking encylopedia on the history, customs and senior personalities of Vietnam. He has been associated with this area on and off over the period of the last 19 years. During this time he has developed lasting friendships with many individuals who are now in high positions of power within the government. These contacts are of considerable importance to the Station in its intelligence appreciation of the situation and in the coordination of operational activities on a discreet basis with these high personalities.

motivated and dedicated officer to whom considerations of personal convenience and safety are always second to his determination to achieve whatever mission is given to him.

On the negative side, his written and oral presentation, while showing some improvement over the previous report, still needs additional improvement. He has no supervisory responsibilities at the present time and therefore is not rated on that score. He exhibits a good sense of cost consciousness in utilization of man power, material and funds.

| SECTION D | CERTIFICATION AND | COMMENTS |
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Only his difficulty in expressing himself in writing prevents this officer from being rated "Outstanding". He is a calm and detached profussional who at the same time is intimately caught up in his work and in the many important contacts he has on the local scene. His very valuable vole could not be performed by anyone else at this Station, nor to my knowledge, by anyone else in the organization at the present time. He is a highly valued member of the Station.

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Indicate eignificant strengths or westeresses demonstrated in current position keeping in proper perspective their relationship to averall performance. Since suggestions and for improvement of work performance. Give recommendations for training. Comment on fareign language competence, if required for current position. Amplify or explain ratings given in Section B to provide best basis for determining future personnal action. Manner of performance of managerial or supervisory duties must be described, if opplicable.

Subject is one of the outstanding American experts on current day Vietnas. He has lived and traveled in the area for a number of years and has continued a study of the area while absent from it. Many of the current senior officers up to the general staff level were formerly Subject subordinates and regard him as a friend and colleague whom they trust and in whom they will conride. This relationship of trust and friendship fortunately also exists with the Ministor of Interior who is the forth or fifth leading personality in the government. Subject was formerly a senfor officer in the U.S. Special Forces and by virtue of his qualifications and experience in this field has been of considerable value to this Station in the extensive programs it has undertaken in concert with that command. He is additionally a skilled clandestine operator with a thorough grounding in technique and a wide and colorful background of experionce. Subject's ability to express himself in writing, while adequate, can stand improvement. He is evidently sincerely attempting to remedy this and some progress is noticeable. Overall, Subject is a distinct asset to the Station and we are particularly pleased to have him. Subject is a carper agent and an amendment of his contract to reflect a promotion to the next higher equivalent grade is recommended. It is likewise recom mended that he be promoted from the USAR reserve rank of Lt. Col. to Col. in order to increase his prestige in negotiations on behalf of the COS.

| SECTION D | CERTIFICATION AND C | OMMENTS |
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Mr. Arthur A. Schwickrath

Dear Mr. Schwiegrath:

Reference is made to your contract with the United States G vernment, as represented by the Contracting Willer, effective 12 November 1961.

Effective 25 April 1963 said contract is amended by revising the first sentence of paragraph three (3) entitled Compensation and Taxes to read as follows:

For your services as a Career Agent you will be compensated at a paste salary of \$13,270 per annum, the equivalent of a GS-142."

All other terms and conditions of the contract remain in full force and effect.

UNITED STATES GOVERNMENT

BY_____Contracting Officer

DALPMA CALPMA CA.

CONFIDENTIAL

25 APR 1963

MEMORANDUM FOR: CSPO/Career Agent Panel

SUBJECT

Schwicknath, Orthur R

- 1. It is requested that Mr. seems so contract with this Agency as a Career Agent be amended to reflect a salary increase to the equivalent of a GS-14. His present salary is equal to a GS-13(4), \$12.245; the increase is computed to be the equivalent of GS-14(2), \$13,270.
- 2. Mr. who is contracted by the Agency under the ZRIEMEL program, id presently assigned to the Saigon Station where he functions as the Station paramilicary staff officer, renior advisor on the major counterinsurgency programs. His extensive background and experience in Vietnam has made him a particularly valuable officer and asset to the Station. His overall performance is evaluated in his Filman Report as strong. The Chief of Station, Saigon, has recommended that Mr. where given a promotion to the GC-14 equivalent and the Acting Chief, SOD, concurs.
 - 3. The amendment should be effective with the next pay period.

Onder, War East Division

Approved by CC/OR Mont Page

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17 NOV 1961

MEMORAHDUM FOR: Chief, Contract Personnel Division

SUBJECT : Arthur R. SCHWICKRATH

- 1. Arthur R. SCHWICKRATH, Career Agent with project ZRJEMEL is hereby assigned to the Far East Division for PCS Saigon, Victnam. Therefore, FE Division is responsible for completing the final details of his processing:
 - a. Arranging cover.

b. Completing Form 313a and financial briefing.

- c. Arranging for insurance (health and if desired, life insurance).
- d. All processing for PCS assignment.
- 2. SCHWICKRATH will be paid by F3 Division, allotment number 2137-7251-1000, and FE Division will be administratively responsible for SCHWICKRATH's welfare during his tour of duty with that division. It is requested that regular annual fitness reports be forwarded to CA Staff for SCHWICKRATH. It is further requested that CA Staff be advised of any action taken which will affect SCHWICKRATH's career with the Agency as a member of project ZRJEWEL, including any amendments to the present contract.
- 3. After SCHWICKHATH completes his tour of duty with ME Division he will return to project ZAUEWEL, and all administrative responsibility will then be transferred from FE back to CA Staff.
- 4. SCENICEPARA received a full security clearance on 12 October 1961, and codical supervial for PCS Calgon, 15 November 1961.

CHARLES J. COCKICIS
CHARLES J. Croup, CA Staff

| CONCUR: | |
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| Chick, PE Supples | (Date) |

Mr. Arthur R. Schmickroth

Dear Mr. Schwickrath:

The United States Government, as represented by the Contracting Officer, hereby contracts with you for your services as a Career Agent under the following terms and conditions:

- I. Status. Your status is that of a Government employee under contract and, as such, your rights and benefits are governed by the provisions of this agreement. It is specifically understood that you are not entitled to rights and benefits pertaining to appointed staff status, except as provided herein.
- 2. Cover. In the performance of your services hereunder, you will act under cover suitable to conceal your relationship with the Government. It is expressly understood and agreed that any and all documents which you may execute in the course of such cover employment are subordinate to this agreement and any contradiction in terms which may in any way amplify, extend or restrict your rights and/or obligations hereunder shall be resolved by this agreement which shall always be dominant.
- 3. Compensation and Taxes. For your services as a Career Agent, you will be compensated at a basic salary of \$11,415 per annum. You will be entitled to a post differential in conformance with applicable Government regulations. In addition, you will be entitled to within-grade promotions and Legislative pay adjustments in substantial conformance with rules and regulations applicable to Government appointed personnel. Payments will be made as directed by you in writing in a manner acceptable to the Government. Monies paid you directly or guaranteed by the Government under this paragraph constitute income for Federal tax purposes. You will be advised as to the method to be followed in reporting and paying such taxes. The method as well as the procedures used by this organization to implement its tax reporting responsibilities will be based primarily upon cover and security requirements. Unless precluded by such requirements, taxes will be witcheld there-
- 4. Allowances. You will be entitled to: (a) Living quarters allowances in conformance with applicable Government regulations. You may be provided quarters by your cover facility or the Government and, in such event, you will not be entitled to the living quarters allowances herein indicated.

(b) Cost of living allowances in conformance with applicable Government regulations including, but not limited to, a post allowance or an equalization allowance in lieu thereof, a supplementary post allowance, a transfer allowance, a home service transfer allowance, an education allowance and a separation allowance.

5. Travel. You will be advanced or reindursed funds for travel and transportation expenses for you, your dependents, your household effects and your personal automobile to and from your permanent post of assignment, and for you alone for authorized operational travel. In addition, you will be entitled to storage of such household and personal effects as are not shipped

in conformance with applicable Government regulations. You will be entitled to per diem in lieu of subsistence in the course of all travel performed hereunder, and when authorized, for you alone while on temporary duty away from your permanent post of assignment. In addition, you will be entitled to reimbursement in accordance with standardized Government rates for the authorized official use of your private automobile. All travel, transportation and per diem provided for under this paragraph must be properly authorized, and expenses incurred hereunder are subject to payment and accounting in compliance with Government regulations or according to the established policies of your cover facility, whichever is directed by the Government.

- 6: Operational Expenses. You will be advanced or reimbursed funds for necessary operational expenses including, but not limited to, entertainment and the purchase of information, as specifically approved by the Government. Such funds will be subject to payment and accounting in compliance with applicable Government regulations or according to the established policies of your cover facility, whichever is directed by the Government.
- 7. Repayment. It is recognized that your failure to account for or refund any monies advanced you hereunder shall entitle the Government to withhold the total amount of such indebtedness or any portion thereof from any monies due you under the terms of this contract in such manner as it deems appropriate.
- 8. Benefits. (a) You will be entitled to death and disability benefits equal to those authorized under the Federal Employees! Compensation Act, as amend ed. Claims by you, your heirs, or legal representatives under this paragraph will be processed by this organization in accordance with its procedures in such manner as not to impair security.
- (b) You will be entitled to the continuance of pay and allowances in a manner similar to that set forth in the Missing Persons Act (50 U. S. C. A., App. 1001-1015).
- (c) You will be entitled to sick, annual and home leave (including travel expenses incident thereto) equal to and subject to the same rules and regulations applicable to Government appointed employees. Annual leave may only be taken at times and places approved in advance by appropriate Government representatives.
- (d) From the salary paid pursuant to this contract there shall be deducted the appropriate rate percentage (presently 6 1/2%) for deposit and eventual craditing to the Civil Service Retirement Fund. When circumstances of your cover warrant or require contributions to social security, such contributions will be at your expense and you will not be reimbursed therefor by the Government.
- (e) (1) This organization is authorized to pay the cost of necessary hospitalization and related travel expenses for illness or injury incurred by the U. S. citizen full-time Career Agent in the line of duty while permanently assigned abroad.
- (2) This organization may pay certain necessary costs of hospitalization and related travel expenses for illness or injury incurred by the dependents of a U. S. citizen full-time Career Agent permanently assigned abroad, while they are located abroad.

It is understood and agreed that the eligibility and extent of the participation by you and your dependents in the above medical programs will be in conformance with the rules, regulations and policies of this organization in effect at the time an illness or injury is incurred, that all claims will be submitted only to this organization and that adjudication of such claims by this organization shall be final and conclusive.

- (f) You are herein authorized to apply for enrollment in a health insurance program for certain selected Career Agents in this organization, subject to all the terms and conditions of that program. If accepted, this organization is presently authorized to bear a portion of the premium cost, you will bear the remainder. Your financial contribution will be effected either by payroll deduction or by direct remittance at periodic intervals to be established by this organization.
- Offset. Any and all compensation, allowances or other benefits fincluding benefits in kind) received from or through your cover acitivities will be used to offset amounts due you under this contract and will reduce accordingly the Government's direct payment obligation hereunder. Sums so offset are payment by the Government under this contract and for purposes of Federal income taxation. You will report every four (4) months during the term of this agreement all benefits received from or through your cover acitivities and if such benefits exceed those due you under this contract, the report will be accompanied by said excess amount, which you hereby agree is the sole property of the Government. Failure to submit timely reports and, as appropriate, excess payments, may result in suspension of any payments due you hereunder. As an alternative to the above, the Government may at any time exercise its basic right to require payment over to it of the emoluments received by you from or through your cover activities which would otherwise be offset as described above. In such cases the Government will pay directly to you the emoluments called for by this contract.
- 10. Execution of Documents. If, in the performance of services under this contract, you assume the custody of Government funds or take title of record to property of any nature whatsoever and wherever situate, which property has in fact been purchased with monies of the U.S. Government, you hereby recognize and acknowledge the existence of a trust relationship, either express or constructive, and you agree to execute whatever documents may be required by the Government to evidence this relationship.
- II. Instructions. Instructions received by you from the Government in briefing, training or otherwise are a part of this contract and are incorporated herein, provided that such instructions are not inconsistent with the terms hereof.
- 12. Unauthorized Commitments. No promises or commitments pertaining to rights, privileges or benefits other than those expressly stipulated in writing in this agreement or any amendment thereto shall be binding on the Government.

13. Secrecy. You will be required to keep forever secret this contract and all information which you may obtain by reason hereof funises released in writing by the Government from such obligation), with full knowledge that visitation of such secrecy may subject you to criminal prosecution under the Espionage Laws, dated 25 June 1948, as amended, and other applicable laws and regulations.

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| your part or termination for of this agreement or any re | rament. In the event of voluntary termination on or cause by the Government prior to the expiration snewal thereof, you will not be entitled to return |
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| 08 | action. |
| OSC (CE) | D. Subject currently enrolled in courses shown |
| EAE | in red, the completion of which will satisfy minimum standards. |
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| CPA CPO | of other experience with the de stated in |
| STB | the following (qualifying-refresser) training. |
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| LOCKS | - |
| 3/w | d. Subject's division advised of these recon- |
| AS AF | mendations. (Agree-Disagree) |
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____ 12 -nyanter 1951 __

HEHORANDUM FOR: Puracanes Officer, Benediches Miller Willer

FROM

: FI Career Management Officer

SUBJECT Personnel Action On COLLIE Lucien L.

1. The FI Career Bervice Board has (approved - disapproved) the personnel action of (presciion - reansigment subject. The Fi Training Officer has recommended that subject be scheduled for additional training in order to metiafy the requirements of the proposed personnel action. The following training progress has been recommended.

2. Please schedule there courses as soon as painthle through your Division Training Officer who will coordinate with the FL Training Officer.

Mase TT

*GBN #0. 59-112

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SECRET CUMULATIVE TRAINING RECORD 1 June 1954 NAME PROJECTED PERSONNEL ACTION PROMOTION XX REASSIGNMENT OTHER (Espisio) Lucien E. Conein 10: Area Ops Off. Maj. USA/FE/ FROM: I.O. Maj. USA SE/Wash. Indochina OTHER TRAINING RIMARKS: DATE BIAG COURSE TAREN 1. CONSTRUCTIVE CREDIT (CC) HAS BEEN AUTHOR-COURSES DICICSI, MLSO 17th FOR COURSES SO WARRED BASED UPON SUBJECT PREVIOUS BACKGROUND EXPERIENCE BIC. BITE. 50C TRAINING. RIP AND DIS 010 11. MSD OC P. SUBJECT HAS COMPLETED TRAINING OR RECEIVED 6234 .111 TE CONSTRUCTIVE CREDIT AS SHOWN AT THE LEFT. AIC. AITC. ADE MOCAT STAFF TRANSTING OFFICE COMMENTS: A. THIS DOES DOES NOT MEET PO. ALSO PM 1. 11. 111 AND PAFT MINIMUM TRAINING STANDARDS RE QUALIFI. CATION FOR THE PROJECTED ACTION. ITC ALSO ES B. SUBJECT IS CURRENTLY ENROLLED IN TECH COURSES MARRED BITH AH S, THE COMPLE-ADM IN TION OF WHICH WILL SATISFY MINIMUM SIC SUP STANDARDS. C. UNLESO SUBJECT HAS HAD PREVIOUS HO. CFA MEIS OR FIELD EXPERIENCE. MICH CAN BE AC-08 CIPTED IN LIEU OF TRAINING, SUBSECT 93C (CE) SHOULD-BE-REGUTRED-10-TARG S.A.S. ING AS CHECKED AT THE LEFT. 10: Personnel Officer. FROM: Career Management Officer

THE MAKE P. EMPLETS

The above projected personnel action has been [] approved [] disapproved by the Career District District District District District District Community of the proposed personnel action.

Pisens screec's these courses as seen as possible through your Division Training Officer 200 2011 correlate with the Senior heart Prolong Officer.

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| NÂME OF EMPLOYEE (was pa | | | | | DATE (from item 5.2) |
| Conein, Luc | | n 67 | | Y CAREER SERVICE: | 21 Jan 67 |
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| 8 February | | -14709 | | | |
| I. DATE OF BIRTH IS, SERVICE | | ON TITLE | A. STATION OR | 1455 | S. CRYPT FOR |
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| 29 Nov 19 C/A | ROIC, Bien Ho | 8 | Vietnam | | |
| BA. DATE OF PCS ARRIVAL | 44. REQUESTED, DATE OF | de. ExPECT | ED DATE OF FIRST | 60. DESIRED DATE | TO REPORT TO DUTY |
| IN FIELD | DEPARTURE | CHECK- | IN AT HQ | AFTER LEAVE | |
| 29 Sept 1965 | July 1968 | 15 Au | g 1968. | 1 Sept 19 | 68 |
| 7. NUMBER AND AGES OF DE | PENDENTS WHO WILL TRAVEL | WITH YOUL | | L | |
| Mother - 76 Wife - 38 | | 8 and | 9 | ~. | |
| _Wife - 38 | Daughter - | | | | |
| 8. PERSONAL CIRCUMSTANCE | S THAT SHOULD BE CONSIDE | RED IN DETE | RMINING NEXT ASS | GNMENT: | |
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| Desire serve | in a rost wron . | | - | • | |
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| 9. LIST YOUR WAJOR DUTIES (also strick personal | cover quosticansire in a | ccardnace w | 11h TST-F 240-8) | | |
| Special assign | ment to Lansdal | le group | o, U.S. Emb | assy, Vietn | am, |
| Revolutionary | l Officer, Bien Development Cad | noa, : ires. Ce | navisea, li ensus Griev | nanced, Suprance, and P | rovincial |
| Reconnaissance | Units, ROIC, | Blen Ho | oa Region, | consisting | of eleven |
| Provinces. St | ipervise 27 U.Š. Provinces. Adm | , person | mel assign | ed to OB an | d COD |
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| 11. PREFERENCE FOR MET ASSIGNMENT: 114. DESCRIBE SPIGSLY THE TYPE OF WORK YOU BOULD PREFER FOR NEXT ASSIGNMENT IF DIFFERENT FROM THAT INDICATED |
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| IN ITEM 85. P APOVE, IF YOU MAVE MORE THAN ONE PREFERENCE, INDICATE YOUR CHOICE. |
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| Desire assignment commensurate with past training and experience. |
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| 118. INDICATE IF YOU SESIRE TO EXTEND YOUR CURRENT TOUR BY CHECKING IN APPROPRIATE BOX. ALSO INDICATE PREFERENCE |
| FOR NEXT RESCLAP ASSIGNMENT BY INSERTING 1, 2, 6 3 (for fat, 2nd, and 3rd choice) IN REMAINING BOXES, COMPLETE ALL ACTEMATE CHOICES AND OPTIONS IN ALL CASES EVEN THOUGH YOU ARE REQUESTING AN EXTENSION OF YOUR |
| TOUR. |
| Extens tour 16 months Months at current station to July 1968 |
| EXTENS TOUR 16 MONTHS AT CURRENT STATION TO JULY 1968 |
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| DE ASSISSES TO HOOTER FOR A TOUR OF DUTY! INDICATE YOUR CHOICE OF DIVISION, STAFF OR DEFICE. |
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| 2 SE ASSISSED ROADSHERAFFICE ATTONI INDICATE CHOICE OF GEOGRAPHIC AREA OR SPECIALIZATION : |
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| DETGEN TO MY CURRENT STATION |
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| TO BE COMPLETED BY FIELD STATION , |
| 12. IN CONSIDERATION OF THE EXPERIENCE AND PERFORMANCE OF THE EMPLOYEE AND HIS PREFERENCE FOR HEXT ASSIGNMENT |
| INDICATE 16.2 PECOMMENDATION FOR HIS NEXT ASSIGNMENT AND TRAINING: |
| |
| Strongly concur in this officer's request for extension. |
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| | his form must be prepared with due regard for security considerations. For example, in the case of administrative and support personnel and athers whose duties do not in theselves reveal sources of indignation or methods to prevent in a complete and realists statement of major duties may be required a forestation of the security and the interestance of the casus of indignation of lateration of major duties of cortain ather employees may japardize security and hould not be fully reported on this form. In these cases a general statement of duties of the indicated in the security and hould not be seen about the javet of responsibilities involved and another included in the security of the security and the security of the secure |
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CLASSIF MATER PROCESSING ACHON DISPATCH SECRET Chief, Far East Division NO INCERING BEQUIRED OMEY QUALIFIED DESE ATTN: PARDEE Chief of Station, Victnam Commendation of Arthur R. SCHWICKRATH A THE HILLINED RULININCES 1. Forwarded under separate cover is a commendation from General Landdale for SCHWICKRATH. 2. I though you would be interested in seeing it since it is a good indicator of how much of the General's reportingcan be believed. Notice particularly the paragraph on page 2 concerning SCHWICKRATH's "services to my staff...in an applied field of philology, particularly in Vietnamese oral linguistics and the working of official documents..." When this paragraph was shown to SCHWICKRATH, he gave vent to some very soldierly language, pointing out that he doesn't speak a word of Victnamese nor can he read it. Edward D. SABETAY Attachment: Commendation u.s.c. Distribution: 3 - C/FE w/att u.s.c. FYST-

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LASSIFIED HESSAGE B TRID COPY O O IIII, 1R, CCS 3, CSPS, OP 2, OF 2 S E C R E T 270916Z CITE SAIGON 9831 PRIORITY HONG KONG INFO DIRECTOR MR. LUCIEN CONEIN DEPARTING SAIGON PCS TO HQS ON 27 JULY. PLEASE AD VANCE FUNDS FOR TRAVEL OF DEPENDENTS AND SHIPMENT OF EFFECTS TO WASHINGTON, D.C. AND T/A TO HOS. SECRET BT

1 3 ABV 1961

MANGRANDAM FOR: Chairman, CSCS

Agent Penel

SUBJECT:

Appointment of Career Agent Arthur R. SCHLICKRATH

- 1. It is requested that Arthur R. SCHNICKRATH be approved for contract as Career Agent in furtherance of the long term objective of Project ZPJE-al, this objective being to provide the Clandseline Services with an improved standby paramilitary manpower capability to assist the Operating Divisions in emergency situations.
- 2. Mr. SCE-TCKRATH has, over a long period of time, well demonstrated his operational value to this Agency, and thus is more than saply qualified under the terms of R 20-1000 which defines this category of paramool.

Alfred T. Car Chier Paramillitary Group, CA

Attecheent: EFF

CA/FMG/EFFox: rah 15 Hovember 1961 Distribution:

Orig. & 1 - Addresses

1 - CN/SG/PERS

1 - CA/C/PMG

2 - CA/EMG

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MEMORANDUM FOR: Clandestine Services Personnel Division

ATTENTION : Agent Panel

SUBJECT: Deletion of Arthur R. SCHWICKRATH from Project IUJEWEL roster.

1. Arthur R. SCHWICKRATH has been employed as a Career Agent in Project IUJEWEL since 16 October 1961. During that period he was assigned to the Saigon Station as a Staff Advisor on Paramilitary matters to the Chief of Station.

- 2. When at the termination of that assignment, SCHWICKRATH returned to Headquarters, it was assumed by SOD that he would return to SOD and be again covered by Project IUJEWEL. However, I now understand that SCHWICKRATH is being processed for an assignment in WH Division and is, in fact, engaged in language training for that assignment.
- 3. In view of the foregoing, it is requested that the name of Arthur R. SCHWICKRATH be removed from the Project IUJEWEL personnel assets.

Major General, USA Chief, Special Operations Division

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19 March 1963

MEMORANDUM FOR: SUD/Personn

Schwickrath

SUBJECT:

Promotion -

REFERENCE:

2.

Fitness Report dated 25 Feb. 1963

1. In view of present and past fitness Reports, and by comparison with other ZRJEWEL personnel, I believe that the promotion of to GS-14 equivalent is justified, and that it would be in order to convey this view to FE/Personnel. Technically however, the administration of (and decision to promote) is a responsibility of FE Division until

E. 7.70x

E. F. FOX SOD/Plans

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28 March 1963

Johnickmath

1. FE/Pers will not honor promotion recommendation to GC-U. squive until field sends in a specific recommendation. This is the way FE Operates. FE Division feels is their employee until his tour in Saigon is completed. If SOD wante to take action re the fitness report recommendation, Mr. Jorge: son should discuss this with

2.

Chief, FE/VCL

Recommendation for Promotion of Commendation

REFERENCE

Pitness Report for Subject dated 25 February 1963

1. In reference Fitness Report, the Deputy Chief of Station and the Chief of Station, Ealgon, recommend the prosection of to the next higher 08 equivalent. I concur in this recommendation.

to 08-14 Equivalent

- 2. As you are aware, in a member of Operations Division Project ZWENKL. In comparison with other exployees of ZAJZYEL, taking into consideration the assigned duties and counter of performance, I feel that promotion is appropriate, and concur in the recommendation of the Station.
- 3. It is requested that a contract essendment be initiated by PR Division to increase Total a componention from 08-13 (4) equivalent, \$12,245, to 48-14 (4) equivalent, \$14,127.

Contail L. Jorganisi Acting Chief Special Operations Division

Att:

Zeference Pitness Report

800/PERS/GLJ/RD1:nkh .

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ZRJEWEL - FITNESS REPORT ON ARTHUR R. SCHWICKRATH-

FVSS-2216

- A FORMAL FITNESS REPORT ON ARTHUR R. SCHWICKRATH WILL BE DISPATCHED SHORTLY. AD INTERIM, THOSE QUESTIONS RAISED IN REFERENCE ARE ANSWERED BELOW.
- 2. ARTHUR R. SCHWICKRATH, ZRJEWEL CAREER AGENT, IS ASSIGNED AS CHIEF OF THE PARAMILITARY STAFF REPORTING DIRECTLY TO THE CHIEF OF STATION. AS SUCH, HIS DUTIES ENCOMPASS PLANNING, OPERATIONAL COORDINATION, FIELD INSPECTION, AND LIAISON WITH VERY SENIOR GOVERNMENT OF VIETNAM OFFICIALS IN THE INITIATION AND IMPLEMENTATION OF PARAMILITARY OPERATIONS. IN ADDITION, SCHWICKRATH IS THEXPRIMARY STATION CONTACT WITH THEMMINISTER OF INTERIOR, WHO IS A PROLIFIC SOURCE OF INTELLIGENCEMAND A MAJOR ELEMENT IN THE STATION OF THE ACTION PROGRAM. SCHWICKRATH ALSO REPRESENTS THE STATION ON THE ODYOKE COMMITTEE FOR PROVINCE REHABILITATION /THE SO-CALLED TRUE-HEART COMMITTEE/.
- SCHWICKRATH IS NOT DIRECTLY ENGAGED IN AGENT OPERATIONS, BUT AS THE STATION SENIOR PARAMILITARY STAFF OFFICER, HE INDIRECTLY SUPERVISES A NUMBER OF SUCH OPERATIONS /E.G., SIGALLY/, AND HIS ADVICE IS DETEN SOUGHT ON MANY OTHER STATICAL AGENT OFFRATIONS BECAUSE OF HIS LONG SERVICE IN VIETNAM AND HIS INTIMATE FAMILIARITY WITH MANY KEY MILITARY AND POLITICAL FIGURES IN VIETNAM.
- SCHWICKRATH'S DUTTES MAY BE CONSIDERED AS HAZARDOUS WITHIN THE NORMAL MEANING OF THE TERM AS UTILIZED BY KUBARK. SC PICKRATH IS FREQUENTLY INVOLVED IN OVERFLIGHTS ON FIXED AND ROTARY WINGED AIRCRAFT OF AGEAS KNOWN TO BE CONTROLLED BY THE VIET CONG. HE HAS, IN ADDITION, PARTICIPATED IN INITIAL PHASES OF CONVENTIONAL AND UNCONVENTIONAL MILITARY OPERATIONS AND IS A FRE-QUENT VISITOR TO STATION FIELD INSTALLATIONS IN AREAS WHICH ARE OFTEN UNDER ARMED ATTACK OF VARYING INTENSITY BY VIET CONG FORCES.

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FVST-3344 PAGE-2

5. IT HAS NOT BEEN POSSIBLE TO MAINTAIN STRICT PERSONAL SECURITY FOR SCHWICKRATH. HE IS KNOWN TO MANY VIETNAMESE BOTH IN THE NORTH AND THE SOUTH AS HAVING BEEN ENGAGED IN VARIOUS INTELLIGENCE ACTIVITIES IN VIETNAM SINCE 1945. IN ADDITION, THE STATION HAS JUDGED THAT HIS BEST UTILIZATION HAS BEEN AS A REPRESENTATIVE OF THE CHIEF OF STATION IN VARIOUS COMMITTEE MEETINGS AND LIAISONS WHERE IT WAS NECESSARY HE DE IDENTIFIED AS THE REPRESENTATIVE OF THE COS. IT WOULD BE BOTH IMPOSSIBLE AND COUNTER-PRODUCTIVE IN SCHWICKRATH'S CASE TO ATTEMPT TO HIBE COMPLETELY HIS AFFILIATION WITH KUBARK.

6. DURING HIS CURRENT TOUR, SCHWICKRATH HAS ACQUIRED INCREASING SKILL AND FAMILIARITY IN THE INTRICACIES OF KUBARK STAFF WORK, BOTH AT THE INTER-STATION LEVEL AND THE INTGA-MISSION LEVEL. THIS IS A NEW CAPACITY FOR SCHWICKRATH WHO HAS MERETOFORE BEEN KNOWN AS A VERY AGGRESSIVE ACTION OFFICER. SCHWICKRATH HAS PERFORMED THESE NEW DUTIES WITH CONSIDERABLE FINESSE DESPITE A VERY RAPID BUILDUP OF PARAMILITARY ASSETS WITHIN VIETNAM AND AN EXTREMELY FLUID COMMAND RELATIONSHIP WITH THE COMMANDER OF THE U.S. MILITARY ASSISTANCE COMMAND, VIETNAM. IT IS WORTH NOTING THAT SCHWICKRATH WAS THE ONLY STATION REPRESENTATIVE IN A RECENT CONFERENCE CONVOKED BY CINCPAC IN HAWAII TO RECOMMEND PROCEDURES FOR IMPLEMENTATION OF A TEN MILLION DOLLAR PROJECT INVOLVING A YEAR-LONG TURNOVER OF CIVILIAN IRREGULAR ASSETS IN VIETNAM FROM THE STATION TO MACV. SCHWICKRATH'S PERFORMANCE AT THAT CONFERENCE RESULTED IN THE CONFERENCE'S ADOPTING ALL STJIVON RECOMMENDATIONS IN RESPECT TO ITS FUTURE ROLE IN CIVILIAN IRREGULAR ACTIVITIES. AT THE SAME TIME SCHWICKRATH'S PERFORMANCE WAS SUCH AS TO MAINTAIN GLOSE, HARMONIOUS RELATIONSHIPS BETWEEN KUBARK, CINCPAC AND MACV.

7. I HAVE PERSONALLY FOUND SCHWICKRATH TO BE AN INVALUABLE MEMBER OF OUR STAFF WITH AN INDISPENSABLE DEPTH OF KNOWLEDGE AND BACKGROUND ON SOUTH VIETNAM AND ON ALL MATTERS PERTAINING TO GUERRILLA WARFARE. IT IS A DISTINCT PLEASURE TO HAVE HIM WORKING HERE AS A MEMBER OF THE STATION.

STEPHEN P. SHORDEN

Frank Sept.

\$30 ------

GARDON I

S-E-C-R-E-T (When Filled In)

120CT 1961

MEMORANDUM FOR: Chief, CA/PMG

and the contract of the contra

ATTENTION : Ernest F. Fox

FROM : Deputy Director of Security (Investi-

gations and Operational Support)

SUBJECT : CONEIN, Lucien

*#*5025

- 1. Reference is made to the memorandum dated 21 September 1961 in which a Covert Security Clearance was requested to permit utilization of Subject as a Career Agent to provide paramilitary skills in any area where they are needed under Project ZPJEWEL.
- 2. This is to advise that a Covert Security Clearance is granted for the use of the Subject, as described in your request as set forth in paragraph 1, above.
- 3. Subjects of Covert Security Clearances are not to represent themselves as, nor are they to be represented as, employees of CIA.
- 4. Your attention is called to the fact that a Covert Security clearance does not constitute complete compliance with the provisions of CIA Regulation 10-210. Therefore, if you should desire at a later date to change the status or use of this individual, a request for clearance to cover any proposed change should be submitted to this office.
- 5. This clearance becomes invalid in the event the Subject's services are not utilized within six months of the date of this memorandum.

FOR THE DIRECTOR OF SECURITY:

Joursto Washing Victor R. White

S-X-C-R-E-T (When Filled In)

S-E-C-R-E-T (When Filled In)

n 8 sept 1961 . . .

MEMORANDUM FOR:

Chief, CA/PMG

ATTENTION:

Ernest F. Fox

FROM

 Deputy Director of Security (Investigations and Support)

SUBJECT

* CONEIN, Lucien #5025

1. Reference is made to the memorandum dated 21 September 1961 in which a Provisional Covert Security Clearance was requested to permit contact and assessment of Subject, as a career agent, in providing paramilitary skills in any area where they are needed under Project ZRJEWEL.

- 2. This is to advise that a Provisional Covert Security Clearence is granted for the use of the Subject, as described in your request, as set forth in paragraph 1, above.
- 3. Subjects of Provisional Covert Security Clearances are not to represent themselves as, nor are they to be represented as employees of CTA.
- h. Your attention is called to the fact that a Provisional Covert Security Clearance does not constitute count to compliance with the provisions of GIA Regulation 10-210. Therefore, if you should desire at a later date to change the status or use of this individual, a request for clearance to cover any proposed change should be submitted to this office.
- 5. This clearance becomes invalid in the event the Subject's services are not utilized within 90 days of the date of this remorandum.

Mercorecust.

FOR THE DIRECTOR OF SECURITY:

S-E-C-R-E-T (When Filled In)

A CONTRACTOR MANAGEMENT OF THE PARTY OF THE

SECRET (EVEN WHEN BLANK)

NºSD 39264A

DATE

I DO HEREBY DECLARE THAT MY TRUE AND LEGAL SIGNATURE IS:

| · (e | ourros, Lucie Selerie. | RIGHT THUMB PRINT |
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| | Lucien E. Conein | A |
| WITNESS: | | |
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NºSD 39264 B

DATE

I DO HEREBY ACKNOWLEDGE THAT IN MY RELATIONS WITH THE UNITED STATES GOVERNMENT, I WILL USE THE FOLLOWING SIGNATURE WHERE REQUIRED:

ARTHUR R. SCHHICKPAULI

(NAME. PRINTED OR TYPEWRITTEN)

WITNESS:

SECRET

SECRET

| Г | REQUEST FOR APPROVAL OR INVESTIGATIVE AC | TIO | N | DATE |
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| то: | CI/Operational Approval and Support D | ivi | ion | -21 Sentwider 1961 FROM: CA/PRG/Ernest F. Fox |
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8 March 1961

MEMORANDUM FOR: Chief, FE Division

SUBJECT:

Employment of Lou Conein .

- 1. At my request, General Lansdale has quietly checked on the status of Lou Conein's retirement from the Army. He is presently in Iran and is slated for return home and retirement in August. He has made an effort to return sooner but the Iranian Government protested that it would be an act of bad faith (apparently, he is doing a good job with them).
- 2. At the moment, Conein belongs to ACSI and Lansdale feels that any move on our part to accelerate his return would be misinterpreted, or perhaps I should say correctly interpreted, by ACSI. I am getting his address and will establish contact with him by personal letter. Lansdale feels that Conein is very anxious to come back to work for the Agency.

Alfred T. Cox Chief, Paramilitary Group Covert Action Staff

cc: C/FE/VCL

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SECTION VI GEOGRAPHIC AREA KNOWLEDGE AND FOREIGN TRAVEL LIST BELOW ANY FOREIGN REGIONS OR COUNTRIES IN WHICH YOU HAVE TRAVELLED OR GAINED KNOWLEDGE AS A RESULT OF RESIDENCE, STUDY OR WORK ASSIGNMENT. INDICATE TYPE OF KNOWLEDGE SUCH AS TERRAIN, HARBORS, UTILITIES, RAIL-ROADS, INDUSTRIES, POLITICAL PARTIES, ETC. KNOWLEDGE ACQUIRED BY DATES OF RESIDENCE OR TRAVEL NAME OF REGION OR COUNTRY TYPE OF SPECIALIZED RNOWLEDGE France Military 1944 OSS China Military 1945 053 Indochina 1945856-59 Military-polit 088 SSU COLMENA Intelligence 1946-1953 CIA Iran Iran Intelligence 1959-1961

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| BRANCH OF SERVICE | | | | 24. COUN | RA WITH WHI | CH MILITARY | r SERVI | CE AFFI | LIATED | |
| S. DETAILS OF OTHER GOVERNMENT | ERVICE. | U.S. OR | FORE | an | <u> </u> | | | | | , |
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| 9 | SECTION XVII | | | PATHER-IH-L | | | | | |
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| SECTION XXVI | CERT | IFICATION | | | | |
| YOU ARE INFORMED THAT THE CORRECTNESS OF ANY STATEMENT MADE IN THIS APPLICATION WILL BE INVESTIGATED. | | | | | | |
| I have read and understand the knowledge and belief. I agree dismissal or rejection of my a law (U.S. Cade, Title 18, Sec | e that any misstatement or application. I also underst | omission as to material | l fact will constitute arou | rds for immediat | | |
| 1. DATE OF SIGNATURES | 10.11 | | APPLICANT . | | | |
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😂 September 1961 .

SUBJECT: Retirement

Meutenant Colonel Lucien E. Conein, 01322759, Infantry

Heutenant Colorel Incien E. Comein, 01327789, Army of the United States, (Hautenant Colonel, Infantry, United States Army Reserve) upon his application is retired from active service under the provisions of title 10, United States Code, section 3911, after more than 20 years of active Federal service. He is relieved from assignment and duty Washington. D. C., effective date of change of strength accountability: 30 September 1961 and placed on Army of the United States Retired List 1 October 1961. On 1 October 1961 he is transferred to United States Army (Retired Reserve) and assigned to United States Army Control Group (Retired) at appropriate United States Army Corps. Here of relection and completion of travel within one year is authorized. Permanent change of station. Travel directed is necessary in the military service. Papphleton Personal Property Shipping Informations is applicable. 2122010 01-17)1-1732-1733 P 1517 599.099. Separation program member 557. //

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| 8. REASON FOR REPORT Annual | 9. BASIS FOR RA | ATING OFFICE | R'S ENTRIE | \$, | |
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| 11. OFFICER CHARACTERISTICS | | TER | | INDORSE | |
| and the second of the second o | | TORY PACT | DAY DANGE | WH FACTORY | PACTORY |
| a. How effective is this officer in the maintenance of supply discipline? | ا لا | | ٠ ـــ | ليبا | 8CX3 |
| b. How effective is this officer in utilization of personnel? | | | k 🗆 | | _ 🐼 |
| c. FOR RATER ONLY - Does this officer passess the physical, mental, | UNKNOWN P | 10 YE | • H U | NKNOWN or NO | euplain in |
| and moral qualities expected for his grade, branch, and length of com- missioned service? | | | X dota | il in item 12a. | |
| d. FOR RATER CHLY - Could this efficer be expected to serve edequate- | | | | VKNOWN OF NO | explain in |
| ly in any normal branch assignment communaurate with his grade? | | | deta | II in Itam 12a. | |
| DESCRIPTION OF RATED OFFICER AND COMMENTS. Remarks should are ability to perform other types of assignments. It officer served in con- | | | | days) and | discuss |
| strongths and wasknesses axhibited in combat. a. Comments of rating officer | | | | | |
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| An animated imaginative officer. | Impetuous, i | verbose fr | n talk.) | he is more | ì |
| realistic in action. He has an agile mi | | | | | |
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| Comments of indereing officer. I do not know the rated officer well enough to complete the reverse. | e side of this react | | | | |
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| This Officer is particularly well a | suited for u | nconventi | onal war | Tare oper | uciona. |
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| 1. RATING OFFICER'S NAME, GRADE, SERVICE NUMBER, BRANCH, ORGANIZATION, AND DUTY ASSIGNMENT | 14. INDORSING OF ORGANIZATION | | | | R, BRANCH, |
| Under My Supervision: | Under My | • | `. | | 1 |
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| | Send SR 609-189 ECTION 1 2. SERVICE O 1 32 7. FROM [De. or of the service of the | 2. SERVICE NO. O 1 322 769 7. PROM(Da,me, rr) TO (D 31 9. BASIS FOR RATINO SERVICE SOILY contect Frequent IT (Give hie dely MOS Bracial Forces tro WARNOWN NO WARNO | RATER UNKNOWN NO VEG UNKNOWN NO VEG Combot during period, state number of days on his own initiative, hos were period under energy fire. Period of this report. It incorsing of ficer's name, or a difficult missions under the difficult missions under the company of the co | ECTION 1 2. SERVICE NO. Q 1 322 769 Maj Inf PROW(Da,po,pr) TO (Da,ma,pr) PROW(Da,po,pr) PROW(Da,po,p | ECTION 1 2. SERVICE HO. 1. GRADE 4. BRANCH 5. CO 1 322 769 Maj Inf 7. PERIOD OF REPORT 1 PROMIDELE STORY TO (Delime, Pr) 1 DUTY DAYS 1 OTT 1 |

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| RATED OFFICER'S NAME AND SERVICE NUMBER | .• | | |
| ' SECTION II ESTIMA | TED | DESI | RABILITY IN VARIOUS CAPACITIES |
| sider each item in terms appropriete to rated officer's grade | and b | ranch. | der you in each type of duty described below. Place an X in the proper box. Con Use the UNKNOWN column only if the nature of your contects makes it improcesular assignment. Marking UNKNOWN does not penalize the rated officer. INDORSER 1 2 3 4 5 |
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| For technical and administrative services, or stall, interpret | | o mea | managorial reappneibilities commonaurate with command SECTION V OVER-ALL VALUE |
| SECTION III PERFORMANCE OF DUTY Considering only officers of his grade, brench, and about | | 28.2 | What is your estimate of the rated afficer's over-all value to |
| Considering only articals of the great, Subset, and above the same time in grade, rate the officer on performance of the duty designment. Read all descriptions and place a seasy X in the box opposite best description. | RATER | INDOR | the service? Compare him with officers of the same grade, pranch, and about the same time in grade. Place a heavy X in the box apposite bost description. |
| . Fixeds any other officer I knew in performance of this duty. | · 🗆 | | ₩ Z |
| Outstanding performance of this duty found in very few officers. | [_X | K. | 3. The most outstanding officer I know. |
| Very five performance of each a nature that three afficer is a distinct desait to the survice. | | | |
| . Performs this duty in a competent, depandable menner, | _ - | [] [_] | 7. One of the few highly outstanding ufficers I know, |
| , Performs this duty acceptably. | | اسا سر | 5. A very fine officer who is a great gaset to the service. |
| . Barely adequate in performance of this duty. | | | |
| Inadequate in performance of this duty. SECTION IV PRUMOTION POTENTIAL | 1_1 | <u> </u> | 3. A compotent, dependuble officer of distinct value to the |
| onsidering officers of his grade, branch, and about the same | | ar W | service, Lul Lul |
| me in grade, what is your apinon of this officer's pro- otion potential? Place a heavy X in the box apposite best recription. | RATER | INCOR | 4. A typically offscrive officer. |
| Ong of the few exceptional officers who should be con- sidered for more rapid promotion than his contemporaries. Should give an outstanding partermance when promoted to next higher grade. | | | 3. An occeptable afficor whose value is limited in some |
| Should give a comparent and dependable performance when premated to next higher grade. | | | 2. As officer who perfere a promotoble to a funited space of 1774 1991 |
| Should give a fairly adequate performance of duty when promoted to next higher grade. | | | 2. An officer who nerforms acceptably in a limited range of assignments, but who could easily be replaced. |
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| higher grode. Noods more time in present grode. | | 17 | 3 An afficer who to not of the college the control of the control |
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| 8 REASON FOR REPORT | 9. BASIS FOR RATING OFFICER'S ENTRIES |
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| 11 OFFICER CHARACTERISTICS | RATER |
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| b. How offective is this officer so willzedise of pursuing f | |
| c. FOR RATEH ONLY-Does this officer possess the physical, mental, and moral qualities expected for his grade, branch, and teagth of commissioned service ? | MINISTRA NO VES II UNKNOWN ST NO explain in detail in item 12a. |
| d. FOR RATER ONLY - Could this officer be expected to serve adequately. In any normal brunch assignment commensor use with his grade B | If UNKNOWN as NO explain in detail in its 12a. |
| form other types of assignments, if officer served in combal during parlo exhibited in combat. a. Commants of rating officer | |
| Has demonstrated outstanding | 3. Landorship, including the ability |
| to assess a returning corrector | make but a decision in his can |
| wounded by the many of the | a shirtfeelige Much of his |
| received when the themself in the | reting in which an enous cogenta |
| were wither; remains box a propor | remaind elected selling from. |
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| b. Cumments of incorsing effices 1 1 do not know the rated officer resiliences to complete the reverse | side of this report |
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| ment infinite concertions and inhield | TRIVAL PART SHOPPERATEURY |
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| 13 THIS REPORT HAS SINCLOSURES, states + O 1 at appropriate | PERSONNEL OFFICER'S INITIALS |

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| RATED OFFICER'S NAME AND SERVICE NUMBER | | | | | |
| | | | STRABILITY IN VARIOUS CAPACITIES you in each type of duty described below Place on X in the proper bo | r. Con- | det ser |
| | th Use | the UN | KNOWN column only if the nature of your contacts makes, it imprac | | |
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| F Corry out on cooler | nment in | volving | mostly administrative duries. | | |
| G Represent your via | wpoint i | n Halso | octivities G C C C | | |
| H Make decisions and oct as your deputy. | | nion in | your name during your absence · e g., H | J [_ | |
| Be responsible in a | A emerg | ency fo | quiring forceful leadership | ַ לַ | |
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| Comment on and/or clarify above ratings if necessary | | • | | | |
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| For technical and administrative services, or staff, interpret this to meen ma- | | · · Lou · · p · ; | | | |
| SECTION III PERFORMANCE OF DUT | Υ | <u>a</u> | SECTION Y OVER-ALL VALUE | ٠ | |
| Considering only officers of his grade, branch, and about the same time in grade, rate the officer on performance of his duty essignment. Read all descriptions and place a heavy X in the box apposits best description. | RATER | IMDORSE | What is your estimate of the rated officer's over-all value to the service T Compare him with officer's of the same grade, breach, and about the same time in grade. Place a beavy X in the box appoints best description. | 2 | NDORSER |
| 7. Excels any other officer \$ know in performance of this duty. | | | | RATER | Š |
| Outstanding performance of this duty found in very few officers | X | M | | \Box | |
| Many fine performance of such a nature than this ufficer is a distinct used to the tervice. | | | 8. The most outstanding afficer I know | لسا | - '-' |
| 4. Performs this duty in a competent, dependentle manner, | | | 7. One of the tax high, outstanding officers 1 know. | ×. | \boxtimes |
| .l Performs this duly acceptably. | | | | c | |
| 2. Baroly udequate in performance of this duty. 1. Insulaquate in performance of this duty. | | | 6. A very line officer who is a great asset to the service. | ليا | |
| | | لبا | | (| |
| SECTION IV PROMOTION POTENTIAL Considering officers of his grade, branch, and about the same time in grade, what is your opinon of this afficer's promotion | • | er Lij | 3 A compotent, dependable officer of distinct value to the service. | U | 1-1 |
| ione in grave, what is your opinion or line arrivers promotion to tend of Piece a heavy X in the box opposite best description. | RATER | NOORS | · · | | |
| One of the few exceptional officers who should be considered. for mace rapid promotion than his contemporaries. | ď | Â | 4. A typically affactive afficer, | | |
| Should give an automaing performance when promoted to east higher grade. | | _ | 3. An acceptable officer whose value is limited in some respects. | | |
| Should give a compatent and dependable parformance when promoted to sent higher grade | $\overline{\Box}$ | | • | | |
| Should give a fairly adequate partamence of duty when promoted to next higher grade | _ | | 2 An afficer who performs acceptably in a limited range of assignments but who could easily be replaced. | | |
| Has not job demonstrated parential for promotion to next lagger grade. Needs more time to present grade. | | | | | |
| Has reached, the highest grade level at which splisfactory participance should be expected. Should not be promoted. | | | An officer who is not of the college that one should reasonably expect in an officer. | | |
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13 August 1956

HEMORARIUM FOR: CHIEF, MILITARY PERSCHERL DIVISION
SUBJECT: Major Lucion E. CORBIN

Hajor Lucien 3. CCMIII is released by this Division

for roturn to his parent military service effective 15 October

1956. Major COHEIN plans to take military leave from

18 August through 30 September 1956. The pariod 1-15 October

while be occupied with operational debriefings and final clear
ance processing.

ALTED C. ULTER, Chief, Far test Livision

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LUCIES CORTE

- 1. Berved with the Caigon Military Mission from 1 July 1954 to 28 April 1951 although he has served in Saigon at emother station from September 1955 to cate, he has voluntarily supported 981 activities in his spare time. Concin's major duties lave been in the Semmilitary field, with contributions one support to a wide variety of other activities.
- 2. In July and early neves 1976, Comein convent as accistent to the Chief of Sid. As such, he beind in caking context with Vistuance political underground groups in Toukin and Cochin China, assisted in the initial survey of the Vistuances resistance potential in Toukin, aided in Mai political efforts to stop the association of French chilitary by Vistuance revolutionaries and participated in developing Mai's contexts with the Vistuance povernment.
- In Angust 1976, additional jurgedilitary personnel reported for duly plan diff and it was decided to place a separate \$250 teem in Tonkin to attempt to resmit, train, and place Vistamaire staybolish forms there prior to the furneous of the area to the Communit Vistaini remos the Grand Agreement Toulities. There is, satisfay the out which the control of the control of the control of the control of the control of the control of the control of the furneous time of the control of the furneous time of the control of the furneous field of the control of the control of the furneous field of the control of the furneous field of the control of the cont
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Bus Company was accomplished with the witting bally or its French sameper, whose friendship was developed by Comming the essel operation was a unilateral operation by Self.

- 6. The cit evatesisation took tiece just prior to the Vistainh teksover of Essai on 9 October 1956. Consin, assisted by Frank Garbers, gained entry to stored draws of the Bas Contemp's lubricating oil, opened the draws, and started pouring in the conteminant. Frame from the conteminant overcase them in the enclosed storage space. Upon reviving, the two placed benike reliefs over their faces and completed the task.
- 7. Surveys and plane also were made for other emictage size ions, which were later remodiled by U.S. do inline. The test was in pince and capable of carrying out the missions.
- 8. Ca il January 1995, CA bad so sultiple and esquise a mienten that personal sem recognized into separate tesse by operational duties rather than by cooprasty. Commin van experinted Chici of the Maite Team, which was rom, emailed for all persuitivery and expertions. The latter included a milled semalling operation which successfully church Vistulian security system and the International Commission (rules, Indiano, Canadiano). Comple's close Trionickies with the French Foreign Legion and with Corpican tenderworld closents use of eachstance.
- 9. In April 1955, Corrices contacts started Greekeying a liaiona between Dei and the Sink Magen (police, opion, proceduation, and amphilise) through formin. This was as alternoon a cratica, since tan liber the fit blather was boles and an other the file of the Distance translation of mechanical commentations are smith (she) hadrones in the continuent too victiments declared arry as the file arras. It was a training parts often the first of this net store to be also time die a temperature of the list redically by too him Rayan and martin's premortion and attent by tumn. At her sounded eleganishing or contects, in which is particular, end and an application of the metallical property of the descenting and applications and applications are applicated as the contract of the co study numbers was broken on a will by citizen or the citize, build at the court guldigit acts and their besteen out he con along Cause in the externally it was domestick Consin would be added, you musical and a laterage. (Allies and allering an American books in incomplear in a time emperial air may has one from by the bits blown, we labors scale ald a life to topics and as deepe as a cours ald becaused Trientally with Commin and the call communities (1974 court contact), the Calair of Jean or the Other waying was been serie bound for a neglect and the filmer of a band, thromouthout or in a weather, and in the soft province the articles or the per usually-less intension finally fraction the very introduction high Kuran now branch has believed Amore who of side incidence scalened only to influence the modificate Decay of Comein con as a commer of only in making that the bind they on, "all paytheticals eal either against the blue ages produced well-correctly land.

10. Creata's prestical coluctions to problems, great resourcefulness, skill set knowledge of equipment as well as all
planes of permittery operations, contributed materially to the
success of the folious Kilitary Kiesian in advancing U.S. objectives.
Consin is a good, strong right-hamissum in a tight spot and proved
it in a number of tense situations during this assignment.

EDMAND G. LANGUALS Colonel, USAF Chist, Seigna Military Mission

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P. S. DREPECH.

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14-00000

26 June 1976

MEMORANDUM POR: CHIEF, MILLTARY PERSONNEL DIVISION

SUBJECT

Request for Orders -Major Lucien B. COMEIN

REFERENCE

: IN 33577, dated 22 June 1956

- 1. It is requested that cover military orders assigning Major Lucien B. Conein to MAAO, Vietnam TDY be amended to include the period from October 1955 to 24 July 1976. The amended orders should also authorize Major Conein to proceed from Saigon to Washington o/a 24 July 1976 via Paris, Frankfurt, and Geneva. Subject will report to Mashington 1 August.
- 2. It is further requested that these orders be sent registered airmail to subject at the following address:

MAGG Box "S" AFO 74 Eso Frencisco, Calif.

ALTERO C. WINER, JR. Chief, Fac Seat Division

Lucien E. Conein

Date of Rank: 27 Die 50 (Promoted to Major end inactive reserve effective 17 June 47).

Cas of 20 may 56 army considering 26 bet 50 dates for are. no promotions yet but lue momentarily. No 5% for CIA. DA Controlled.

Comen probably be considered after may 57.

above per erc R.W. allison C/MPO/Parconnel Ranch,

SÉCRET

AMENDMENT TO

LETTER OF AUTHORIZATION FOR

/456 Esteronce is made to your Letter of Authorization, effective 27 October 1959, which defines your relationship with the United States Government while you are on detail to this organization.

Effective 27 October 1955, said Letter is amended by adding subparagraph three (3) (c) which reads as follows:

"(c) It is anticipated that by virtue of your duties on this particular mission, you will be required to fulfit the minimum Department of the Army requirements accessary for the receipt of extra-basardous duty pay. If such extra-basardous duty payments are not made to you by the Army, you will receive from the Government the sum of \$110.00 per month in lieu of an identical amount you would have received for such extra-basardous duty had you been on evert military duty abroad. You will be required to certify to the Government that you have actually fulfilled such requirements. If, upon completion of this present assignment, you have received one or more such mostify payments for which you have not fulfilled said monthly withinum requirements, you will return such payments to the Government, you will return such payments to the Government, you will return such payments to the Government, you will be required to payments on this amount."

All other terms and conditions of the better remain in full torce and effect.

UNITED STATES OF AMERICA

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LETTER OF AUTHORIZATION FOR ALL 1996

- 1. Your parent military service has establed you to another Governmental organization (hereinafter called 'this organization') and considers you permanently assigned to Washington, D. C., for temporary duty abroad for an indeterminate period. In recognition of the special mission to which you have been assigned, it is hereby agreed that the following rights, restrictions and obligations will be in force during the period you are performing this particular mission for this organization.
- 2. You will be required to keep forever secret this Letter and all information which you may obtain in the course of your association with this organization funless released in writing by this organization from such obligation), with full knowledge that violation of such secrecy may subject you to criminal prosecution under the Espionage Laws, dated 25 June 1948, as an ended, and other applicable laws and regulations.
- Armed Forces of the United States and that you are a member of the Armed Forces of the United States and that you are entitled to retain from either the United States Army or this organization, only the military salary, allowances and other benefits which are commensurate with your military grade and post of assignment. You will continue to receive from the United States Army the base pay and longevity applicable to a married officer of your rank and length of service. Due to the cover and security considerations involved, you may be precluded from receiving certain of your military entitlements from your parent military service and upon appropriate certification thereto, this organization shall make such payments directly to you, as follows:
 - (a) in accordance with the Joint Travel Regulations, any overseas criticary station silve when a Provided the court pass of a sequence (for viet same preparation rate 52.20 for substitutions and so, if for quarters, without dependence). If you are furnished quarters, you will not be entitled to receive the same to critical courters will tark same to critical courters.
 - (h) Effective I hisrch 1986, if applicable, a military family esperation allowance in conformance with paragraph 4354 of the Joint Travel Regulations (presently \$1.15 per day). It is to be noted that this allowance is not payable for any period Covernment quarters are available to you.

If this organization makes the above payments, you will receive from your parent military service the allowances applicable to a married officer of your rank permanently hesigned to brashington, D. C. However, if during your overseas hasignment with this organization, you and/or your dependents are furnished quarters, certification of that that must be made to your parent military service, and you will be required to certify every six (6) months to this organization that you have not received your basic quarters allowance from your parent military service. If this organization does not make the above payments, you will receive from your parent military service the allowances applicable to the designated unit to thick you will be nesigned.

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- 4. If, as a direct result of your detail to this organization, you are precluded from utilizing available military travel, transpostation or storage facilities, this organization will advance or reimburse you funds to incure that you are not deprived of your military entitlements as set forth and limited in the Joint Travel Regulations and the regulations of your parent military service. Therefore, authority is hereby granted to advance or reimburse you funds for the following expenses:
 - (a) Personal Travel. Travel expenses incurred by you (as defined in the Joint Travel Regulations) between permanent posts of duty. Such expenses shall be either the actual cost of transportation (as directed by this organization) and military per diem or the applicable mileage allowance as set forth in the Joint Travel Regulations, in conformance with the criteria established therein.
 - (b) Personal Baggage. Transportation (including priority baggage by surface transportation) and related expenses incurred in the course of permanent change of station and temporary duty travel, subject to the limitations set forth in applicable regulations of your parent military service and the Joint Travel Regulations, provided that if air travel is authorized and performed for permanent change of station travel, you will be entitled to ship unaccompanied 100 pounds of such baggage by air.
 - (c) Temporary Duty. Travel expenses incurred by you for authorized temporary duty travel. In addition to military per diem and in iteu of transportation, you may be reimbursed the applicable mileage allowance as set forth in the Joint Travel Regulations in conformance with the criteria established therein. You will be peld per diem in accordance with the Joint Travel Regulations.

All travel and transportation performed betweened to discussed by appropriate nationalism. There is payable by the organization acreander are explicitly denied in shed and country to those expressly authorized above. Accounting and payment therefor will be made to and by sais organization under applicable regulations.

- '5. You will be advanced or reimburend funds for accountry operational expenses as specifically approved by this organization. Such funds will be subject to accounting in compliance with the regulations of this organization.
- 6. You will be entitled to leave in accordance with the policies of your parent military service. Upon the completion of your present acaignment, you will be required to certify so your parent military service the number of days annual leave you have taken during your assignment with this organization.
- 7. If in the performance of services under this Letter you assume the custody of Government funds or take tills of record to property of any nature whatsoever and wastever eithers, which property has in fact been purchased with monies of the Chited States Government, you hereby recognise and acknowledge the existence of a trust relationship, either express or constructive, and you agree to execute whatever documents may be required by this organization to dvidence this relationship.

8. The conditions of this Authorisation are predicated upon the assumption that you will be assigned to Viet-Nam under nominal military cover, and that you will continue under such cover for the duration of your overseas assignment with this organization. Any deviations from these facts will require a new Letter of Authorization or amendment thereto.

UNITED STATES GOVERNMENT

Contracting Officer

ACKNOW LEDGED:

REVIEWED:

Chief, Military Personnel Division

Carrel

10 April 1956

MEMORANDUM FOR THE RECORD

SUBJECT: CONEIN, Lucien - Major

On 27 June 1955, Major Conein returned to Washington, D. C., after serving one year PCS at Saigon, Vietnam, where he was with the Saigon Military Mission under MAAG cover as Major, U.S.Army. Upon Major Conein's return it was decided that since no replacement had been found for him it would be necessary for him to return to Baigon under the same cover arrangements he used for his PCS, for a period of TDY. Major Conein was informed by CFE/4/Support and VN/Support Officer that CIA travel orders were being prepared authorizing him only 90 days TDY in Baigon. These orders were signed by C.V.Hullek, DDP/EXO, CFE, LCB, MPD, FE/CFI, CFI, and FE/BF. At the conclusion of the 90 days TDY no replacement had been found for Major Conein and he remained in Saigon. No amendment to his travel order was submitted however, no extension by cable from Hemiquarters was seed to ized of a last Major Cone in was editated TDY on ToS.

Uses checking with MPD it was found that Major Conein, if he remained in the field for even one year's TDY, would receive the maximum per diem rate as prescribed by FFR's (\$20 per day lens 1/5 for quarters which were being furnished) and that this per diem rate could not be reduced. ACFE/4, ACFE/4/VN, and VN/Support Officer discussed the entire case and since Major Conein had been informed prior to his departure that he would remain in Saigon on TDY for 90 days only, a Form-52 was submitted reassinging Major Conein to a PCS status in Sagon, Vietnam effective 27 October 1955 (90 days after his TDY started). An amendment to the original travel order was submitted to show the change from TDY to PCS effective 2/ Oct.

Major Conein has been informed of the fact that he was made PCS effective 27 Oct. 1955, per DIR , dated .

Property.

Support/VN

Service.

14-00000

16 SEP 1959

MERORANDUM FOR: Chief, FE

. SUBJECT:

Letter of Commendation - Najor Lucien E. Conein

- 1. Request that the attached latter from the Secretary of the General Staff, with letter of commendation attached, be forwarded through official channels to Kajor Lucien 2. Consin.
- 2. It will be noted that General Westmoreland has sent a copy of Cemeral Cabell's latter of commendation both to Major Comein's official 201 file and to his career branch, where it will be incorporated with his efficiency reports for consideration in selection for promotion or schooling.
- 3. This commendation in his official records should be of considerable assistance to Major Conein in his military career and we take pleasure in forwarding it to him.

Colonol, All

Chlof, Military forsomel Division

Attachment - Atr from Sec of 73 dtd 11 Sep 55.

Ltr to S/A from Lt Gen Gabell, dtd 27 Aug 55.

AIR DISPATCH NO 10 Senior Representative, Indochina DATE Chief, FE GENERAL Administrative - Personnel SUBJECT Assignment of and VILLIERS SPECIFIC REF: a. SAIO 2922 b. DIR 49205 1. Headquarters appreciates s reply to Ref b, and will make it a matter for the record. For (and VIILIER's) information has, in the course of his duties in Europe, come to the Attention of the French, probably including the UNION organization. Naturally, his former KUIWIN record is well-known to UNION and he is personally acquainted, we have since learned, with himself. 2. Headquarters appreciates, too, the probable reaction of advent in the Indochina scene of VILLIERS, and possibly other with similar histories. This is unavoidable and unfortunate; however, under the circumstances Headquarters considers their assignment a "necessary rlak. 3. In a fill do lot of the opening and all the following of the participation of the fill of the fill of the following of the fill of the Prassigned by OPISEX. As this dispaten is bring written, those OFFEX orders are in process of being respinded and new orders are being initiated masigning to CULTANI. 4. In the case of VILLIERS, whose orders have just been received transferring him to Salgon, if indeed his previous KUBARK connection is known to it can only be stated that he, too, has been released back to OMUNIT, at their or ODEARL's request, in response to their ungent need for an experienced senior officer with his qualifications for a special mission in Indochina.

SEGMET

FORM NO. 51-28

san o paradida

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6.

CHARLES F. WYNNICK

For the record, word !

6 July 1554

15 May 1954

Distribution:
Addresses - Ortg &[2]

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CLASSIF'ED MESSAGE

DATE : 19 JUNE 1955

SECRET

TO : DIRECTOR

FROM : SAIGON

ACTION: FE 7

JUN 20 1955

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SAIG 731/4 (IN 15400)

0308Z 20 JUN '55

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TO: DIR

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TUSPUN MILPERS

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- 2. DELOE RETURNED SAIG 17 JUNE.

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- 1. Effective 2 1 0.11, 1954 , this Letter of Authorization will define your relationship with the United States Government while you are on detail from the United States Army to another Governmental organization (hereinafter called "this organization").
- 2. In recognition of the special mission to which you have been assigned, it is hereby agreed that the following rights, restrictions, and obligations will be in force during the period you are performing this particular mission for this organization:
 - (a) It is specifically understood and agreed that you are a member of the Armed Forces of the United States and that you are entitled to retain from either the U.S. Army or this organization, only the salary, allowances and other benefits which are commensurate with your military grade and post of assignment.
 - (b) As you will be assigned by the United States Army on bona fide military FCS orders to Indo-China, you will receive from your parent military service your base pay and longevity, and the allowances applicable to the designated unit to which you will be assigned.
 - (c) It is contemplated that you may be furnished transportation to and from your permanent post of duty overseas by your parent military service. In the event that such transportation is not so furnished, you will be advanced or reimbursed funds by this organisation for eaid travel and transportation expenses and for authorized travel within your operational area. You will be entitled to per diem in lieu of subsistence in the course of this travel in accordance with applicable Government civilian travel regulations. All travel, transportation and per diem provided you under this paragraph by this organization must be properly authorized, and expenses incurred hereunder are subject to payment and accounting in compliance with the regulations of this organization.
 - (d) In conformance with the Joint Travel Regulations, this organization will advance or reimburse you funds for the travel and transportation expenses of your dependents and your household effects from your present area of assignment to any place in the United States which you may designate. If authority is granted for your dependents to join you at your permanent post of duty overseas, this organization will advance or reimburse you funds for the travel and transportation expenses of your dependents and your household effects subject to the emergency restrictions of your parent military service; from the place so designated by: you to your permanent-post of duty overseas, and, upon completion of your assignment with this organisation, from your permanent post of duty overseas to Washington, D. C. Your dependents will be entitled to per diem in the course of such overseas travel in accordance with applicable Government civilian travel regulations. The expenses incurred in the movement of your dependents and your household effects from said designated place in the United States to your permanent post of duty

overeas shall include transportation as indicated above and storage of such household effects as are not moved, provided that the total amount of household effects stored shall not exceed the total weight allowance prescribed (by the Joint Travel Regulations) for a major in the U. S. Army. All travel transportation and per diem provided for under this paragraph must be properly authorized, and expenses incurred hereunder are subject to payment and accounting in compliance with the organization's regulations and, where applicable, the Joint Travel Regulations.

- (e) You will be advanced or reimbursed funds for necessary operational expenses as specifically approved by this organization. Such funds will be subject to accounting in compliance with the regulations of this organization.
- (f) It is anticipated that by virtue of your duties on this particular assignment for this organization you may be required to fulfill the minimum Department of the Army requirements necessary for the receipt of extra-hazardous duty pay (demolition pay). If such requirements are met and proper certification is made thereto, and if for security or operational reasons such payments cannot be made to you by your parent military organization, then, this organization will pay to you the sum of \$100,00 per month in lieu of an identical amount you would have received from the United States Army had you been on overt military duty abroad. Your mission chief, or a responsible senior mission official will be required to certify to this organization that the handling and exploding of demolitions is a requirement of your duty with this organization, that you have fulfilled the minimum Department of the Army regulations necessary for the receipt of such extra-hazardous duty pay, and that no payment therefor has been received by you from your parent military service.
- (g) You will be entitled to leave in accordance with the policies of your parent military service. Upon the completion of your present assignment, you will be required to certify to your parent military service the number of days annual leave you have taken during your assignment with this organization.
- (h) If, in the performance of your cover service, you assume the custody of government funds or take title of record to property of any nature whatsoever and wherever situate, which property has in fact been purchased with monies of the U. S. Government, you haveby recognise and acknowledge the existence of a trust relationship, either express or constructive, and you agree to execute whatever documents may be required by this organization to evidence this relationship.
- 3. You will be required to keep forever secret this Letter and all information which you may obtain in the course of your association with this organization (unless released in writing by this organization from such obligation), with full knowledge that violation of such secrecy may subject you to criminal presecution under the aspionage laws dated 25 June 1948, as amended, and other applicable laws and regulations.

4. The conditions of this authorization are predicated upon the assumption that you will be assigned to Salgon, Indo-China under bona fide military cover, and that you will continue under such cover for the duration of your overseas assignment with this organization. Any deviation from those facts will require a new Letter of Authorization or amendment thereto.

UNITED STATES OF AMERICA

Contracting Officer

ACKNOWLEDGED:

AEVIEWED:

Chief of Military Personnel

11 December 1953

MEMORANDUM Nor: Mr. John H. Richardson

FROM:

Major Lucien E. Conein

Attached horeto is a copy of a letter I am forwarding to Dick Helms. The letter in itself in self-explanatory.

I want you to know that my decision is in no way intended to reflect on you or the SE Division.

Lucutlone

Attachment.

11 December 1953

Doar Dick,

You will recall that it was my intention in 1951 to civilianise and assume a staff position upon my return from flermany. Since my return last August, however, I have decided to return to the Army and, accordingly, I signed a statement of category to this effect on 1 December 1953.

This decision is in no way a reflection on you or members of your staff but rather recognition that I cannot afford to civilianize due to my personal obligations. I intend to inform John Richardson of my action at the same time you receive this letter.

Since T am at present holding a slot, I think it is only fair to all concerned to have it filled by a qualified person before my departure.

I want to thank you and Gordon for the trust you have given me in the past. You may rest assured that I will always be glad to be of service to the Avercy in the military if the need should ever arise.

Yours truly,

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BIOGRAPHIC PROFILE

Conein, Lucien E.

DOB: 29 November 1919

Married: Three sons, born 1950, 1958, 1959 Naturalized U.S. Citizen, 11 Aug 1942 (Formerly French Citizen)

EOD: 12 November 1961

Current Reserve Status: U.S. Army Retired Reserves

Non-CIA Employment:

1935-1940 - Printing, Pressman and Typesetter, F.R. Buckley

Sopt 1941 - Sept 1961 - U.S. Army, Lt./Col., Infantry

Non-CIA Education and Training
Mar-April 1943 - OCS, Ft. Benning, Ga.
Nov 1943 - Mar 1944 - British Airborn School

1949-1953 - University of Maryland, Mil. Science 77 Sem/hrs.

1956 - Special Warfare School, Ft. Bragg, N.C.

Foreign Languages:

French-Fluent-Native of Country

Agency Sponsored Training:

Paramilitary Training

CIA Employment:

July 43-Dec 1945 - OSS-Special Mission to France and

Indochina

Jan 46-Jan 1951 - CIA Mission to Germany Nov 51-May 1952 - CIA-Chief of Nuernberg Operations Base

1954-1956 - Detailed to CIA-Saigon Military Mission

12 Nov 1961 - EOD as Career Agent

19 Mar 1963 - Promoted to GS-14 step two equivalent

Special Qualifications:

Served as Military Liaison to J-2 SCS, Iranian Army 1959-1961