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INTERNAL USE CHLY UNCLASS, FIED CONFIDENTIAL SECRET ROUTING AND RECORD SHEET SVIRI SUBJECT: (Ophonal) FROM: ENTENSION NO 00/03/ DATE 3441 30 July 1963 TO: (Officer designation, room building) DATE OFFICER'S COMMENTS (Number each com ent to show Dia w a line account colu RECEIVED PORWARDES 1. 30 DO/SR 2. DO/SAT SR/CI/BBright 1903 8 2- This information was sent to CI Staff recently and has J, probably been forwarded to you for realy. Though you might be able to use this extra copy. 4, 5. ch dimeeu from ridifi ٥. 7. R 1.-5 menio 6 . EE/G/CE-19 In & farment BWE ach - Cour o <u>í</u> approacha SR 10. Sov. agarwach Fully how a gottial just 11. 1985 20 11637 SR 12. Sto 9: Looks like RIS was on him first, but they may have sent Felfe (or another) (1.5 ha a folse of 94 1. 361 Ð 6 tos INTERNAL UNLLA USE ONLY. SECRET CONFIDENTIAL UNCLASSIFIED Π

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Chief, CI Staff/L 2 C 42 Hgs

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5 July 1,67

Chief, Contact Division, 00

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Attempted RIS Penstration of Bell belephone Laboratories - 48-10612

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1. Attached and two measurands, dated 26 June 1963, from our Hes York Field Office concerning apparent Rib interest in _______ end ______ Bell Delephone Leboratorics, Marray Hill, Hew Jersey. We believe this information should be presed to the FMI immediately. We would approduce being edvised the date this information is forwarded to the Deresn.

2. For your information, permission to brief prior to his trip to the UER was requested by our few York Office but refused by FI Staff. In view of his employment, however, and previous CD/GD contacts with him, FI recommended be be previewd with a strong defensive and realities briefing.

3. Further inquiry may be directed to Mrs. Shirley Stetson, CD/00, Segment Branch, Boom 413, 1717 H Street, H. V., extension 2058.

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UNITED STATES GOVERNMENT Memorandum

Chief, Contact Division TO

FROM

DATE: 1 July 1963

Attn /Lincoln Staff Chief, New York Office

Attempted R.I.S. Penetration of Bell Telephone Labs SUBIECT:

Ref: NY Reports 2988-3 and 2989-3

1. We thought the attached reports would be of interest to Lincoln Staff from a Soviet realities standpoint. We also felt that they should be brought to the attention of Division management because the M.O. outlined therein is becoming a major factor in dealing with sources who have contact with Sovblog nationals. It has been our experience that the type of activity outlined has been on a marked increase, particularly since the establishment of the KGB 10th Directorate. Although such activity by Sov legals is taking place throughout the continental U.S., undoubtedly its greatest impact is being felt in the New York and Washington, D.C. areas because of the large Soviet Government establishments in both of these cities. For this reason it would seem imperative that both WAFO and NYPO be extremely vigilant for such activity in companies and institutions with which they are dealing in order that it may be recognized in its earliest stages and appropriate CI/CE countermeasures instituted. In order to assist other offices in this respect, we plan to write in the near future an article for the Division Newsletter, outlining some of our experiences along these lines.

2. We were somewhat disappointed in CI Staff's reaction to our recent request for assistance in defensive briefing of the subject of one of the attached reports. It seems to be quite clear now that our original assumption concerning his being R.I.S. targeted was quite correct and that there is a strong likelihood that his current trip behind the Curtain will be utilized by the opposition for provocation and/or an actual recruitment attempt. We wonder in future cases of this nature exactly what support we may expect from Angleton's shop. Although we feel that we have a fair capability for handling such matters here in Hew York, we do admit that, at least on this one, we would have felt slightly more comfortable had a CI specialist been along, if for no other reason than to preclude any possible Monday morning quarterbacking by the "old pros."

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Subject: Attempted R.I.S. Penetration of Bell Telephone Labs

3. Judging by the attached material, it would appear that, despite established Bell policy (see our 16 May memorandum re Case 39,790), the opposition has seen fit to deflower at least two of Bell's scientific virgins. We serfously wonder at this point just how many other Bell people might be in the same boat. Perhaps, as a result of all this, some representation by Bell management to the KOB will be forthcoming, spelling out to that organization, as was spelled out to our own, established company policy pertaining to such matters. In any event, it would appear that at least one or two Bell scientists, and there are probably more, have been giving the R.I.S. more cooperation and reporting than the Agency. Let's hope that in the near future Bell management takes some effective steps to rectify this rather ludicrous, and patently dangerous, situation.

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JAY &. L. REEVES

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Attachments: MY Reports 2988-3 and 2969-3

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: Chier, Contact Division. TO : Support (Chirley Stetson) Attn

DATE. 26 JUL 14

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EROM Chief, New fork Office

sumper: Apparent BIE Interest in Bell Telephone Last

201-134194 11/ 0930-1230 hours 14 June, or Bell Labs received a strong defensive briefing in convection with his June-July trip to the USSE and Sulgaria (see our 7 May Coordination Out-line for subject). During ______ briefing, _____ elicited from him that prior to a trip to the USSE in 1959 he had been contacted by an individual who represented himsel? as a West German Intelligence Officer. More important, we also learned that, at about the same time, RIS cultivation of apparently began.

2. Concerning the West German approach, indicated that his mother, who resides in Hamburg, was velophoned in 1959 by an individual who claimed to be an old acquaintance of her son's and who was interested in contacting him concerning employment in the US. The caller left a phone number and said that he would apcould call him next time he was in Hasburg. préciate il if mother relayed the measage to her son, and, as requested, he telephoned the caller just prior to his 1959 USSR junket. The individual he called identified himself as a West German Intelligence Officer and said that he would like to mest _____ as soon as possible. agreed. When they met, the individual in question does not recall his name) presented suitable credentials and expressed interest in Turip to the USSR. According to] account, the West German Invelligence Officer gave him the old "keep your eyes and ears open" pitch, and this that he would contact him in the First West German city in which he touched down was condicted in Stuttgart promptly after his USSR trip. after returning to Germany from the USSR on his way back to the US. Because of his US employment and the fact that Bell paid for his trip, became very apprehensive about any further contact with the West German service and at the time of the call indicated that he had nothing to say and wanted no further contact. Other than the cursory examination of credencials (us reported above), made no attempt to establish the bona fides of the German who made the approach. We pointed out to the anlikely possibility that the approach might not have been some fide and might, in fact, have been a provocation. * copy attached.

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3. Apparently R.I.S. sublyation of Star Land La bat prior to the above incident. According to his according t ceived what appeared to be a routing invitation to visit under acoustics Oscilities in Moscow and Learnight in Algorit 1973. In connection with the invitation, as wroteste un Sava a grant say that during his visit he would like to meet Very Stade V a realized Sov acoustics engineer who was very interested in other cashes of and had done several Russfan transfittions of case table formation for a result of this correspondence, a received a tale one all from an individual who chained to be how hit as the representation List a with the work in New York. The caller told ______ that he but to be a maintain the baller told _______ that he be a special invitation thes d. H. CV of the State Committee Ar Con Fration of Secare Providence Julinet Personal Cal caller, whose has ¥ Like to deliver the forwhation to min proceeding our control lepton or . indicated that no was very a dy which as monote action is which he had to get in roles on structure of paul schedul the factors tion conducts of a contraction when the contractored that when the traction were to itdiver the contractor security, demonstration finally agreed to have his wife so to the Chief has the set of the plot up the invitation. Itslicately, it as joint in the conversation invites the dow to deliver the invitation to all homes the latter declined, however, sugled that Schreeder's hour was in an area closed to travel by Sov diplomatic process. To may event, the Sov arranged to most Schub depts while on a contex conter in Chathan, New Jersey, and at that time turns over to her the invitation for her husband.

4. Said that his 200 visit to the INSR was quite routine and that he could recall nothing but this ordinary happening during the trip. During the visit, als now counterpart, Parkew, who speaks fluent German, acted at his host and was at his size i most continually.

CPS4Pdice)

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5. Sometime in 1901, received a pixae ball is hit have, one evening from Vladimit Vilgin, the Mentified horself is a representative of Amtors (AFC). Volgin said that Junes are only one formation Furduev had just completed a visit to New York and before leaving the city had asked him to deliver a present to formation for him, hence the phone call. Volgin insisted on delivering the present to defined in person, and the two male arrangements to have humbin together the following week in Manhattan. This began a series of approximately sim

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Subject: Apparent Resenterest in well Telephone Rabs

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or more contacts with Volgin which insted will lists apply when _________ received a note from Volgin stating that he and his immedy were returning to the USSE in order that his while could immers, undered frontaent there. Incidentally, ________ only other contact with Formary counin 1962 when he met him in Copenhagen at a professional meeting.

6. ______ said that west, if not all. of Volg.n's phone could to him were to his home and usually appeared to have been and from pay phones. ______ said that nin excetings with Volgin included lunches and dinners in Manhattan, workalising at a New Work I.R.E. Meeting, theater, not entertainment of each other in their respective homes. ______ slains that his wife accompanied him buring most of his contact with Volgin. Other that to his pur family, Volgin never introduced ______ to any other incividuals.

8. In retrospect ______ admitted that he had been quite naive about his relationship with Volgin and expressed some concern that be?" security hadn't been more active in admonishing him chainst devel pment of relationships with Dov Government representatives. His view of his experiences now completely coincides with ours as a result of our discussion.

9. Another factor which obviously enters into R.I.S. picture is his vife's Sulgarian extraction. Slatas that neither he non to the best of his knowledge, his wife have ever been approached by Sovblog representatives in this country concerning his wife's family in Bulgaria. His wife's mother, lwannia Menackik, immigrated to the US in April 1967 and Lived with and his wife until November 1962 when she returned to Schia, apparently because she was homesick and couldn't adjust to the new language and life in the US. Said that his mother-in-law's original exit visa request was rejected in 1958 by the Bulgarian Government, but after he and his wife wrote several letters to the Bulgarian Foreign Ministry she was finally granted permission to leave in 1960.

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Subject: Apparent RIS Interest in | Bell Telephone Labs

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10. After _____ visits the USSR on his current trip, he plans to fly to Sofia to spend approximately a week with his wife's family there. _____ had not mentioned the Bulgarian visit in outlining his original itinerary to _____ of this office, therefore, it was not mentioned in _____ Coordination Outline.

11. All of the above would seem to indicate quite strongly that , as we originally surmised, has been targeted by R.I.S. In briering [, we ran through some of the typical entrapment situations fabricated by the opposition, e.g., women, homosexuals, gurchase of drugs for Sov citizens, black market activity, acceptance of letters to mail outside the USSR, commitments to disaffected Sovs, etc. In addition, we stringently admonished _ against any notetaking or photography which could in any way be construed as compromising. We also alerted to the fact that some attempt might be made to use his wife's family as leverage in a recruitment attempt. In this connection, we pointed out to _____ that there was a strong likelihood that the R.I.S. and/or Bulgarian service would make an approach to him during his forthcoming visit to Sofia. An approach in Bulgaria would give the Sovs an opportunity for disavowal and also would provide the proper setting for maximum utilization of relatives. When mentioned that his sister-in-law, FNU , had indicated several times in the past that she would like to leave Bulgaria, we strongly admonished him against making any commitments to her, pointing out that she may possibly be co-opted by the opposition. We told ______ that any representations to gain an exit visa for his sister-in-law should be channeled through the

US Legation in Sofia. ______ was told that, if any pressure were applied concerning his wife's family, his best reaction would probably be a noncommittal, "I'll think it over when I get home?" All in all, we alerted ______ as best we could to the opposition M.O. and prepared him on how best to avoid some of the not so obvious pitfalls which might be in store for him.

12. Prior to our briefing of _____, _____ alerted Bell management to all of the ramifications of _______ travel behind the Curtain. The management was informed by ______ that we felt it our obligation to point out to them the strong possibility that ______ had been targeted in order that they would have all of the facts available before signing off on his trip.

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Subject: Apparent RIS Interest in Bell Telephone Labs

13. Assessment of Subject, a very accomplished scientist, heads up all acoustics research at Bell. He reads Russian and is fluent in German, French, Spanish, Italian, Dutch, and English. He impresses us as being a rather savvy, discreet, cooperative, mature, stable, well motivated individual. We attribute apparent lack of discretion in his dealings with the Bovs more to the subtlety of the Sov approach than to his own naivete. It has been our experience that most US businessmen and scientists are very susceptible and vulnerable to the opposition approach mainly due to somewhat of a lack of realistic public education concerning contacts with Bovbloc nationals. We feel that degree of cooperativeness with US services has been enhanced considerably by his feeling that he has really been taken for a ride by the opposition.

Incidentally, when we asked subject for permission to release this information with source disclosure to the FBI, he was at first somewhat apprehensive and expressed the desire to talk it over with one of his Bell superiors before he made any commitments. He finally agreed, however, when we convinced him that it would certainly be in his best interest to get on record with the FBI as soon as possible. Most of his apprehension about the FBI seemed to be attributable to the fact that he has just been granted US citizenship and, in addition, is now somewhat concerned that his activities with the Sovs in some way may effect his security status which in turn could be very detrimental to his career.

Based on our limited contact with we have every reason to believe that he would be suitable for operational use, should the Bureau choose to pursue such a course.

14. We would strongly recommend that all of the above be passed to the <u>Bureau ASAP</u> in order that that organization may effect contact with ______ and provide him with proper guidance concerning any further approaches by Sov or Bloc mationals in this country.

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Binorary: Subject vill tentatively Aspart US 18 May, arviving Buscow 19 May. Is vill spend two voews visiting hous in Muscow and homingred wi the invitation of D. Ovisulani, Deputy Chairwan, State Constitute for Coordination of Scientific Resourch. If the 19 day arrival date 18 mb acceptable to the Soviets, subject vill make the trip in Jume or July.

6. <u>Assoon for Frin</u>: During November 1968, while is Europe, subject received an invitation from the USER Assessmy of Bolences to visit various Novist soundle laboratories. Essause of a tight schedule at the time subject decline: the invitation. Is early March of this year as received the invitation from Pristieni mentioned above.

> During als trip, subject will dissues with Soviet counterparts various aspects of value communications recearch, electroscoustics, and architectural accustics. He will also visit Soviet isboratories involved in research ... these fields.

7. <u>Security Status</u>: Bubject was granted Secret elearance by Hiddletown Air Material Command 30 July 1936 in acticipation of his being used on elassified project at Bell Laboraturies. Alisa mans ebset on subject proding.

8. Thering Intelligence Activities: In 1959 cubject was connected twice by CAVOD her York Field Office for debriefing after a trip to the USER. At the time by was generally cooperative,

but somewhat approximative. He was again contacted in 1962 for invitating subdoquent to a US visit of a doviet counterpart.

7. Inlatives or Given Primes in Denied Areas. Dubject's vite was bour in Sofia, Bilgaria. See now reportedly holds the sitissuchip. In Normator 1962 subject managed to arrange for innumstion to the Us of his acthor-in-law, After a short stey in this outloty, bowver, she intuited to her hume in Sofia in March 1963. In addition to his methor-in-law, proceeding there are other relatives of his wife shill living in Deignris.

10. <u>Revent of Provious Trins to Project Appage</u> 13-18 August 1999 adjoct visited concelles Internetics in Record and Laningred.

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- 11. Analysis of Schemb's Constructs: School : a very compositent eccusion extended. In reads Reprise and to floor is former, Provide, Spanish, Philips, Brits and Reglich.
- 12. Bries of Person Association Berlag ser constant listed relationship with subject he has given every indication of bring dicerces, occurity eccenters, and occurrently.
- 13. Detwin of Astronomical Providence and Providence to be here of the second state of the second second of the second se
- 14. <u>Prfeesive and Realiting Printing</u>: Subject will receive reaking defensive and Sovelos realities briefing.
- 15. Briefles Villes and Participants: Briefles vill be conducted by CA/SO Haw York Flaid Office personnel.
- 16. Puncture of Pris: Subject's trip vill be funded by his engeny.
- 17. Generalizzation Revolution 15 May 1963.

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÷ 1431 SECRET SENSIT STATES GOVERNMENT 'emorandum 10 : Chief, Contact Division DATR: 26 June 1963 00-A- 3266992 Attn : Support (Shirley Stetson) FROM ; Chief, New York Office (NY- 29833) Apparent RIS Interest in Bell Laboratories 911064 Ore Scientist BEIT LADORATUAIS 1. During routine debriefing of of Bell Laboratories in connection with his recent USSR visit to attend the Popov Society Meeting, 5-14 May, Moscow (see NYOR 8878 for Coordination Outline); subject mentioned having had recent contact with a Soviet Government representative in this country. The Soviet in question identified Chimself to _____ as Gleb Aleks Pavlov and gave his address as 1216

2. According to , his initial contact with Pavlov took place in late March following the International Convention and Show of the I.E.E., 25-29 March, New York City (see Case 39843). At the convention became friendly with two Soviet counterparts in attendance, A. V. Tiel and Anatole Trutka, both of whom invited to his home for a social visit during their US stay. When the Sovs departed for home following the convention, saw them off at New York International Airport. It was at the airport that he first met Pavlov, who apparently was seeing off all of the Sov I.E.E.E. delegates. After the Sov delegation had departed, and Pavlov returned to Manhattan together and, on parting, exchanged addresses.

First Avenue, Manhattah.

* congattached

3. A couple of weeks after their initial meeting, Pavlov telephoned ____ at his home and told him that Tiel and Trutka had asked him to send their warmest regards to and thank him for the hospitality which he showed them during their trip to this country. During the conversation, Pavlov expressed an interest in renewing his acquaintance with , and suggested that he would enjoy visiting him at his home in Madison, New Jersey. said that he would enjoy seeing Pavlov, but that he was currently preparing to leave on a trip to the USSR and Europe and was not scheduled to return to the suggested that, in view of this, perhaps US until late May. early June would be a good time for them to plan on getting together. Pavlov replied that he, himself would be in the USSR then, but would when he returned in early July. Incidentally, Pavlov's contact

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Subject: Apparent RIS Interest in ______ Bell Laboratories

4. Soon after the phone call from Pavlov, ______ called John NcVicker of the State Department and inquired if any clearance of Pavlov's proposed trip to his home in Madison would be necessary. NcVicker told ______ that any such clearances were Pavlov's worry and that he need not be concerned about same.

5. During his recent trip to the USSR, it would appear that was exposed to two incidents which possibly could have been of opposition fabrication. One involved an approach by a Soviet interpreter by the name of FNU Petrov, who took ______ aside and asked him if he could do him a personal favor by getting him a subscription to Mational Geographic Magazine for which he, Petrov, would reimburse ______ in rubles. ______ politely refused. ________

7. <u>Assessment of</u>: Subject strikes us as being extremely naive and unrealistic. He appears to be more apprehensive about his dealings with CIA than his dealings with Soviets. In fact, when was asked if he would mind if a tape recorder were used for debriefing, he became very nervous and expressed a fear that, if such a tape were made, perhaps the Soviets might gain access to it and use it against him in some way at a future date.

had an outline in his own handwriting of the sessions of the Popov Society Meeting. When we asked for a photostat of same, he again expressed misgivings and indicated that he would prefer to have a typewritten copy prepared for us instead of a copy in his own handwriting because he was fearful that it might fall into Soviet hands.

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Subject: Apparent RIS Interest in Bell Laboratories

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It is our opinion that solvious naivite, apparent lack of sophistication, and extreme apprehension concerning dealings with our Agency would make him most unsuitable from the standpoint of operational utilization by the Bureau.

8. We would strongly recommend that all of the above be passed to the Bureau ASAP in order that that organization may effect contact with in time to provide him with proper guidance concerning any further approaches by Pavlov or other Sov legals in US.

JAY B. L. REEVES

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	he had heard while in th the 1959 Stuttgart Congr	ess, had been pl	laced under	house	arrest. 1	ile -	
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FBI report dated 220.7.52. (bush. C.C.) Mine for Secretary, 1470 Special Committee Brapent dated 25 Cat. 62 (Wash. D.C) Re: Crechange of Information Repairling FBI report dated 31 6-1.62 (h.y.) n.y. FSI Minno Rated 24 Jan 63 (Unsh. D.C.) Re: Somet- Ble Intelligence activities in U.S. FBI Report dated 24 Fet- 63 (Wesh. D.C.) filed (=) 65-6347 De; Soviet- Bloc Intelligence Retivities in U.S. FBI Report dated 21 march 13 (Wash. & C) for (=)65-63478 Re: Somet-Bloc Intilligence activisties in U.S. FB) Report dated 25 april 63 (Mark. D.C) Siled (E)65-63478 Re. Somit Bloc Istellycome Betristics in U.S. FBI Report dated 24 May 63 (Wash. AC) filed (E) 65- 63498 Re: Somet Blod Intelligence Mativities in 4.5 FBI Report dated 20 gune 63 (Wach DC) filed (E) 65-13498 Re: Somiet-Blod Intelligence Rot Votree in U.S. FBI Report dated 22 July 63 (Mash. D.C) filed (E) 65-63498 Re: Soniet - place Intilligences lestratics in 45 FBI Report dated 19 aug 63 (Unsh. WC) fit (E) 65- 63478 Re: Somet-Bloc & telligence Contration in U.S. FBI analysis dated 4 Sept 63 (Wash. OC) Re Smit also Intelligence lectiothis in U.S. FBI Report dated 4 nov. 63 (Wash. D.C.) Re: Unknown Subjects (no); Observed Taking Photographic in American of U.S. Namal Station, Wash. D.C. FBI Report dated 25 nov. 63 (Wesh. O.C) filed 4 (5) 65-63478 Re: Somet Alocantligence activities in U.S. FB/ Report Lated 27 Dec (3 (7.4, N.4) TOP SECRET CROSS REFERENCE SHEET (FBI Report 22 May 13) Le: Somet Versonnel Entellinguice activities

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