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## JFK ASSASSINATION SYSTEM

## IDENTIFICATION FORM

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EXHIBITS 1-11

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Phone (Area 202) 544-6000

WARD & PAUL

410 First Street, S.E., Washington, D.C. 20003

1 Mr. Smothers. Mr. Secretary, we see there a series of  
2 lettered subparagraphs setting out alternatives that the CIA  
3 has offered up as potential areas to be pursued, and then  
4 some handwritten notes opposite each paragraph apparently in-  
5 dicating approval or disapproval of the proposed faction.

6 The Chairman. Is this memorandum a CIA memorandum?

7 Mr. Smothers. It is for the Chief of the Western Hemi-  
8 sphere Division.

9 Senator Tower. But who signed off on it? That sig-  
10 nature is obscured.

11 Mr. Smothers. The author of this working paper is  
12 unknown. It appears to be -- we have an acting chief --

13 Mr. Dennin. The chief at that time was Ned Holman, and  
14 though it is not established, people have said that they  
15 believed that it was Mr. Esterline who was the acting chief  
16 at that date.

17 Mr. Smothers. Looking at that memorandum and coming  
18 down to subparagraph C under paragraph 1 of the memorandum, we  
19 see the statement:

20 "To what extent will the U.S. Government participate  
21 in the overthrow of Trujillo".

22 And then in subparagraph C:

23 "Would it provide a small number of sniper rifles  
24 or other devices for the removal of key Trujillo people from  
25 the scene?"

(1) (A)

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1 Mr. Schwarz. Now if I might put this document in  
2 chronological context for the Committee, the persons in Santo  
3 Domingo are at this point seeking permission to pass machine  
4 guns to the dissidents who they know are seeking those machine  
5 guns in order to assassinate Trujillo, and there is a block  
6 put on this shipment -- rather, to the passage of them; they  
7 had already been shipped to the Dominican Republic -- and a  
8 draft cable was prepared authorizing the passage and then it  
9 was said, no, we've got to go check with State to determine  
10 whether the machine guns should be passed.

11 And this memorandum reflects the checking with State, he  
12 apparently came to see Mr. Berle..

13 Further, as a matter of information, the **Mr. Herbert** who (1) (A)  
14 was referred to in here, did know that other assassination  
15 weapons had been passed to the dissidents.

16 Now given that background, I will read the memorandum  
17 into the record and I will have one question for the witness. (1) (A)

18 "**Mr. Herbert** of CIA came in. A local group in the  
19 Dominican government wishes to overthrow Trujillo; wishes arms  
20 for that purpose.

21 "On cross-examination it developed that the real plan was  
22 to assassinate Trujillo and they wanted guns for that purpose.

23 **Herbert** wanted to know what the policy should be.

24 "I told him I could not care less for Trujillo and that  
25 this was the general sentiment. But we did not wish to have

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1 anything to do with any assassination plots, anywhere, anytime.

2 **Herbert** said he felt the same way."

3 Now, Mr. Secretary, in the way which your understanding was  
4 of the expected degree of candor between CIA and the State  
5 Department, would it be your expectation that if **Mr. Herbert**  
6 had known that other weapons had been passed to the same  
7 dissidents and they had stated that they intended to use those  
8 other weapons to assassinate Mr. Trujillo, that **Mr. Herbert**  
9 should have passed that information on to Mr. Berle?

10 Mr. Rusk. Under those circumstances, I would think the  
11 answer is yes.

12 I mean, one thing I'm sure of, Mr. Schwarz, and that is  
13 Mr. Berle did not write this memorandum on May 3rd, 1961 in  
14 preparation for a Senate investigation in 1975.

15 Mr. Schwarz. You believe it to reflect accurately what  
16 transpired?

17 Mr. Rusk. I have no doubt. It was sent to Mr. Coerr, Mr.  
18 Owen, Mr. Devine, in the Department of State, and I've known  
19 Mr. Berle for quite a long time and I would have predicted this  
20 would have been his reaction.

21 Senator Mathias. Mr. Chairman, just at this point --

22 The Chairman. Senator Mathias.

23 Senator Mathias. It would be interesting to get the  
24 Secretary's reflection that if that is the case, as Mr. Berle's  
25 memorandum indicates and as the Secretary said, how do you in

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7 June 1961

*Desk Exhibit # 4*

MEMORANDUM FOR THE RECORD

SUBJECT : Record and Related Policy Decisions on Passing  
Arms to Dominican Dissidents

1. The following is taken from a Memorandum dated 11 May 1960, Subject: Debriefing of Ambassador Farland:

"The Ambassador began by saying that according to 'Mr. Number One', the leader of the Dominican Dissident princes, (whom he did not identify), the dissidents need guns and ammunition from the United States. In particular they need a small number of high-powered rifles with telescopic sights. The Ambassador said that he saw no problem in the purchase and delivery clandestinely of the arms to the dissidents. But he said, of course, this raises the question of whether the United States Government wants to engage in this activity."

2. On 21 June 1960 Ambassador Farland told ~~Mr. Tolson~~ that he thought that an air drop would be the best way to deliver the arms to the Dominican dissidents. Ambassador Farland sketched for ~~Mr. Tolson~~ the location of three possible sites for landing a light aircraft near Ciudad Trujillo. (1)(4)

3. In a meeting on 23 June 1960 Assistance Secretary Rubottom told Col. J. C. King that the Government of the United States was prepared to provide the Dominican dissidents with a small number of sniper rifles or other devices for the removal of key Trujillo people from the scene.

4. On 29 December 1960 the Special Group approved a plan of covert action, to be largely effected through former President of Costa Rica, Jose FIGUEROA, and providing for increased propaganda directed against Generalissimo TRUJILLO, and for the financial support of selected exile groups. Additionally this approval included authorization for the CIA to make available to selected exile groups communications equipment and support necessary for them to develop a capability of infiltrating personnel and equipment into the Dominican Republic.

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5. At 12 January 1961 meeting of this Special Group, Mr. Livingston Merchant stated that the Department of State felt that a limited number of small arms and other materials should be made available for the dissidents inside the Dominican Republic. Mr. Thomas Parrott of the CIA said that he believed that this could be accomplished securely by the CIA and that the plan would stipulate that the actual introduction of the materials into the Dominican Republic would be the responsibility of the Dominican dissidents themselves. The decision of the Special Group was communicated to Consul Dearborn in Ciudad Trujillo, and in a letter dated 31 January 1961 to Mr. Frank Devine of the State Department, Consul Dearborn made the following remark: "With regard to the delivery of the exotic equipment, my friends were of course delighted that such a decision has been made. I hope that it will not be necessary to play down too long the question of actual delivery since they are eager and expectant and a too great tardiness on our part would only lead them to question our sincerity."

6. In **TRUJ 0452**, dated 15 March 1961, **Chief of Station** (1)(A)(B) **Robert Carr**, made the following request: "1. For issue to several dissident people Consul Dearborn requests three thirty-eight caliber Smith & Wesson special pistols or similar and three small boxes cartridges. If sending ammunition complicates problems, advice and we will try to get it from the Marines." The Deputy Director of Plans on 23 March 1961 approved this request and the CIA immediately provided the requested material **to the Station**. One of these weapons was given to Jordi BRUOSA, a dissident leader, by Consul Dearborn, and the other two were passed **by the Station** to **Luis LO Lora, an American citizen** in direct touch with the action element of the dissident organization. (1)(A)(B)

7. On 28 March 1961 in **TRUJ 0453** the **Station** requested permission to pass three M-1 Carbines, Cal 30 and supply of ammunition which was available in the **Comandante en Jefe** U. S. Naval personnel to be passed to the dissidents. This message (**TRUJ 0453**) was concurred in by Consul Dearborn and **TRUJ 0497**, dated 31 March, which stated Consul Dearborn also concurred in stated: "We cannot emphasize enough that we must make gesture of support and goodwill to General CABRAL, dissident leader, etc. These items (carbines and ammunition) are not what he asked for but they are an investment in operational continuity. The Agency in BIR 32173 of 31 March 1961 approved the **Station** passing the carbines and ammunition and the material was passed to the dissident group over a period of several days in early April 1961 by secure means through **the Station** to the dissident, **Lawrence Berry**." (1)(A)(B)

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RUSK EX 7

7 MAR 1966

MEMORANDUM FOR: The Honorable Dean Rusk  
The Secretary of State

SUBJECT : CIA Involvement in Cuban Counter-  
revolutionary Activities--Arrest of  
Rolando CUBELA Secades and  
Ramon Tomas GUIN Diaz

1. With reference to the Habana Prensa Latina news release of 5 March 1966, alleging CIA involvement with Rolando CUBELA Secades and Ramon Tomas GUIN Diaz in counterrevolutionary activities, the following outlines the Agency's contact with these two persons.

2. GUIN was recruited by a CIA agent in August 1963 inside Cuba as a Principal Agent to recruit high-level military leaders. He has had no contact with CIA staff personnel.

3. CUBELA, the more important of the two men, has been in contact with this Agency during the period 1961-1965. The last Agency contact with CUBELA was in February 1965. He has had direct contact with several Agency officials and, in addition, is known to have been in contact with Cuban exiles, including Manuel ARTIME. CIA's contact and relationship with both CUBELA and GUIN was for the express purpose of using them to collect intelligence on military activities and objectives in Cuba. The Agency was not involved with either of these two men in a plot to assassinate Fidel Castro, as claimed in the Prensa Latina news release, nor did it ever encourage either of these two persons to attempt such an act.

4. Mr. James Neal, mentioned in the press release, is a CIA official stationed in the U.S. Embassy in Mexico City, and was in contact with both Odon Alvarez de la CAMPA and Jose Luis

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CONZALEZ Callarretta, as noted in the press release. The purpose of this contact was limited specifically to obtaining intelligence information. There is no truth to the allegation that CIA paid \$100,000 or any other sizeable sum of money to either of these individuals.

5. The Agency has also had limited direct and indirect contact with Jorge ROBRENO, Alberto BLANCO Ramirez, and Carlos TEPEDINO, noted in the press release. Again, these contacts were restricted to obtaining intelligence.

/s/ Richard Helms

Richard Helms  
Deputy Director

Signature Recommended:

/s/ Thomas M. Karamessines 7 - MAP 1985  
Deputy Director for Plans

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(Drafting Office and Officer)

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(39) *Rich Eshel*  
#11 Memorandum of Conversation

*Mat*  
*Rich Ship Livingston*  
*8/31/65*

DATE: May 3, 1961

SUBJECT: Dominican Republic

PARTICIPANTS: Mr. Herbert, CIA  
Mr. Berle

1961

C-IX-29

COPIES TO: ARA: Mr. Coerr - 1  
Mr. Owen - 2  
Mr. Devine - 3  
Mr. Herbert, CIA - 4

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(4)

(1)(A)

*Mr. Herbert* of CIA came in. A local group in the Dominican government wishes to overthrow Trujillo; wishes arms for that purpose.

On cross-examination it developed that the real plan was to assassinate Trujillo and they wanted guns for that purpose. *Herbert* wanted to know what the policy should be.

I told him I could not care less for Trujillo and that this was the general sentiment. But we did not wish to have anything to do with any assassination plots anywhere, any time.

*Herbert* said he felt the same way.

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☒ Retain class'n ☐ Change/classify to \_\_\_\_\_  
☐ With concurrence of \_\_\_\_\_  
☐ Declassify on \_\_\_\_\_ and excise as shown  
EO 12333, 1.3(a) (1)  
FOR BY *HW* 6/24/93

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