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Notes:

Black text on a blue background inidcates content that was previously redacted using black ink.

I did my best to be accurate in revealing what is new, but please confirm with the original sources where accuracy is essential.

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Chief, CI Steff/L 2 C 48 Hgs 5 July 1367

Chief, Contact Division, 00

Attempted RIS Panetration of Mell Melephons Laboratories - Mi-10012

1. Attended are two memorands, dated 26 June 1963, from our Mew York Field Office emperaing apparent Rib interest in Memoral B. Schroder and Donald E. Thomas, Bell Telephone Leberatories, Marray Hill, New Jersey. We believe this information abould be present to the FMI immediately. We would approximate being advised the date this information is forwarded to the Dyreau.

- 2. For your information, permission to brief Dr. Schroeder prior to his trip to the USH was requested by our Res York Office but refresh by FI Staff. In view of his employment, however, and previous CO/CO contacts with him, FI recommended he be previous with a strong defensive and realities briefing.
- 3. Further inquiry may be directed to Mrs. Shirley Stateon, CD/00, Segment Breach, Rock 413, 1717 H Street, H. V., extension 2298.

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E. M. ASECRAFT

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UNITED STATES GOVERNMENT

Memorandum

TO : Chief, Contact Division

DATE: 1 July 1963

Attn : Mc Connell/Czajkowski/Lincoln Staff

FROM : Chief, New York Office

SUBJECT: Attempted R.I.S. Penetration of Bell Telephone Labs

Ref: MX Reports 2988-3 and 2989-3

1. We thought the attached reports would be of interest to Lincoln Staff from a Soviet realities standpoint. We also felt that they should be brought to the attention of Division management because the M.O. outlined therein is becoming a major factor in dealing with sources who have contact with Sovbloc nationals. It has been our experience that the type of activity outlined has been on a marked increase, particularly since the establishment of the KGB 10th Directorate. Although such activity by Sov legals is taking place throughout the continental U.S., undoubtedly its greatest impact is being felt in the New York and Washington, D.C. areas because of the large Soviet Government establishments in both of these cities. For this reason it would seem imperative that both WAFO and NYFO be extremely vigilant for such activity in companies and institutions with which they are dealing in order that it may be recognized in its earliest stages and appropriate CI/CE countermeasures instituted. In order to assist other offices in this respect, we plan to write in the near future an article for the Division Newsletter, outlining some of our experiences along these lines.

2. We were somewhat disappointed in CI Staff's reaction to our recent request for assistance in defensive briefing of the subject of one of the attached reports. It seems to be quite clear now that our original assumption concerning his being R.I.S. targeted was quite correct and that there is a strong likelihood that his current trip behind the Curtain will be utilized by the opposition for provocation and/or an actual recruitment attempt. We wonder in future cases of this nature exactly what support we may expect from Angleton's shop. Although we feel that we have a fair capability for handling such matters here in New York, we do admit that, at least on this one, we would have felt slightly more comfortable had a CI specialist been along, if for no other reason than to preclude any possible Monday morning quarterbacking by the "old pros."

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Subject: Attempted R.I.S. Penetration of Bell Telephone Labs

3. Judging by the attached material, it would appear that, despite established Bell policy (see our 16 May memorandum re Case 39,790), the opposition has seen fit to deflower at least two of Bell's scientific wirgins. We serfously wonder at this point just how many other Bell people might be in the same boat. Perhaps, as a result of all this, some representation by Bell management to the KGB will be forthcoming, spelling out to that organization, as was spelled out to our own, established company policy pertaining to such matters. In any event, it would appear that at least one or two Bell scientists, and there are probably more, have been giving the R.I.S. more cooperation and reporting than the Agency. Let's hope that in the near future Bell management takes some effective steps to rectify this rather ludicrous, and ratently dangerous, situation.

MY Reports 2988-3

and 2989-3

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UNITED STATE GOVERNMENT

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TO : Chier, Contact Division.

Attn : Support (Chirley Stetson)

FROM Chief, New fork Girlee

DATE. 26 June 1,803

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Support: Apparent RIE Interest in Munfred F.

(201-734194).

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11 0930-1230 hours 14 June, Manfred 3. Schroeder of Bell Labs received a strong defensive briefing in connection with his June-July trip to the USSR and Bulgaria (see our 7 May Coordination Outline for subject). During Schroeder's briefing, Nagle elicited from him that prior to a trip to the USSR in 1959 he had been contacted by an individual who represented himself as a West German Intelligence Officer. More important, we also learned that, at about the same time, RIS cultivation of Schroeder apparently began.

2. Concerning the West German approach, Schroeder indicated that his mother, who resides in Hamburg, was selephoned in 1959 by an individual who claimed to be an old acquaintance of her son's and who was interested in contacting him concerning employment in the US. The caller left a phone number and said that he would appreciate it if Scarceder could call him next time he was in Hashurg. Schroeder's mother relayed the message to her son, and, as requested, he telephoned the caller just prior to his 1959 USSR junket. The individual he called identified himself as a West German intelligence Officer and said that he would like to meat Jehroeder as soon as possible. Schroeder agreed. When they met, the individual in question (Schroeder does not recall his name) presented suitable credentials and expressed interest in Schroeder's trip to the USSR. According to Schroeder's account, the West German Invelligence Officer gave him the old "keep your eyes and ears open" pitch, and this him that he would contact him in the First West German city in Which he touched down after his USSR trip. Schroeder was connected in Stuttgart promptly after returning to Germany from the USSR on his way back to the USS. Because of his US employment and the fact that Bell paid for his trip, Schroeder became very apprehensive about any further contact with the West German service and at the time of the call indicated that he had nothing to say and wanted no further contact. Other than the cursory examination of credentials (us reported above), Schroeder ande no attempt to establish the bona fides of the German who made the approach. We pointed out to Schroeder the unlikely possibility that the approach might not have been some fide and might, in fact, have been a provo-

* copy attacked.

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3. Apparently R.I.S. rultivation of Star Sacr Cont. S. S. prior to the above incident. According to his wormst, as how received what appeared to be a routing invitation to visit whilet connection with the invitation, in wrote to the Sava in gentleme that during his visit he would like to meet velocity a remaining Sov acoustics engineer who was very interested at which don't in the and had done several Russian translations of case the bounds. A a result of this correspondence, Scarceder received a telephone and . at his home a couple of days prior to his departure in trip from an individual who chained to be a level for the representative in New York. The caller told Schroeder that he was to see a le-Maddow for delivery to him a special invetation than Q. H. Cv of the State Committee Ar Son Printing of Schause and a committee caller, wasse man Schooler and not real, and lab. like to deliver the levination to min proceeding one or an legact or .

Schroeder initiated that no was very also with last minute sector is which he had to just in reder on an every being and come. tion conduit . The of to mine when the deliver inclosed that mid-instructions were to indiver the critation occupies, demonstrafinally agreed to have his sufe soft the first test to seek not pick up the invitation. Itsitentally, it we could be the conversation Behroeder invites the few to get ver the invitation to his home. the latter declined, however, saying that John's deric home was in an area closed to travel by Sow Elphomatic persons. To may event, the Sov arranged to meet dehry dam's while or a subject corner in Chatham, New Jersey, and at that time turns over to her the invitation for her husband.

4. Schröder said that his 1999 will to the 1938 was gaite routine and that he could recall nothing out the oradisary happening during the trip. During the vilit, his now counterpart, Problev, who speaks fluent German, acted at his host and was at his size a most continually.

5. Sometime in 1901 composed received a piece call to hit how, one evening from Vladimit Velg'n, the identified however is a representative of Amtors (AFC). Volgin said that James are one friend Furduev had just completed a visit to bey Yora and before leaving the city had asked him to deliver a present to Schroeder for him, hence the phone call. Volgin insisted on delivering the present to delivered in person, and the two male arrangements to have lamb together the following week in Manhattan. This began a series of approximately sin

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Subject: Apparent RES Interest in Manford R. Schroeder, Sell Telephone Labor.

or more contacts with Volgin which hoted smill life 1997 when <u>Serrows</u> received a note from Volgin stating that he and his immedy were returning to the USSE in order that his wale could maken, undered branched there. Incidentally, <u>Scirbeder's</u> only other contact with Fire as such in 1962 when he met him in Copenhagen at a professional meeting.

- 6. Schroeder said that most, if not all, of Volgan's phone could to him were to his home and usually appeared to have been said from pay phones. Schroeder said that the meetings with Volgin included lunches and dinners in Manhattan, notiallying at a New-Y of I.R.E. Meeting, theater, and entertainment of each other in their respective homes. Schroeder claims that his wife accompanied him luring most of his contact with Volgin. Other than to his was family, Volgin never introduced Schroeder to any other incividuals.
- 7. Schreder claims that on a couple of occasions he tained over unclassified technical papers to Vorgin, who stained to be an electrical engineer. Schroeder can't recall whether Volgin specifically requested the information or whether he turned it over to him on his own initiative. At one point during their relationship, Volgin asked Schroeder to write an article on US acoustics work for publication in a Soviet because Journal. Schroeder declined.
- 3. In retrospect Schroeder admitted that he had been quite naive about his relationship with Volgin and expressed some concern that sell security hadn't been more active in adminishing him regainst development of relationships with Dov Government representatives. His view of his experiences how completely coincides with ours as a result of our discussion.
- 9. Another factor which obviously enters into Scaroeder's R.I.S. picture is his wife's Sulgarian extraction. Schroeder claims that neither he now, to the best of his knowledge, his wife have ever been approached by Sovblor representatives in this country concerning his wife's family in Bulgaria. His wife's mother, lympaita Mensckik, immigrated to the US in April 1960 and lived with Schroeder and his wife until Movember 1962 when she returned to Belia, apparently because she was homesick and couldn't adjust to the new language and life in the US. Schroeder said that his mother-in-law's original exit visa request was rejected in 1968 by the Bulgarian Government, but after he and his wife wrote several letters to the Bulgarian Foreign Ministry she was finally grapted permission to leave in 1960.

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Subject: Apparent RIS Interest in Manfred R. Schroeder, Bell Telephone Labs

10. After Schröeder visits the USSR on his current trip, he plans to fly to Sofia to spend approximately a week with his wife's family there. Schröeder had not mentioned the Bulgarian visit in outlining his original itinerary to Bob Martin of this office, therefore, it was not mentioned in Schröeder's Coordination Outline.

11. All of the above would seem to indicate quite strongly that Schroeder, as we originally surmised, has been targeted by R.I.S. In briefing Schroeder, we ran through some of the typical entrapment situations fabricated by the opposition, e.g., women, homosexuals, purchase of drugs for Sov citizens, black market activity, acceptance of letters to mail outside the USSR, commitments to disaffected Sovs, etc. In addition, we stringently admonished Schroeder against any notetaking or photography which could in any way be construed as conpromising. We also alerted Schroeder to the fact that some attempt might be made to use his rife's family as leverage in a recruitment attempt. In this connection, we pointed out to Schroeder that there was a strong likelihood that the R.I.S. and/or Bulgarian service would make an approach to him during his forthcoming visit to Sofia. An approach in Bulgaria would give the Sovs an opportunity for disavowal and also would provide the proper setting for maximum utilization of relatives. When Schroeder mentioned that his sister-in-law, FNU enschik, had indicated several times in the past that she would like to leave Bulgaria, we strongly admonished him against making any commitments to her, pointing out that she may possibly be co-opted by the opposition. We told Schroeder that any representations to gain an exit visa for his sister-in-law should be channeled through the US Legation in Sofia. Schroeder was told that, if any pressure were applied concerning his wife's family, his best reaction would probably be a noncommittal, "I'll think it over when I get home:" All in all, we alerted Schroeder as best we could to the opposition M.O. and prepared him on how best to avoid some of the not so obvious pitfalls which might be in store for him.

12. Prior to our briefing of Schroeder, Bob Martin alerted Bell management to all of the ramifications of Schroeder's travel behind the Curtain. The management was informed by Martin that we felt it our obligation to point out to them the strong possibility that Schroeder had been targeted in order that they would have all of the facts available before signing off on his trip.

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Subject: Apparent RIS Interest in Manfred R. Schroeder, Bell Telephone Labs

13. Assessment of Schroeder: Subject, a very accomplished scientist, heads up all acoustics research at Bell. He reads Eussian and is fluent in German, Prench, Spanish, Italian, Dutch, and English. He impresses us as being a rather savvy, discreet, cooperative, mature, stable, well motivated individual. We attribute Schroeder's apparent lack of discretion in his dealings with the Sovs more to the subtlety of the Sov approach than to his own naivete. It has been our experience that most US businessmen and scientists are very susceptible and vulnerable to the opposition approach mainly due to somewhat of a lack of realistic public education concerning contacts with Sovbloc nationals. We feel that Schroeder's degree of cooperativeness with US services has been enhanced considerably by his feeling that he has really been taken for a ride by the opposition.

Incidentally, when we asked subject for permission to release this information with source disclosure to the FBI, he was at first somewhat apprehensive and expressed the desire to talk it over with one of his Bell superiors before he made any commitments. He finally agreed, however, when we convinced him that it would certainly be in his best interest to get on record with the FBI as soon as possible. Most of his apprehension about the FBI seemed to be attributable to the fact that he has just been granted US citizenship and, in addition, is now somewhat concerned that his activities with the Sovs in some way may effect his security status which in turn could be very detrimental to his career.

Based on our limited contact with Schroeder, we have every reason to believe that he would be suitable for operational use, should the Eureau choose to pursue such a course.

14. We would strongly recommend that all of the above be passed to the Bureau ASAP in order that that organization may effect contact with Schroeder and provide him with proper guidance concerning any further approaches by Sov or Bloc mationals in this country.

JAY B. T. REEVES

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- 3. Thirdiam: Subject will tenratively Appart US 18 May, arming Blocks 19 May. He will spend two vectos visiting laws in Musical and Lomingraf at the invitation of D. Ovisulani, Deputy Chairwan, State Countites for Coordination of Scientific Resourch. If the 19 may arrival date is not acceptable to the Soviets, subject will make the trip in Jump or July.
- 6. Assem for Frim: During Hovember 1968, while is Europe, subject received an invitation from the USER Assembly of Sciences to viets various Hevist accustic laboratories. Escapse of a tight schedule at the time subject decline: the invitation. Is carly March of this year as received the lawishing from Original Englished above.

During als trip, subject will dissues with Soviet counterparts various aspects of voice communications recearch, electroscounties, and armitectural accustics. So will also visit Soviet laboratories involved in research in these fields.

- 7. Security Status: Subject was granted Secret clearance by Middintown Air Enterial Command 30 July 1936 in anticipation of his being used on classified project at Bell Laboraturies.

 Alies mans check on subject proding.
- 8. Pravious Intelligence Activities: In 1979 subject was comparted twice by CLVW here last Floid Office for astricting after a trip to the USE. At the time he was generally comparative,

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but constitut apprehensive. He was again contexted in 1948 for heurisfung subsequent to a US visit of a devict counterpart.

- 7. Polatives or Those Priends in Denied Areas. Bubject's wife was born in Sofia, Bulgaria. Her now reportedly holds the sitiasuality. In November 1962 subject same not to arrange for immigration to the Us of his acther-in-law, Issualia Weneakle. After a shirt stey in this country, however, she returned to her home in Hofia in March 1963. In addition to his mether-in-law, precedently there are other relatives of his wife still living in Deignia.
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- 12. Space of Personal Accounts: Buring our constant limited relationship with subject he has given every indication of bring discrept, security securious, maters, and comparably.
- 13. Extens of Schelentine Evictine Proposed and Remainments to be levical. Econo. Bublect will not be briefed on operations of any hind. He photography or instringuisting note taking will be requested of him.
- 14. Defending and Resilting Printing: Subject will receive routine defending and Saveloc realities brinting.
- 15. Priories Office and Participants: Briefles vill be conducted by
- 16. Profing of Bris: Subject's trip will be funded by his company.
- 17. Profitation Budling 15 by 1963.

lemorandum

: Chief, Contact Division Attn : Support (Shirley Stetson)

PROM : Chief, New York Office

DATR: 26 June 1963

00-A- 3266992

(NY - 27883)

Apparent RIS Interest in Donald E. Bell Laboratories

Orc Scientist BUIL ADORATORIES

1. During routine debriefing of Donald E. Thomas of Bell Laboratories in connection with his recent USSR visit to attend the Popov Society Meeting, 5-14 May, Moscow (see NYOR 8878 for Coordination Outline) subject mentioned having had recent contact with a Soviet Government representative in this country. The Soviet in question identified Chimself to Thomas as Gleb Aleks Pavlov and gave his address as 1216 First Avenue, Manhattah.

- 2. According to Thomas, his initial contact with Pavlov took place in late March following the International Convention and Show of the I.E.E., 25-29 March, New York City (see Case 39843). At the convention Thomas became friendly with two Soviet counterparts in attendance, A. V. Tiel and Anatole Trutka, both of whom Thomas invited to his home for a social visit during their US stay. When the Sovs departed for home following the convention, Thomas saw them off at New York International Airport. It was at the airport that he first met Pavlov, who apparently was seeing off all of the Sov I.E.E. delegates. After the Sov delegation had departed, Thomas and Pavlov returned to Manhattan together and, on parting, exchanged addresses.
- 3. A couple of weeks after their initial meeting, Pavlov telephoned Thomas at his home and told him that Tiel and Trutka had asked him to send their warmest regards to Thomas and thank him for the hospitality which he showed them during their trip to this country. During the conversation, Pavlov expressed an interest in renewing his acquaintance with Thomas, and suggested that he would enjoy visiting him at his home in Madison, New Jersey. Thomas said that he would enjoy seeing Pavlov, but that he was currently preparing to leave on a trip to the USSR and Europe and was not scheduled to return to the US until late May. Thomas suggested that, in view of this, perhaps early June would be a good time for them to plan on getting together. Pavlov replied that he, himself would be in the USSR then, but would contact Thomas when he returned in early July. Incidentally, Pavlov's

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Subject: Apparent RIS Interest in Donald E. Thomas, Bell Laboratories

phone call to Thomas was made on a pay phone. In fact, when the operator cut in for overtime, Thomas called Paylov back at the pay phone. Thomas mentioned that he possibly might have the phone number written down somewhere.

- 4. Soom after the phone call from Pavlov, Thomas called John McVicker of the State Department and inquired if any clearance of Pavlov's proposed trip to his home in Madison would be necessary. McVicker told Thomas that any such clearances were Pavlov's worry and that he need not be concerned about same.
- J. During his recent trip to the USSR, it would appear that Thomas was exposed to two incidents which possibly could have been of opposition fabrication. One involved an approach by a Soviet interpreter by the name of FNU Petrov, who took Thomas aside and asked him if he could do him a personal favor by getting him a subscription to Mational Geographic Magazine for which he, Petrov, would reimburse Thomas in rubles. Thomas politely refused.
- 6. The other incident involved Thomas being photographed with tan attractive young female interpreter, Ludmilla Oshkina. According to Thomas, when one of the Soviets in their party was about to take a picture of him standing next to Ludmilla, another individual standing nearby was pushed out of the way apparently so that he would not be included in the photograph. In addition, Ludmilla attempted to strike an affectionate pose with Thomas for the photograph by slipping her arm through his. Thomas claims to have pushed her arm away just as the photo was being snapped.
- 7. Assessment of Thomas: Subject strikes us as being extremely naive and unrealistic. He appears to be more apprehensive about his dealings with CIA than his dealings with Soviets. In fact, when Thomas was asked if he would mind if a tape recorder were used for debriefing, he became very nervous and expressed a fear that, if such a tape were made, perhaps the Soviets might gain access to it and use it against him in some way at a future date.

Thomas had an outline in his own handwriting of the sessions of the Popov Society Meeting. When we asked for a photostat of same, he again expressed misgivings and indicated that he would prefer to have a typewritten copy prepared for us instead of a copy in his own handwriting because he was fearful that it might fall into Soviet hands.

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Subject: Apparent RIS Interest in Donald E. Thomas, Bell Laboratories

We inquired of Thomas why he had not mentioned Pavlov to us during our defensive briefing of him before his trip. He replied rather nebulously that it was his understanding that it was company policy that our only function in seeing him before his trip was to give him a defensive briefing, and that, since no debriefing was involved at the time, he felt no obligation to volunteer the information concerning Pavlov.

It is our opinion that Thomas's obvious naivite, apparent lack of sophistication, and extreme apprehension concerning dealings with our Agency would make him most unsuitable from the standpoint of operational utilization by the Bureau.

8. We would strongly recommend that all of the above be passed to the Bureau ASAP in order that that organization may effect contact with Thomas in time to provide him with proper guidance concerning any further approaches by Pavlov or other Sov legals in US.

J.J. Comia JAY B. L. REEVES

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FRANCE Coordination outline - Result B. Thomas, Bell Long

FREE Boy Took Field Office

Polloging is coordination ordline for D. E. Thomas. Dr. W. O. Bahar requested that Sames be given defensive briefing by us before going besited Certain. As you are already course, Sahar is vice President at Boll Labs and member of President's Scientific Myleory Commit and President's Intelligence Poort.

1. East and Flace of Birth: 18 May 1907, Recover Docaship, Penns.

S. Esse and Address: 46 Pairview Avenue, Hadison, Esw Jerpay.

Mane of employment and position; Ball Labe, Harray Rill, Box

Servery Electronics Business.

- 5. Itinesery Desjort departs vil 3 thy for Messon to attend Popov Enciety meeting, 5-14 May. Pollowing Moscov meeting he will visit labs in Nortorn Europe for aggressizately one THE STATE OF
- 6. Mission and reason for trips States to save to give paper at Popov meeting by WESE Ascileay of foliances via L.S.S.S. (Interestional Electronic and Electrical Regiscore).

7. Secretty Status: We hald feverable HAS completion on subject

dated All Ama 59.

8. Provious Intelligence Activities: Designs was contented by 60/60 1938-39 comerning info on fovior corn in his field which he comired from contects with Eoriet extentific * constarer of entire interesties in the 9. Relatives or closs friends in desire areas, Bans.

Estate of provious trips to desica creas: Done.

Analysis of Saljert's Competence: Pa. State College, B.S., E.E., 1929; Columbia University, M.A., 1932; US Army Signal Guypa, 1948-44; SEAAY, 1944-65, on redio countermonance, 1944-65; Retired Mc.Col. SEAFR; Ember (Civilian) penal on Electronic Counternaceures Mid Board, Days of Bafence, 1946-53.

Reserve of personal assessment: During our Limited relationship with subject, he has given every indication of boing discrept, contriby conceious, ecoparative, well notivated, meture. He is well regreted in his particular field of competence.

- 1). Esture of existinctive briefing proposed and regularisate to leviels Buth. School will not be briefed on operations of my kind. So thetagraphy or incriminating note taking will be requested of less.
- Reference and realities briefing fabrost will receive swatter essentivo era Sovolos realities briefing.
- Problem for trips Subject's trip will be funded by his concern.
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- I attended the Third International Congress on Acoustics, 1-8 Sept 59, Stuttgart, West Germany.
- 8. While at this Congress I met a Soviet female scientist, L Chistovich, who is connected with the Pavlov Institute of Physiology, Leningrad. One day during the Congress I took a walk in the Black Forest with L Chistovich and another Soviet scientist. After a short time, the other Soviet scientist excused himself saying that he had to rejoin his colleagues.
- 3. In June 1963 Manfred Schroeder, an acoustician with Bell Telephone Laboratories, was in the USSR. On his return to the US he told me that he had heard while in the USSR that L Chistovich, on her return from the 1959 Stuttgart Congress, had been placed under house arrest. He also said that he had seen L Chistovich while he was in the USSR, and he had asked her whether it was true that she had been placed under house arrest on returning from the Stuttgart Congress. He said Chistovich's only reply was to blush.
- 4. I suspect that the individual who reported L Chistorich to the authorities was Turiy Pavlovich Lysanov. He is connected with the Accepting Indifficient in Moscov. He publishes very little and his compact has seemed atrange to me. He was in the United States in 19-6-1957. I suspect that the Cast that L Chistovich took a walk with me alone may well have been the reason she was placed under house arrest.

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