

UNIFORM CONTRACT FORMAT

PART I - THE SCHEDULE  
SECTION B - SUPPLIES/SERVICES AND PRICES

B.1 SERVICES AND PRICES

<u>LINE ITEM DESCRIPTION</u>	<u>QUANTITY</u>	<u>AMOUNT</u>
0001 External RDT&E in accordance with specifications set forth in Section C. (Subsections 6.1 through 6.4).	1 Lot	\$ 1,450,000
0002 Reports	1	NSP
0002AA Workplan Milestone Report	1	NSP
0002AB Progress Reports	5 (est)	NSP
0002AC Special Report	1	NSP
0002AD Final Technical Report	1	NSP
0002AE Variance Report	As needed	
0002AF Technical Briefing	1	NSP
0003 Quick Reaction Capability	As needed	

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**PART I - THE SCHEDULE**  
**SECTION C - DESCRIPTION/SPECIFICATIONS**

**C.1. WORK STATEMENT:** Under this contract, the contractor, as an independent contractor, and not as an agent, servant, or employee of the Government, utilizing special knowledge and techniques possessed by and available to the contractor, shall furnish all labor, equipment, facilities, services, and materials, necessary for the performance of the work set forth below: See Statement of Work, Enclosure 3, and DD Form 1423 (Contract Data Requirements List) dated 26 Jan 89 attached in Exhibit A.

**PART I - THE SCHEDULE**  
**SECTION F - DELIVERIES OR PERFORMANCE**

**F.1 TIME OF DELIVERY:** The work and services required under Section B shall be completed/delivered as specified on Enclosure 9.

**F.2. TERM OF CONTRACT:**

F.2.1. The work required by task 6.1 through 6.4.2 shall be performed during the period commencing on the effective date of this contract and not to exceed 18 months in length.

F.2.2. The work required by task 6.5 shall be performed during the period commencing on the effective date of this contract and ending 30 September 1991.

**F.3. PLACE OF PERFORMANCE:** The work under this contract shall be performed at the contractor's facilities unless otherwise provided in this contract.

**PART I - THE SCHEDULE**  
**SECTION H - SPECIAL PROVISIONS**

**H.1. DISCLOSURE OF INFORMATION:**

a. The contractor shall not disclose any information under this contract, including the following, to any person unless (i) it is required for the performance of this contract or (ii) the individual is specifically authorized in writing by the Contracting Officer to receive the information.

(1) The contract schedule and technical data incorporated therein.

(2) Sponsorship of the contract.

(3) The Government's use, interest in, or application of the following:

(a) The contract schedule and technical data incorporated therein.

(b) Purchase description, specifications and drawings.

(c) Scientific studies, research, development and design service.

(d) Components, devices, equipments and systems.

(e) Techniques, methods and processes.

(f) Details and/or results of performance.

b. Disclosure by the contractor which require specific written authorization from the Contracting Officer include information, whether written or oral, to be revealed in open publications, technical conferences, symposia, meetings, periodicals, journals, brochures, advertising, films, photographs, fact-sheets, or other material prepared for open publication.

c. When prescribed by the Contracting Officer, the contractor agrees to insert in subcontracts and purchase order hereunder provisions which shall conform substantially to paragraphs a and b. Normally these provisions will not be required by the Contracting Officer in purchase orders for standard commercial items which have been sold or offered for sale to the public commercially by any supplier.

d. The provisions set forth in paragraphs a, b, and c shall not be construed to preclude the contractor from otherwise using, for its normal commercial purposes, information, techniques, processes, methods, developments, components, devices, equipments, systems, and proprietary data employed in the conduct of the work, except that which is specifically developed for or as a result of this contract, or which is precluded from release due to its classified nature. In so using the information as authorized by this paragraph the contractor (i) shall not disclose any information concerning the sponsorship of this contract, or (ii) the nature of the Government's interest in and application of the subject matter of this contract.

e. The contractor will submit to the Contracting Officer for clearance and release six (6) copies of the material at least four (4) weeks in advance of presentation or publication.

**H.2. GOVERNMENT FURNISHED DATA:** The Contractor shall request any data required for the conduct of this contract, in writing, from the Contracting Officer's Technical Representative (COTR). The COTR will maintain records of all such Government furnished data to insure accountability and return to the Government upon termination of the contract.

**H.3. SECURITY REQUIREMENTS:**

a. The Contractor shall maintain and administer a security program in accordance with DoD 5220.22-M Industrial Security Manual and DIAM 50-5. Copies of these documents are available for review in the office of the procuring Contracting Officer.

b. Loss or suspension of required security clearance, as set forth on the attached DD Form 254 (Contract Security Classification Specification) will result in inability to perform in accordance with the terms and conditions of the contract. As a result the contract is subject to default in accordance with the clause entitled "Default."

c. The Government reserves the right to direct any Contractor employee to be removed from performance, direct or indirect, whenever there is probable cause to believe, on the basis of all facts available, that such action is warranted in the interest of national security, whether or not the cause is deemed of sufficient severity to warrant action to terminate the Contractor's or individual's security clearance. The Government also reserves the right to direct any contractor employee to be removed from performance, direct or indirect, for the period of time necessary to conduct any investigation of alleged misconduct which may, in the opinion of the Contracting Officer, jeopardize the security of the project.

d. Military security requirements in the performance of this contract shall be maintained in accordance with the DD Form 254 contained in Section J. The highest classification involved in the performance of this contract is **Top Secret/Special Compartmented Intelligence (SCI)**. This contract document is unclassified.

e. The contractor will not use any electronic/electrical information processing equipment in the possession of the Contractor for the purpose of processing or transmitting classified information under this contract without the written permission of the Contracting Officer.

**H.4. CHANGE IN KEY PERSONNEL:** The Contractor shall notify the Contracting Officer prior to making any change in the personnel identified in the proposal as key personnel assigned to this contract. The Contractor must demonstrate that the qualifications of the prospective personnel are equal to or better than the qualifications of the personnel being replaced.

**H.5. USE OF HUMAN SUBJECTS:**

a. DEFINITIONS:

1. Human Subject: An individual about whom an investigator conducting research obtains data through interaction with the individual, including both physical procedures and manipulations of the subject or the subject's environment. The term does not include military or civilian personnel who are qualified to test by assignment to duties that call specifically for such qualifications such as test pilots and test engineers.

2. Non-U.S. Citizens: Foreign nationals, excluding, personnel on active duty.

3. Research: The term does not include individual or group training of military personnel such as combat readiness, effectiveness, proficiency, or fitness exercises.

b. GENERAL GUIDANCE:

1. The contractor shall conduct research using human subjects at all times so as to be in full compliance with all applicable laws, federal regulations and DoD instructions. Among them are:

a. 45 CFR 46 Health and Human Services (HHS) Regulation, "Protection of Human Subjects";

b. 10 U.S.C. Section 980, "Limitations on Use of Humans as Experimental Subjects";

c. DoD Directive 3216.2 (January 7, 1983) "Protection of Human Subjects in DoD-Supported Research";

2. Safeguarding the rights and welfare of subjects at risk in activities supported by this contract is the responsibility of the Contractor. Compliance with this contract will in no way render inapplicable pertinent federal, state, or local laws or regulations. In order to provide for the adequate discharge of this institutional responsibility, no activity involving human subjects under this contract shall be undertaken unless a Contractor Human Use Review Board (CRB) has reviewed and approved such activity.

3. The contractor must provide the Contracting Officer with a written assurance that it is in compliance with all provisions of 45 CFR 46 HHS Regulation, "Protection of Human Subjects", as amended.

4. The Contractor will assure the Contracting Officer that the identities of all subjects will be protected.

5. Informal consent must be obtained in writing from each human subject before research is undertaken.

6. The Contractor shall provide all necessary medical care to research subjects for injury or disease which is the proximate result of participation in the research.

7. Studies conducted outside the United States, its territories or possessions, shall be conducted in compliance with all laws, customs, and practices of the country in which the study is to be conducted.

c. REQUIREMENTS FOR REPORTING AND DOCUMENTATION:

1. Copies of all documents presented or required for initial and continuing review of the CRB, e.g., Board minutes pertaining only to the contract, record of subjects consent, transmittal on actions, instructions and conditions resulting from Board deliberations addressed to the activity director, are to be retained by the Contractor for at least three (3) years after completion of the research. All documents shall be accessible for inspection during normal working hours by the DIA OOTR or authorized representative.

2. Except as otherwise provided by law, information in the records or possession of the Contractor which refers to or can be identified with a particular subject may not be disclosed except:

a. With the consent of the subject or his legally authorized representative, or

b. As may be necessary for the DIA to carry out its legal responsibilities.

3. Upon expiration or termination of this contract, a list of all unused test material shall be provided to the DIA Contracting Officer.

4. The Contractor shall immediately notify the DIA Contracting Officer, by telephone, of inquiries from sources outside the Department of Defense concerning the use of human subjects under this contract.

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STATEMENT OF WORK

FOR

PHENOMENOLOGICAL RESEARCH AND ANALYSIS

1.0 (S/NF) **PURPOSE:** The purpose of this effort is to conduct research and perform select analyses of anomalous phenomena.

2.0 (U) **BACKGROUND:**

2.1 (C) Phenomenological research and analysis as intended by this contract refers to anomalous phenomena associated with human abilities. Investigations in this area have sometimes been referred to as Remote Viewing (RV), Extrasensory Perception (ESP), and recently Anomalous Cognition (AC) or Anomalous Phenomena (AP). Foreign researchers have also used the term psychoenergetics to describe aspects of these areas.

2.2 (S/NF) Over the past sixteen years DIA has followed the field of psychoenergetics for two basic reasons:



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2.4 (S/NF) In 1983 a report with project findings was sent to congressional intelligence and appropriation committees. The report affirmed the existence of remote viewing phenomenon and recommended that additional research for quantifying and developing remote viewing as an intelligence collection tool be pursued. This recommendation was endorsed by a special Science Panel that met to review the project findings. This panel also affirmed that the contractual research was scientifically sound and urged that basic and applied research in psychoenergetics be continued, its scope expanded, and that other laboratories be involved.

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2.5 (S/NF) The first opportunity to expand this research scope occurred in 1985. The Department of the Army's Surgeon General Office for Research and Development (DASGRD) initiated a five year research and development program. The SGRD program centered on two main aspects: basic anomalous phenomena understanding, and applications-oriented research. DIA established close ties with this R/D effort to ensure that applications research would be adequately pursued.

2.6 (S/NF) In 1987, it became apparent that SGRD funding might not be available to carry through to the end of the five year period. Consequently, additional funding was sought by DIA and acquired through funding transfer action by the House Appropriations Committee (HAC). This funding was provided to DIA; MIPR action to the SGRD contract was the most expeditious method of allocating this funding.

2.7 (S/NF) Results of this effort yielded several findings of breakthrough potential. Subsequently, follow-on research support was provided to DIA by Congressional action for FY91; DIA was identified as the executive agent due to long-standing knowledge and expertise in this area. Both R&D and O/M funds were allocated for continuing basic and applied research, for applied investigation and for assisting in assessment of relevant foreign research. Additional funds were also provided by congressional action in FY92 to continue this effort and to start new research.

3.0 (S/NF) **OBJECTIVE:** The objective of this contract is to initiate new basic and applied research in this area. It also includes exploratory work in order to identify new facets of the phenomena and to identify underlying parameters.

4.0 (S/NF) **SCOPE:** Basic research, applied research and threat assessments will be accomplished for this phenomenological area.

5.0 (S/NF) **TECHNICAL/OTHER CONSIDERATIONS:**

5.1 (S/NF) All research activities and findings resulting from previous programs in psychoenergetics shall be brought to bear on this effort. In addition, expertise in multidisciplinary areas throughout SAIC shall be made available as consultants or for special support as required.

5.2 (U) Specialized support, if not available at SAIC, can be obtained via SAIC consultant or subcontract efforts with prior approval by the COIR. Every effort should be made to insure such support is from the recognized experts in the field. Some of the specific tasks in section 6.0 can be performed in unclassified facilities.

5.3 (S/NF) This effort will utilize a technical review group (e.g., a Scientific Oversight Committee, a Human Use Review Panel and a Policy Board) that was established in the previous effort.

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5.4 (S/NF) Some of the experiments/investigations required to fulfill this contract may require participation of select government personnel. All such investigations will be fully planned and coordinated with the COIR and will be under the purviews of the SOC and the Human Use Review Committee.

5.5 (U) Should unforeseen schedule of other issues arise concerning any of the specific tasks identified in section 6.0, the COIR will be given immediate notice. If necessary, adjustments to priorities and schedules can be approved by the COIR if there is no cost or other impact on the over-all program. The COIR will also review/approve all anticipated research protocols.

5.6 (U) All items in section 6.0 will be funded from R&D funding provided by this contract, and shall be expended NLT 18 months after contract award. Accounting procedures to clearly identify the R&D expenditures shall be implemented by the contractor.

5.7 (U) Terms of reference and additional task details are on file by the COIR and will be provided to the contractor to insure adequate task understanding. This material is contained in DIA publication DT-S-1007-S, 29 NOV 1990.

6.0 (S/NF) **SPECIFIC TASKS:**

6.1 (U) Basic Research:

6.1.1 (U) Biophysical Measurements:

6.1.1.1 (U) Perform magnetoencephalograph (MEG) brain wave measurements for the purpose of isolating neurophysical parameters that correlate with anomalous cognition performance. Leading personnel candidates identified from previous work should be used, along with new unique population groups. Emphasis will be on sender/no sender conditions for phase shift parameters associated with remote light stimuli.

6.1.1.2 (U) Perform MEG measurements as identified in 6.1.1.1 to include other (e.g., audio) or combined remote stimuli.

6.1.1.3 (U) Perform MEG measurements as identified in 6.1.1.2 to include variations to the nature (e.g., pattern, intensity) of the remote stimuli.

6.1.1.4 (U) Perform MEG measurements as identified in 6.1.1.1 to determine if MEG results are influenced by changes to physical parameters (such as shielding, distance) of the remote stimuli. This effort may require the assistance of another cooperative laboratory. Additional instrumentation would also be required to resolve experimental timing issues.

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6.1.1.5 (U) Based on MEG measurements as defined by base line data developed in 6.1.1.1, determine the effect of moving MEG instrumentation locations to different areas of the brain. This effort could help better isolate precise areas of the brain associated with anomalous cognition functioning.

6.1.1.6 (U) Perform counterpart electroencephalograph (EEG) measurements for determining MEG/EEG correlations in general, and for select variables examined in 6.1.1.1 thru 6.1.1.4.

6.1.1.7 (U) Initiate new experiments that involve other sensitive biophysical sensors (such as skin resistance) to search for possible phenomena correlates.

6.1.2 (U) Data Patterns/Parameter Correlations:

6.1.2.1 (U) Perform anomalous cognition experiments in conjunction with computer virtual reality (VR) devices to determine if data quality can be enhanced.

6.1.2.2 (U) Perform anomalous cognition experiments to determine if various subliminal stimuli and/or lowered subliminal thresholds can improve data quality.

6.1.2.3 (U) Perform anomalous cognition experiments with high-performing individuals for beacon/no beacon person conditions at long distances to statistically quantify effects of distance on performance. Several unique target categories or target material should be included to facilitate data pattern recognition.

6.1.2.4 (U) Explore other potential variables (e.g., personality types, training/internal strategy) that could effect anomalous phenomena performance. This activity should include a detailed examination of the "verbalizer" vs. the "imager" to determine the effect of verbal or visual dominated targets/tasks.

6.1.3 (U) Theoretical Issues:

6.1.3.1 (U) Perform anomalous phenomena (energetics, informational) experiments with or in the presence of highly sensitive/advanced instrumentation (e.g., unusual wave devices, gravity sensors) to assist in mechanism identification.

6.1.3.2 (U) Perform experiments similar to 6.1.3.1 but to include potential high-talent individuals (e.g., martial arts experts)

6.1.3.3 (U) If results in 6.1.3.2 show promise, expand experiments to include parameter variations such as distance, shielding, and time (e.g., precognition).

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6.1.3.4 (U) Depending on above results, initiate development of unified physical constructs that could help explain the phenomena.

6.1.3.5 (U) Based on 6.1.3.4, identify potential new experiments that could help further resolve underlying mechanisms and possible limitations.

6.1.3.6 (U) Initiate work on exploratory constructs that integrate latest neuroscience findings with anomalous phenomena patterns and cognitive style. Where possible, relate these findings to various target types and characteristics (such as information entropy).

6.2 (U) Applied Research:

6.2.1 (S/NF) Based on the results from the basic research in the SOW, develop suitable anomalous cognition/anomalous perturbation experiments that identify possible application ranges or limits.

6.2.2 (S/NF) Develop new data and target analysis techniques (such as modified artificial intelligence methods) that facilitate data evaluation and accuracy/reliability prediction. Other methods, such as those involving fractal image conversion, should also be examined.

6.2.3 (S/NF) Participate in various sponsor-generated application projects to better evaluate potential application issues.

6.3 (U) Research Methodology and Support:

6.3.1 (U) Provide appropriate research methodology support to include reviews/approvals by the established Scientific Oversight Committee, the Policy Board and the Human Use Review Panel.

6.3.2 (U) Provide appropriate management support and appropriate project research support activity to include document preparation, administration, and all project associated travel for contractor personnel, consultants, and other experts.

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7.0 (U) **QUICK REACTION CAPABILITY (QRC):** The contractor will maintain a quick response capability and be prepared to respond in less than 24 hours to problems or unexpected demands for brief technical papers that may be developed during the term of this project.

8.0 (U) **ALLOCATION OF EFFORT:** The level of effort to be applied to each basic task category is shown in the following paragraphs.

8.1 (U) **R & D:**

<u>Basic Task No.</u>	<u>Allocation of Effort/ % Funding (R&amp;D)</u>
6.1	40
6.2	40
6.3	20

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CONTROL NO. \_\_\_\_\_

JUSTIFICATION AND APPROVAL  
FOR OTHER THAN FULL AND OPEN COMPETITION

1. (U) Contracting Authority: Virginia Contracting Activity, P. O. Box 46563, Washington, DC 20050-6563.
2. (U) Authority Cited: 10 U.S.C. 2304(c)(1); FAR 6.302-1, "Only one Responsible Source and no other supplies or services will satisfy Agency requirements."
3. (U) Description of Supplies/Services: The Defense Intelligence Agency (DIA) proposes to procure Phenomenological Research and Analysis Services for a DIA special activity program. External assistance funds available for this Congressional Directed Program total \$1,850,000.00. This purchase request (PR No. 330/025Z/92) provides \$1,450,000.00 of this total amount for a new contract to satisfy new requirements. The remaining R&D funding will be for modification to the existing contract (MDA 908-91-C-0037).
4. (U) Description of Action: It is anticipated that a cost-plus-fixed fee contract will be negotiated and awarded to satisfy this requirement. Funds are R&D as cited on Purchase Request No. 330/026Z/92.
5. (U) Reason for Authority Cited:

a. (S/NF) This action is based on the need to utilize previous research achievements developed under other DOD-sponsored R&D efforts. The bulk of this prior R&D effort was provided by the Department of the Army's Surgeon General Office (SGRD) in 1985 and spanned several years. This basic and applied research effort involved Dr. Edwin May as the principle researcher and program manager. Dr. May was at that time with the Stanford Research Institute (SRI), Menlo Park, CA. He has since joined the Science Applications International Corporation (SAIC) and has taken his extensive data base and key research assistants with him. Dr. May is regarded as the leading U.S. investigator in this phenomenological area. He has been a program manager in this area since 1986, and has a long involvement (over 20 years) in this field. His extensive work history provides for continuity not available from any other individual. He is recognized by investigators world-wide, and can easily remain current with relevant foreign research.

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b. (S/NF) This contractor is also uniquely qualified to perform such research due to their extensive background in interdisciplinary research areas that spans over 20 years. This multidisciplinary staff will be available for consultation as the need arises. In addition to Dr. May, the contractor also has on its staff another physicist who has extensive experience in phenomenological research involving psychoenergetics investigations. Dr. Angelo has over 10 years of involvement in this field in a project management capacity and at applications research levels.

c. (U) Due to this background of expertise and unique qualifications, and due to additional knowledge generated thus far under the existing DIA phenomenological research contract (MDA 908-91-C-0037), the new research requirements in this area can only be satisfied by the Science Applications International Corporation (SAIC). By doing so, duplication of effort can be avoided and maximum research efficiency can be achieved.

d. (S/NF) The contractor also has an extensive classified data base which has co-mingled with both government and proprietary data that is essential for this contract. It would require several years for a new contractor to achieve the present level of expertise and competence possessed by Dr. May and Dr. Angelo. This would create a serious delay in pursuit of appropriate research, in achievement of application-oriented results and in understanding potential threat posed by similar foreign activities in this area.

6. (U) Efforts to Obtain Competition: Due to the uniqueness of this research area, there are no other qualified research facilities and personnel available to satisfy this contract. To bring other potential organizations up to speed would not be possible without unacceptable delays or additional costs.

7. (U) Action to Increase Competition: Every effort will be made to release research results as widely as possible to attract other potential researchers. Not only will this be accomplished through specialized (and properly classified) channels, but also appropriate portion will be released at unclassified levels to attract interest in academic facilities.

8. (U) Market Survey: Based on the significant advantage that SAIC possesses in this area, a Market Survey would not serve a useful purpose at this time.

9. (U) Interested Sources: No other source has expressed an interest in this requirement.

10. (U) Other Factors:

a. (S) Acquisition data availability: Data from previous government-sponsored research is available in DIA. Since this was part of a controlled access project, every effort will be made to downgrade, and where practical, declassify basic aspects of the research. This will, in time, generate a wider interest in this field.

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b. (U) Subcontracting Competition: The same basic reasons for this other than full and open competition for SAIC also apply to subcontractor selection. There are only a few individuals/facilities available that have any established expertise for supporting a portion of this unique research area. It would not be timely or cost advantageous to the government for the Contractor to pursue competitive action for the highly specialized support that may be required.

11. (U) Technical Certification:

I hereby certify that the supporting data under my cognizance which are included in the J&A are accurate and complete to the best of my knowledge and belief.

Name: \_\_\_\_\_ Date: \_\_\_\_\_

Title: \_\_\_\_\_ Signature: \_\_\_\_\_

12. (U) Requirements Certification:

I hereby certify that the supporting data under my cognizance which are included in the J&A are accurate and complete to the best of my knowledge and belief.

Name: \_\_\_\_\_ Date: \_\_\_\_\_

Title: \_\_\_\_\_ Signature: \_\_\_\_\_

13. (U) Fair and Reasonableness Cost/Price Determination: A detailed technical and cost proposal will be required from the contractor, SAIC. Prior to award, the Government will conduct an in-depth analysis of all technical and cost aspects of this requirement. Based on the outcome, a fair and reasonable cost will be negotiated. Therefore, I hereby determine that the anticipated cost for this contract action will be fair and reasonable.

14. (U) Contracting Officer Certification:

In accordance with FAR 6.303-2 (a) (12), I hereby certify that the facts and representation under my cognizance, which are included in this J&A, are accurate and complete to the best of my knowledge and belief.

Date: \_\_\_\_\_

\_\_\_\_\_  
NAME:  
Contracting Officer

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APPROVAL

Based on the foregoing justification, I hereby approve the procurement of PHENOMENOLOGICAL RESEARCH AND ANALYSIS on an other than full and open competition basis pursuant to the authority of 10 U.S.C. 2304(c), subject to availability of funds, and provided that the services and/or property herein described have otherwise been authorized for acquisition.

Date: \_\_\_\_\_

Signature: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

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**SERVICES QUESTIONNAIRE**

**Requirement Document No. 330/026Z/92**

**TITLE: External Analysis and Development**

The following questions are to be answered by the individual initiating the procurement request for contractor support services, and will be used by the CO in determining whether the services are nonpersonal.

**1. THE NATURE OF THE WORK**

(A) To what extent can the Government obtain civil servants to do the job?

Agency and other government personnel are not available with the requisite skills and analytical background.

(B) Does the proposed contractor have specialized knowledge or equipment which is unavailable in the Government? If the answer is in the affirmative, describe the specialized knowledge or equipment.

The Contractor has unique knowledge of this phenomenological area. The contractor has employees that are recognized leaders in this field of analysis. They have a classified data base which has co-mingled both government and proprietary data that is absolutely essential for the successful completion of this contract.

(C) To what extent do the proposed services represent the discharge of a Governmental function which calls for the exercise of personal judgment and discretion on behalf of the Government?

Not at all.

(D) To what extent is the requirement for services to be performed under the proposed contract continuing rather than short-term or intermittent?

This requirement is expected to be a continuing task.

**2. CONTRACTUAL PROVISIONS CONCERNING THE CONTRACTOR'S EMPLOYEES**

(A) To what extent does the Government specify the qualifications of or reserve the right to approve, individual contractor employees?

Security and possible specification of contractor expertise.

(B) To what extent does the Government reserve the right to assign tasks to and prepare work schedules for the contractor employees during the performance of the contract?

Not at all.

(C) To what extent does the Government retain the right (whether actually exercised or not) to supervise the work of the contractor employees, either directly or indirectly?

Not at all.

(D) To what extent does the Government reserve the right to supervise or control the method in which the contractor performs the service, the number of people he will employ, the specific duties of individual employees, and similar details?

Not at all.

(E) To what extent will the Government review performance by each individual contractor employee, as opposed to reviewing a final product on an overall basis after completion of the work?

Not at all.

(F) To what extent does the Government retain the right to have contractor employees removed from the job for reasons other than misconduct or security?

Not at all.

### 3. OTHER PROVISIONS OF THE CONTRACT

(A) Are the services properly defined as an end product?

Yes.

(B) Is the contractor undertaking a specific task or project that is definable either at the inception of the contract or at some point during the performance, or is the work defined on a day to day basis?

The contractor is undertaking a specific project which is defined at the inception of the contract in the Statement of Work.

(C) Will payment be for results accomplished or solely according to time worked?

Payment will be for results accomplished.

(D) To what extent will the Government furnish the office or working space, facilities, equipment, and supplies necessary for contract performance?

None required.

**4. ADMINISTRATION OF THE CONTRACT**

(A) To what extent are contractor employees used interchangeably with Government personnel to perform the same functions?

Not at all.

(B) To what extent are the contractor employees integrated into the Government's organizational structure?

Not at all.

(C) To what extent are any of the elements in (2) and (3) above present in the administration of the contract, regardless of whether they are provided for by the terms of the contract?

Not at all.

**5. OTHER CONSIDERATIONS**

(A) Is the requirement the result of an unsolicited proposal? If so, describe.

No.

(B) If the procurement is sole source, why is it sole source?

The proposed contractor has unique personnel and proprietary approaches to this area as discussed in 1(B).

(C) What is the potential for follow-on procurement?

There is some potential for follow-on procurement depending on the nature and extent of achievements in the subject area. The current state of this field of study is judged to be on the threshold of breakthroughs which would radically change the nature of this requirement.

(D) If it is significant, will the Government become irrevocably committed to sole source procurement?

Not likely. If anticipated developments materialize this field of study could become much more popular with a greater number of contractors and academic institutions.

SG1J

Prepared by: [REDACTED]

Code: ODT-S

Date: 10 February 1992

Pursuant to the FAR and on the basis of information contained above and a review of the referenced acquisition request, it is my determination that the services to be procured are nonpersonal. (Reference parenthetical weights on various factors in the FAR.)

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Procuring Contracting Officer

I concur, and believe that the Contracting Officer's judgment may reasonably be made under the FAR.

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Counsel

**STATEMENT**

**RESEARCH OF PLANNED, ON-GOING,  
AND COMPLETED STUDIES**

Research by my staff indicates, to the best of our knowledge, that there is no other known similar or collateral study or analysis, planned, in progress, or completed which fulfills the objectives or specific intent of this proposed contract study: Phenomenological Research and Analysis (Purchase Request # 330/026Z/92).

PREPARED BY:

Date: \_\_\_\_\_



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CERTIFIED AS ACCURATE  
AND COMPLETE:

Date: \_\_\_\_\_

JOHN T. BERBRICH  
Director  
Office for Scientific and  
Technical Intelligence

Enclosure 6

**SG1D**

**Approved For Release 2000/08/08 : CIA-RDP96-00789R003000460008-1**

**Approved For Release 2000/08/08 : CIA-RDP96-00789R003000460008-1**

<b>DEPARTMENT OF DEFENSE</b> <b>CONTRACT SECURITY CLASSIFICATION SPECIFICATION</b> <i>(The requirements of the DoD Industrial Security Manual apply to all security aspects of this effort.)</i>		<b>1. CLEARANCE AND SAFEGUARDING</b> a. FACILITY CLEARANCE REQUIRED <b>TOP SECRET</b> b. LEVEL OF SAFEGUARDING REQUIRED <b>SECRET</b>			
<b>2. THIS SPECIFICATION IS FOR: (X and complete as applicable)</b> a. PRIME CONTRACT NUMBER b. SUBCONTRACT NUMBER c. SOLICITATION OR OTHER NUMBER Due Date (YYMMDD)		<b>3. THIS SPECIFICATION IS: (X and complete as applicable)</b> a. ORIGINAL (Complete date in all cases) Date (YYMMDD) b. REVISED (Supersedes all previous specs) Revision No. Date (YYMMDD) c. FINAL (Complete Item 5 in all cases) Date (YYMMDD)			
<b>4. IS THIS A FOLLOW-ON CONTRACT?</b> YES <input type="checkbox"/> NO <input checked="" type="checkbox"/> NO. If Yes, complete the following: Classified material received or generated under _____ (preceding Contract Number) is transferred to this follow-on contract.					
<b>5. IS THIS A FINAL DD FORM 254?</b> YES <input type="checkbox"/> NO <input checked="" type="checkbox"/> NO. If Yes, complete the following: In response to the contractor's request dated _____ retention of the identified classified material is authorized for the period of _____.					
<b>6. CONTRACTOR (Include Commercial and Government Entity (CAGE) Code)</b> a. NAME, ADDRESS, AND ZIP CODE SAIC 1010 El Camino Real Suite 330 Menlo Park, CA 94025				b. CAGE CODE ORFC9	c. COGNIZANT SECURITY OFFICE (Name, Address, and Zip Code) DIS Presidio of San Francisco Bldg 35, Rm 14 San Francisco, CA 94129-7700
<b>7. SUBCONTRACTOR</b> a. NAME, ADDRESS, AND ZIP CODE b. CAGE CODE c. COGNIZANT SECURITY OFFICE (Name, Address, and Zip Code)					
<b>8. ACTUAL PERFORMANCE</b> a. LOCATION same as 6.a. b. CAGE CODE c. COGNIZANT SECURITY OFFICE (Name, Address, and Zip Code)					
<b>9. GENERAL IDENTIFICATION OF THIS PROCUREMENT</b> R&D Contract: Research and Analysis					
<b>10. THIS CONTRACT WILL REQUIRE ACCESS TO:</b>		<b>11. IN PERFORMING THIS CONTRACT, THE CONTRACTOR WILL:</b>			
a. COMMUNICATIONS SECURITY (COMSEC) INFORMATION	YES	NO	a. HAVE ACCESS TO CLASSIFIED INFORMATION ONLY AT ANOTHER CONTRACTOR'S FACILITY OR A GOVERNMENT ACTIVITY	YES	NO
b. RESTRICTED DATA		X	b. RECEIVE CLASSIFIED DOCUMENTS ONLY		X
c. CRITICAL NUCLEAR WEAPON DESIGN INFORMATION		X	c. RECEIVE AND GENERATE CLASSIFIED MATERIAL	X	
d. FORMERLY RESTRICTED DATA		X	d. FABRICATE, MODIFY, OR STORE CLASSIFIED HARDWARE		X
e. INTELLIGENCE INFORMATION:			e. PERFORM SERVICES ONLY	X	
(1) Sensitive Compartmented Information (SCI)	X		f. HAVE ACCESS TO U.S. CLASSIFIED INFORMATION OUTSIDE THE U.S., PUERTO RICO, U.S. POSSESSIONS AND TRUST TERRITORIES		X
(2) Non-SCI	X		g. BE AUTHORIZED TO USE THE SERVICES OF DEFENSE TECHNICAL INFORMATION CENTER (DTIC) OR OTHER SECONDARY DISTRIBUTION CENTER	X	
f. SPECIAL ACCESS INFORMATION		X	h. REQUIRE A COMSEC ACCOUNT	X	
g. NATO INFORMATION		X	i. HAVE TEMPEST REQUIREMENTS		X
h. FOREIGN GOVERNMENT INFORMATION		X	j. HAVE OPERATIONS SECURITY (OPSEC) REQUIREMENTS		X
i. LIMITED DISSEMINATION INFORMATION	X		k. BE AUTHORIZED TO USE THE DEFENSE COURIER SERVICE	X	
j. FOR OFFICIAL USE ONLY INFORMATION	X		l. OTHER (Specify)		
k. OTHER (Specify)			DoD 5200.17(M-2); DIAM 50-3; DIAM 50-5 apply		

53.303-DD-254

FEDERAL ACQUISITION REGULATION (FAR)

**12. PUBLIC RELEASE.** Any information (classified or unclassified) pertaining to this contract shall not be released for public dissemination except as provided by the Industrial Security Manual or unless it has been approved for public release by appropriate U.S. Government authority. Proposed public releases shall be submitted for approval prior to release.

Direct  Through (Specify):

No public exhibition of SCI authorized.

to the Directorate for Freedom of Information and Security Review, Office of the Assistant Secretary of Defense (Public Affairs)\* for review.  
 \* In the case of non-DoD User Agencies, requests for disclosure shall be submitted to that agency.

**13. SECURITY GUIDANCE.** The security classification guidance needed for this classified effort is identified below. If any difficulty is encountered in applying this guidance or if any other contributing factor indicates a need for changes in this guidance, the contractor is authorized and encouraged to provide recommended changes; to challenge the guidance or the classification assigned to any information or material furnished or generated under this contract; and to submit any questions for interpretation of this guidance to the official identified below. Pending final decision, the information involved shall be handled and protected at the highest level of classification assigned or recommended. (If in as appropriate for the classified effort. Attach, or forward under separate correspondence, any documents/guides/extracts referenced herein. Add additional pages as needed to provide complete guidance.)

a. This contract requires access to SCI. The Director, Defense Intelligence Agency has exclusive security responsibility for such information released to the contractor or developed under this contract. DIAM 50-5, Volumes I and II provide the necessary guidance for physical, personnel and information security measures and is a part of the security specifications for this contract. DCASR is relieved of all responsibility for all SCI material for information released to the contractor under this contract. Access to SCI limited to US govt SCIF.

b. All contractor personnel to be granted access to all classified information released or generated under this contract must be U.S. citizens granted a final security clearance by the Government prior to being given access. Immigrant aliens, interim cleared personnel or personnel holding a contractor granted CONFIDENTIAL clearance are not eligible for access to classified information released or generated under this contract without the express permission of the Director, DIA.

c. Classified material released or generated under this contract is not releasable to Foreign National without the express permission of the Director, DIA.

(continued)

**14. ADDITIONAL SECURITY REQUIREMENTS.** Requirements, in addition to ISM requirements, are established for this contract. (If Yes, identify the pertinent contractual clauses in the contract document itself, or provide an appropriate statement which identifies the additional requirements. Provide a copy of the requirements to the cognizant security office. Use Item 13 if additional space is needed.)

Yes  No

DIA document ODT-S-1040-S: "Contractor Security Procedures Guide," for contract MDA908-91-C-0037.

**15. INSPECTIONS.** Elements of this contract are outside the inspection responsibility of the cognizant security office. (If Yes, explain and identify specific areas or elements carved out and the activity responsible for inspections. Use Item 13 if additional space is needed.)

Yes  No

DIA has exclusive security responsibility for any SCI classified information developed or related to this contract.

**16. CERTIFICATION AND SIGNATURE.** Security requirements stated herein are complete and adequate for safeguarding the classified information to be released or generated under this classified effort. All questions shall be referred to the official named below.

	b. TITLE COL USA, DIRECTOR, OFC OF SCTY AND CI	c. TELEPHONE (Include Area Code) 
--	---	--------------------------------------

d. ADDRESS (Include Zip Code)  
Defense Intelligence Agency  
Washington, D.C. 20340-0001

e. SIGNATURE  
SG1J

**17. REQUIRED DISTRIBUTION**

<input checked="" type="checkbox"/>	a. CONTRACTOR
<input type="checkbox"/>	b. SUBCONTRACTOR
<input checked="" type="checkbox"/>	c. COGNIZANT SECURITY OFFICE FOR PRIME AND SUBCONTRACTOR
<input type="checkbox"/>	d. U.S. ACTIVITY RESPONSIBLE FOR OVERSEAS SECURITY ADMINISTRATION
<input checked="" type="checkbox"/>	e. ADMINISTRATIVE CONTRACTING OFFICER
<input type="checkbox"/>	f. OTHERS AS NECESSARY

SG1A

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Public reporting burden for this collection of information is estimated to average 440 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503. Please DO NOT RETURN your form to either of these addresses. Send completed form to the Government Issuing Contracting Officer for the Contract/PR No. listed in Block E.

A. CONTRACT LINE ITEM NO. 0002		B. EXHIBIT		C. CATEGORY: TDP _____ TM _____ OTHER <u>X</u>			
D. SYSTEM/ITEM Phenomenological Research and Analysis			E. CONTRACT/PR NO. 330/0267/92		F. CONTRACTOR SAIC		
1. DATA ITEM NO. A001	2. TITLE OF DATA ITEM Contractor's progress, status and management report			3. SUBTITLE Periodic Status Reports			
4. AUTHORITY (Data Acquisition Document No.) DI-MGMT-80227			5. CONTRACT REFERENCE (S.O.W.) C.6.1, C.6.2, C.6.3, C.6.4		6. REQUIRING OFFICE DIA/ODT-S		
7. DD 250 REQ	9. DIST STATEMENT REQUIRED	10. FREQUENCY BI-MO	12. DATE OF FIRST SUBMISSION 5 DARC		14. DISTRIBUTION		
8. APP CODE A		11. AS OF DATE 0	13. DATE OF SUBSEQUENT SUBMISSION		a. ADDRESSEE		
16. REMARKS Ref Block 12: Contractor will provide draft report 5 DARP. Draft will be returned within 7 working days.					b. COPIES		
					Draft	Final Reg Repro	
15. TOTAL →					1	2	
1. DATA ITEM NO. A002		2. TITLE OF DATA ITEM Technical Report-Study/Services		3. SUBTITLE Interim Technical Reports			
4. AUTHORITY (Data Acquisition Document No.) DI-MISC-80508			5. CONTRACT REFERENCE (S.O.W.) C.6.1, C.6.2, C.6.3, C.6.4		6. REQUIRING OFFICE DIA/ODT-S		
7. DD 250 REQ	9. DIST STATEMENT REQUIRED	10. FREQUENCY 4TIME	12. DATE OF FIRST SUBMISSION 5 DARC		14. DISTRIBUTION		
8. APP CODE		11. AS OF DATE 0	13. DATE OF SUBSEQUENT SUBMISSION		a. ADDRESSEE		
16. REMARKS Ref Block 12: Contractor will provide draft report 5 DARP. Draft will be returned within 7 working days.					b. COPIES		
					Draft	Final Reg Repro	
15. TOTAL →					2	2	
1. DATA ITEM NO. A003		2. TITLE OF DATA ITEM		3. SUBTITLE Final Technical Report			
4. AUTHORITY (Data Acquisition Document No.) DI-MISC-80508			5. CONTRACT REFERENCE (S.O.W.) C.6.1, C.6.2, C.6.3, C.6.4		6. REQUIRING OFFICE DIA/ODT-S		
7. DD 250 REQ	9. DIST STATEMENT REQUIRED	10. FREQUENCY ONE/R	12. DATE OF FIRST SUBMISSION See block 16		14. DISTRIBUTION		
8. APP CODE A		11. AS OF DATE 0	13. DATE OF SUBSEQUENT SUBMISSION		a. ADDRESSEE		
16. REMARKS Ref Block 12: Contents of this report will encompass A002 plus any remaining contract period. Contractor will provide draft report 5 DARP. Draft will be returned within 7 working days.					b. COPIES		
					Draft	Final Reg Repro	
15. TOTAL →					2	6	
1. DATA ITEM NO.		2. TITLE OF DATA ITEM		3. SUBTITLE			
4. AUTHORITY (Data Acquisition Document No.)			5. CONTRACT REFERENCE		6. REQUIRING OFFICE		
7. DD 250 REQ	9. DIST STATEMENT REQUIRED	10. FREQUENCY	12. DATE OF FIRST SUBMISSION		14. DISTRIBUTION		
8. APP CODE		11. AS OF DATE	13. DATE OF SUBSEQUENT SUBMISSION		a. ADDRESSEE		
16. REMARKS SG1J					b. COPIES		
					Draft	Final Reg Repro	
15. TOTAL →							
G. PREPARED BY			H. DATE 10 FEB 92	I. APPROVED BY DMO		J. DATE	

17. PRICE GROUP
18. ESTIMATED TOTAL PRICE

17. PRICE GROUP
18. ESTIMATED TOTAL PRICE

17. PRICE GROUP
18. ESTIMATED TOTAL PRICE

17. PRICE GROUP
18. ESTIMATED TOTAL PRICE

**FOR GOVERNMENT PERSONNEL**

- Item A. Self-explanatory.
- Item B. Self-explanatory.
- Item C. Mark (X) appropriate category: TDP - Technical Data Package; TM - Technical Manual; Other - other category of data, such as "Provisioning," "Configuration Management", etc.
- Item D. Enter name of system/item being acquired that data will support.
- Item E. Self-explanatory (to be filled in after contract award).
- Item F. Self-explanatory (to be filled in after contract award).
- Item G. Signature of preparer of CDRL.
- Item H. Date CDRL was prepared.
- Item I. Signature of CDRL approval authority.
- Item J. Date CDRL was approved.
- Item 1. See DoD FAR Supplement Subpart 4.71 for proper numbering.
- Item 2. Enter title as it appears on data acquisition document cited in Item 4.
- Item 3. Enter subtitle of data item for further definition of data item (optional entry).
- Item 4. Enter Data Item Description (DID) number, military specification number, or military standard number listed in DoD 5010.12-L (AMSDL), or one-time DID number, that defines data content and format requirements.
- Item 5. Enter reference to tasking in contract that generates requirement for the data item (e.g., Statement of Work paragraph number).
- Item 6. Enter technical office responsible for ensuring adequacy of the data item.
- Item 7. Specify requirement for inspection/acceptance of the data item by the Government.
- Item 8. Specify requirement for approval of a draft before preparation of the final data item.
- Item 9. For technical data, specify requirement for contractor to mark the appropriate distribution statement on the data (ref. DoDD 5230.24).
- Item 10. Specify number of times data items are to be delivered.
- Item 11. Specify as-of date of data item, when applicable.
- Item 12. Specify when first submittal is required.
- Item 13. Specify when subsequent submittals are required, when applicable.
- Item 14. Enter addressees and number of draft/final copies to be delivered to each addressee. Explain reproducible copies in Item 16.
- Item 15. Enter total number of draft/final copies to be delivered.
- Item 16. Use for additional/clarifying information for Items 1 through 15. Examples are: Tailoring of documents cited in Item 4; Clarification of submittal dates in Items 12 and 13; Explanation of reproducible copies in Item 14.; Desired medium for delivery of the data item.

**FOR THE CONTRACTOR**

Item 17. Specify appropriate price group from one of the following groups of effort in developing estimated prices for each data item listed on the DD Form 1423.

a. Group I. Definition - Data which is not otherwise essential to the contractor's performance of the primary contracted effort (production, development, testing, and administration) but which is required by DD Form 1423.

Estimated Price - Costs to be included under Group I are those applicable to preparing and assembling the data item in conformance with Government requirements, and the administration and other expenses related to reproducing and delivering such data items to the Government.

b. Group II. Definition - Data which is essential to the performance of the primary contracted effort but the contractor is required to perform additional work to conform to Government requirements with regard to depth of content, format, frequency of submittal, preparation, control, or quality of the data item.

Estimated Price - Costs to be included under Group II are those incurred over and above the cost of the essential data item without conforming to Government requirements, and the administrative and other expenses related to reproducing and delivering such data item to the Government.

c. Group III. Definition - Data which the contractor must develop for his internal use in performance of the primary contracted effort and does not require any substantial change to conform to Government requirements with regard to depth of content, format, frequency of submittal, preparation, control, and quality of the data item.

Estimated Price - Costs to be included under Group III are the administrative and other expenses related to reproducing and delivering such data item to the Government.

d. Group IV. Definition - Data which is developed by the contractor as part of his normal operating procedures and his effort in supplying these data to the Government is minimal.

Estimated Price - Group IV items should normally be shown on the DD Form 1423 at no cost.

Item 18. For each data item, enter an amount equal to that portion of the total price which is estimated to be attributable to the production or development for the Government of that item of data. These estimated data prices shall be developed only from those costs which will be incurred as a direct result of the requirement to supply the data, over and above those costs which would otherwise be incurred in performance of the contract if no data were required. The estimated data prices shall not include any amount for rights in data. The Government's right to use the data shall be governed by the pertinent provisions of the contract.

**DATA ITEM DESCRIPTION**

Form Approved  
OMB No. 0704-0188  
Exp. Date: Jun 30, 1986

1. TITLE Contractor's Progress, Status and Management Report		2. IDENTIFICATION NUMBER DI-MGMT-80227	
3. DESCRIPTION/PURPOSE 3.1 The Contractor's Progress, Status and Management Report indicates the progress of work and the status of the program and of the assigned tasks, reports costs, and informs of existing or potential problem areas.			
4. APPROVAL DATE (YYMMDD) 860905	5. OFFICE OF PRIMARY RESPONSIBILITY (OPR) N/SPAWAR	6a. DTIC REQUIRED	6b. GIDEP REQUIRED
7. APPLICATION/INTERRELATIONSHIP 7.1 This Data Item Description (DID) contains the format and content preparation instructions for the data product generated by the specific and discrete task requirement for this data included in the contract. 7.2 This DID may be applied in any contract and during any program phase. 7.3 This DID supersedes DI-A-2090A, DI-A-3025A, UDI-A-22050B, UDI-A-22052A, UDI-A-23960, DI-A-30024, and DI-A-30606. (cont. on page 2)			
8. APPROVAL LIMITATION	9a. APPLICABLE FORMS	9b. AMSC NUMBER N3947	
10. PREPARATION INSTRUCTIONS 10.1 <u>Contract</u> - This data item is generated by the contract which contains a specific and discrete work task to develop this data product. 10.2 <u>Format</u> - This report shall be typewritten on standard size (e.g. 8 1/2" by 11") white paper, and securely stapled. Pages shall be sequentially numbered. All attachments shall be identified and referenced in the text of the report. The report shall be prepared in the contractor's format and shall be legible and suitable for reproduction. 10.3 <u>Content</u> - The report shall include: a. A front cover sheet which includes the contractor's name and address, the contract number, the nomenclature of the system or program, the date of the report, the period covered by the report, the title of the report, either the serial number of the report or the Contract Data Requirements List (CDRL) sequence number, the security classification, and the name of the issuing Government activity; b. Description of the progress made against milestones during the reporting period; c. Results, positive or negative, obtained related to previously-identified problem areas, with conclusions and recommendations; d. Any significant changes to the contractor's organization or method of operation, to the project management network, or to the milestone chart; e. Problem areas affecting technical or scheduling elements, with background and any recommendations for solutions beyond the scope of the contract; f. Problem areas affecting cost elements, with background and any recommendations for solutions beyond the scope of the contract; g. Cost curves showing actual and projected conditions throughout the contract; h. Any cost incurred for the reporting period and total contractual expenditures as of reporting date; i. Person-hours expended for the reporting period and cumulatively for the contract; j. Any trips and significant results; (cont. on page 2)			

DI-MGMT- 80227

7. APPLICATION/INTERRELATIONSHIP (Cont'd)

7.4 Paragraphs 10.3.f, 10.3.g, and 10.3.h herein should be tailored on DD Form 1423 when such cost data is already submitted through a sophisticated cost reporting system under the contract.

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10. PREPARATION INSTRUCTIONS (Cont'd)

- k. Record of all significant telephone calls and any commitments made by telephone;
- l. Summary of Engineering Change Proposal (ECP) status, including identification of proposed ECPs, approved ECPs, and implemented ECPs;
- m. Contract schedule status;
- n. Plans for activities during the following reporting period;
- o. Name and telephone number of preparer of the report;
- p. Appendixes for any necessary tables, references, photographs, illustrations, and charts.

\*U.S. GOVERNMENT PRINTING OFFICE: 1986-704-037/50176

9-2

2. TITLE Technical Report - Study/Services	1. IDENTIFICATION NUMBER DI-MISC-80508
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3. DESCRIPTION/PURPOSE

3.1 A technical report provides fully documented results of studies or analyses performed.

4. APPROVAL DATE (YYMMDD) 880115	5. OFFICE OF PRIMARY RESPONSIBILITY (OPR) G/T2137	6a. DTIC APPLICABLE X	6b. GIDEP APPLICABLE
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7. APPLICATION/INTERRELATIONSHIP

7.1 This data item description contains the format and content preparation instructions for the data product generated by the specific and discrete task requirement as delineated in the contract.

7.2 This DID supersedes DI-A-5029.

7.3 Defense Technical Information Center (DTIC), Cameron Station, Alexandria, VA 22314.

8. APPROVAL LIMITATION	9a. APPLICABLE FORMS	9b. AMSC NUMBER G4291
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10. PREPARATION INSTRUCTIONS

10.1 Format.

(a) The report and all attachments shall be typewritten, or otherwise clearly lettered, and shall be duplicated using non-fading ink.

(b) Text shall be prepared on standard letter size paper (8 1/2" X 11").

(c) When attachments are included, they shall be fully identified, referenced in the text, and folded to conform to the size paper used in the report.

(d) Security classification and distribution markings shall conform to the requirements of the contract, purchase description and security requirements checklist, as applicable.

10.2 Content.

(a) Title Page - Identifies the report by providing contract number, project name or purchase description title, task number, and reporting period.

(continued on page 2)

11. DISTRIBUTION STATEMENT

DISTRIBUTION STATEMENT A: Approved for public release; distribution is unlimited.

DI-MGMT-80508

Block 10. Preparation Instructions (Continued)

- (b) Table of Contents
- (c) Section I - Includes the following:
  - (1) Introduction
  - (2) Summary - A brief statement of results obtained from the analytic effort.
  - (3) Conclusions and their condensed technical substantiations.
- (d) Section II - A complete and detailed description of the analytic results which led to the conclusions stated in Section I above.

**DIA LOGISTICS/SUPPORT PLAN**

**CONTRACT TITLE:** Research and Analysis SG1J  
**CONTRACT SPONSOR:** ██████████  
**ELEMENT:** ODI-S SG1A  
**PHONE:** ██████████

- A. EQUIPMENT MAINTENANCE (RIS-6)** Not Required
  - 1. Internal Maintenance
  - 2. Contractor Maintenance
- B. SUPPLY SUPPORT (RIS-6)** Not Required
  - 1. Consumables
  - 2. Equipment Storage
- C. EQUIPMENT TRANSPORTATION HANDLING (RIS-6)** Not Required
- D. FACILITIES (RIS-1)** Not Required
  - 1. Utilities
  - 2. Space, location
  - 3. Health, Environmental & Safety implications
- E. PERSONNEL AND TRAINING (RHR)** Not Required
  - 1. Civilian & military personnel requirements
  - 2. Required Training
  - 3. Personnel relocations
- F. INFORMATION AND GRAPHICS (RSO)** Not Required
  - 1. Systems concept
  - 2. Software requirements
  - 3. Hardware requirements
  - 4. Required funding
- G. PUBLICATIONS AND GRAPHICS (RIS-5)** Not Required
- H. COMMUNICATIONS (RCM)** Not Required
- I. SECURITY SERVICES (OSC)** REQUIRED
  - 1. Security clearances
  - 2. Physical security
  - 3. Contractor security
  - 4. TEMPEST - DIA/OSC-2 will review and evaluate all requests to process classified information electronically and will provide guidance on equipment procurement, installation, relocation and other measures relative to the control of compromising emanations.

Enclosure 10

**SECRET**

**ADVANCED ACQUISITION PLAN (AAP) SUMMARY**

AAP NO./PURCHASE REQUEST NO. 330/026Z/92

**PROJECT TITLE:** Phenomenological Research and Analysis (U)

**1. (U) BACKGROUND:**

a. (U) Phenomenological research and analysis as intended by this contract refers to anomalous phenomena associated with human activities. Investigations in this area have sometimes been referred to as Remote Viewing (RV), Extrasensory Perception (ESP), and recently as Anomalous Cognition (AC) or Anomalous Phenomena (AP) research. Foreign researchers have also used the term, psychoenergetics, to describe aspects of this area.

b. (S) In 1985, the Department of Army' Surgeon General Office for Research and Development (DASGRD) initiated a 5-year phenomenological research and development program. Research identified for this effort centered on two aspects; basic phenomena understanding and applications oriented research. This SGRD program was in response to recommendations that followed from DIA's earlier 3-year evaluation effort that examined the validity and threat potential of an aspect of this phenomena known as remote viewing. DIA established close ties with this SGRD research program to insure that applications research would be adequately pursued.

c. (S/NF) In 1987, it became apparent that SGRD funding might not be available to carry the project through to the end of the 5-year period. Consequently, additional funding was sought by DIA and acquired through funding transfer action by the House Appropriations Committee (HAC). This funding was provided to DIA; MIPR action to the SGRD contract was the most expeditious method of allocating these funds.

d. (S/NF) Results of the DIA/SGRD effort yielded several findings of breakthrough potential. Subsequently, follow-on research support was provided to DIA by Congressional action for FY91. DIA was identified as the executive agent due to long-standing knowledge and expertise in this area. Both R&D and O&M funds were allocated for continuing basic and applied research, for applied investigations, and for assisting in assessment of relevant foreign research. Additional R&D funding was received by DIA in the FY 92 Defense Authorization Act to continue research in this area initiated in FY 91 and to undertake new research along with other activities.

SECRET/NOFORN

Classified By: DIA/ODT  
Declassify On: OADR  
Enclosure 11

1a

SECRET

2. (S/NF) **DESCRIPTION (Expected Capability):** This effort will permit both research and analysis of anomalous phenomena to continue. Tasks defined in the classified statement of work focus on those activities that have the highest potential payoff in phenomena understanding, in application analysis, and in threat assessment support. Progress in this area will assist DIA and the Intelligence Community in performing adequate threat assessments and for possible pursuit of applications involving this phenomenological area.

3. (U) **MISSION REQUIREMENT SUPPORTED:**

a. (U) **Source of Mission (DCI goal, Defense guidance, JCS, Other):** DCI Goal #2; DIP FY88-92 Required Capabilities 1i. 1n, 1q, 2a, 2e, 2g, 2j, 2n; DoD Plan for Intelligence Support to Operational Commanders FY88-92 Required Capabilities 20, 21, 23. NIT #9 & 32.

b. (U) **Impact of Disapproval:** Failure to fund this effort would seriously degrade DIA's ability to assess military potential of the emerging foreign efforts in this field and would eliminate potential for phenomena understanding and application development.

4. (U) **CONTEXT:**

a. (U) **Relationship to Ongoing or Proposed Projects:** There are no known similar current or proposed projects.

b. (U) **Managerial Perspective (DIA goal or objective supported):**

(1) (U) **Responsive to PMGM 1988-1992 General Production Guidance II.B.2.a.**

(2) (S/NF) A prior effort (1981-1983) concerning anomalous phenomena research was conducted through a DIA external contract. The main purposes of this effort was to evaluate the threat that foreign achievements in this area could pose to US national security and to explore its potential for use in US intelligence collection and military operational problems. The results of this effort have confirmed the reality of the remote viewing phenomenon and the existence of a potential threat to US national security. Assessments of foreign research in psychoenergetics were also included in the early effort.

c. (U) **Accordance with Agency ADP Planning, if applicable:** N/A.

d. (U) **Government Furnished Space:** None.

SECRET/NOFORN

1b

ADVANCED ACQUISITION PLAN(AAP)  
PURCHASE REQUEST(PR) NO. 330/026Z/92  
FY1992  
UNESCALATED DOLLARS (\$K)

10 FEB 1992

SG1J

SG1A

1. PROJECT MANAGER [REDACTED] /ODI-S [REDACTED]

2. ELEMENT BUDGET OFFICER [REDACTED] DI-SF/373-4865

SG1J

3. ACQUISITION ACCOMPLISHED BY:  
 RSQ  MIPR  ISA  OTHER \_\_\_\_\_

4. PROPOSED TYPE OF ACTION:

a.  NEW CONTRACT

b.  MODIFICATION TO CONTRACT NO. MDA 908-91-C-0037  
(excludes incremental funding and options previously approved in an AAP).

c.  ORDER UNDER REQUIREMENTS CONTRACT NO. \_\_\_\_\_

d.  ORDER UNDER GSA FEDERAL SUPPLY SCHEDULE.

e.  OTHER \_\_\_\_\_.

5. COMPETITION (Applicable to paragraphs 4.a. and 4.b. above):

a.  FULL AND OPEN COMPETITION.

b.  OTHER THAN FULL AND OPEN COMPETITION PURSUANT TO AUTHORITY

10 U.S.C. 2304(c)(1). See enclosure 4 for Justification for Other Than Full and Open Competition (JFOTF&OC).

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6. CONTRACT DOLLARS: PRIOR YEARS FY92 FY93 FY94 FY95 FY96 OUIYEARS  
 (\$Mil) (\$Mil)

a. Appropriation:

OGM	_____	_____	_____	_____	_____	_____
R&D	_____	1.45	_____	_____	_____	_____
PROC	_____	_____	_____	_____	_____	_____
Other Govt Funds	_____	_____	_____	_____	_____	_____
TOTAL:	_____	1.45	_____	_____	_____	_____

b. Total Estimated Contract Amount \$1.45 million  
 (Include cost for total effort in Statement of Work)

c. Source of Funds

(1) Program/Budget Line Name/Dollars (\$Mil)

<u>Line Name(s)</u>	<u>Approp</u>	<u>FY92</u>	<u>FY93</u>	<u>FY94</u>	<u>FY95</u>	<u>FY96</u>	<u>OUIYEARS</u>
1.004	R&D	1.85					

(2) Program/Budget Record

<u>Line Name</u>	<u>Project#</u>	<u>EU</u>	<u>RC</u>	<u>PE</u>
	BASE	103	301	301

7. TARGET DATE FOR MILESTONES:

- a. Agency coordination of acquisition package begins FEB 1992
- b. Transmitted to OC FEB 1992
- c. Transmitted to RSQ FEB 1992
- d. Required award date APR 1992
- e. Required delivery date or performance period SEP 1993

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8. OTHER CONSIDERATIONS \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

SG1J

9. Recommended COIR [REDACTED], COIR completed required COIR course on 1 MAR 1991.

10. SUBMITTED BY \_\_\_\_\_  
(Signature and date)

11. CONCUR

CONCUR: HEAD OF MAJOR ELEMENT \_\_\_\_\_  
(Signature and date)

CONCUR: OC \_\_\_\_\_  
(Signature and date)

APPROVED BY: ASSISTANT DEPUTY DIRECTOR  
FOR PROCUREMENT: \_\_\_\_\_  
(Signature and date)

SECRET

EXCEPTION  
to  
Requirement To Synopsise

DIA/ODT R&D Contract: Phenomenological Research and Analysis (U)

Purchase Request # 330/026Z/92

Information necessary for synopsizing this contract cannot be worded in such a manner so as to avoid disclosure of classified information. The activity of this contract is highly sensitive and any attempt to synopsise would reveal DIA's interest from an applications perspective.

SG1J

Date: \_\_\_\_\_

JOHN T. BERBRICH  
Director  
Office for Scientific and  
Technical Intelligence

Enclosure 12

<b>PURCHASE REQUEST</b>		1. Date of request 10 FEB 92	2. Delivery required by 30 SEP 93	3. Purchase request number 330/026Z/92	
<b>INSTRUCTIONS - ORIGINAL AND 8 COPIES</b>					
4A. Authorized Approving Official or Designee (DIAM 44-2)  _____ Signature Director, JOHN T. BERBRICH, Office for S & TI _____ Type Name Date			5A. Funds are certified available and have been committed		
			Accounting classification R/D:		5B. Amount  \$1,450,000.00
4B. Name, Ext, and Signature of Designated Supply Coordinator (DIAM 25-1)  _____ Signature _____ Type Name Date			5C. Name and signature of Certifying Official  _____ Signature _____ Type Name Date		
6. Element POC/COTR: _____ Telephone No: _____ Office Symbol: ODT-S					
7A. Ship To: Director DIA Washington, D.C. 20340-6150			7B. Mark for: (ODT-S: _____) SG1J		
8A. Stock/Item No.	Description of Supplies or Services	Quantity	Unit	Estimated	
	RELEASE OF FUNDS FOR EXTERNAL RESEARCH AND ANALYSIS.  Sole Source Contract with SAIC for Phenomenological Research.			Price	Amount
					\$1,450,000.00
9. <input type="checkbox"/> Recurring requirement GSA Schedule Number (if known) _____ Acquisition Plan No. _____				8B. Total  \$1,450,000.00	
10. Action Office if External to DIA: _____ Interservice Support Agreement No: _____			Other: _____ External POC: _____		
11. Justification/Remarks The purpose of this request is to pursue general phenomenological research via the Science Applications International Corporation (SAIC). Funds for this effort are in the approved FY 92 DIA budget as line item _____ for Congressional Directed Programs. This request identifies new research required to fulfill the FY 92 Congressional Direction. Follow-on research resulting from the same FY 92 Congressionally Directed action is identified in PR 330/025Z/92 as a modification to the existing contract (MDA 908-91-C-0037).					
12A. Requisition Number/Other		13A. Coordinations <input type="checkbox"/> CRRB <input type="checkbox"/> SADPO			
12B Logistics Branch Action Officer/Phone No.  Date		DI-SF _____	GC _____	OOC-4 _____	
		OSC-2 _____	OOC-5 _____	RLE-2A _____	
		RLE-2A _____	OOC-2 _____	RSQ _____	
12C. Method <input checked="" type="checkbox"/> Contracting and Acquisition Office <input type="checkbox"/> Other: _____		13B. Approvals <input type="checkbox"/> Not required (DIAR 44-4) AAP _____ CRRB _____ SADPO ACA _____		13C. Budget Reporting Code R400 <input type="checkbox"/> Yes <input type="checkbox"/> No	
12D. Received By: (Signature)  Date		14A. Action Assigned To: Contract Specialist: Telephone No:		14B. Date	



Date of Request \_\_\_\_\_

Purchase Request No. 330/026Z/92

PROCUREMENT PACKAGE

ACCESS LIST

All personnel reviewing or accessing this purchase request must sign below.

No.	Date	Name (Print/Sign)	Organization	Phone #
1.	_____	_____	_____	_____
2.	_____	_____	_____	_____
3.	_____	_____	_____	_____
4.	_____	_____	_____	_____
5.	_____	_____	_____	_____
6.	_____	_____	_____	_____
7.	_____	_____	_____	_____
8.	_____	_____	_____	_____
9.	_____	_____	_____	_____
10.	_____	_____	_____	_____
11.	_____	_____	_____	_____
12.	_____	_____	_____	_____
13.	_____	_____	_____	_____
14.	_____	_____	_____	_____
15.	_____	_____	_____	_____
16.	_____	_____	_____	_____
17.	_____	_____	_____	_____
18.	_____	_____	_____	_____
19.	_____	_____	_____	_____
20.	_____	_____	_____	_____

Enclosure 1